

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation THE NELL NEWMAN FOUNDATION INC		A Employer identification number 27-1972605	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 3263		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code SANTA CRUZ, CA 95063		B Telephone number (see instructions) (831) 316-4574	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here 2 Foreign organizations meeting the 85% test, check here and attach computation	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶\$ 2,460,490	J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	3,033,000			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,192	1,192		
	4 Dividends and interest from securities	42,860	42,860		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	46			
	b Gross sales price for all assets on line 6a _____ 7,780				
	7 Capital gain net income (from Part IV, line 2)		46		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	3,077,098	44,098		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	20,224	1,011		19,213
	b Accounting fees (attach schedule)	3,900	195		3,705
	c Other professional fees (attach schedule)	78,320	3,824		74,496
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	2,885	0		2,885
	22 Printing and publications				
	23 Other expenses (attach schedule)	15,685	5,964		9,721
	24 Total operating and administrative expenses. Add lines 13 through 23	121,014	10,994		110,020
	25 Contributions, gifts, grants paid	484,500			484,500
	26 Total expenses and disbursements. Add lines 24 and 25	605,514	10,994		594,520
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	2,471,584			
	b Net investment income (if negative, enter -0-)		33,104		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	20,189	172,168	172,168
	2 Savings and temporary cash investments		35,058	35,058
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	0	2,253,264	2,253,264
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	5,000	0	0	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	25,189	2,460,490	2,460,490	
Liabilities	17 Accounts payable and accrued expenses		7,455	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	7,455	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	25,189	2,453,035	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	25,189	2,453,035		
31 Total liabilities and net assets/fund balances (see instructions) .	25,189	2,460,490		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	25,189
2 Enter amount from Part I, line 27a	2	2,471,584
3 Other increases not included in line 2 (itemize) ▶ _____	3	3,630
4 Add lines 1, 2, and 3	4	2,500,403
5 Decreases not included in line 2 (itemize) ▶ _____	5	47,368
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	2,453,035

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>NELLNEWMANFOUNDATION.ORG</u>	13	Yes	
14	The books are in care of ► <u>THE NELL NEWMAN FOUNDATION INC</u> Telephone no ► <u>(831) 316-4574</u>			

Located at ► C/O MAIJA WEST 916A W CARMEL VALLEY RD CARMEL VALLEY CA ZIP+4 ► 93924

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here	☐		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions.	1b		
	Organizations relying on a current notice regarding disaster assistance check here.			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?		☐ Yes ☒ No	
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		☐ Yes ☒ No	
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes	☒ No
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	☐ Yes	☐ No
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870	6b	
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b	
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes	☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELINOR NELL NEWMAN PO BOX 2697 SANTA CRUZ, CA 95063	PRESIDENT 3 00	0	0	0
ROBERT BOB SCOWCROFT 142 HAMMOND AVENUE SANTA CRUZ, CA 95062	SECRETARY 3 00	0	0	0
EDWARD JAMES COX 505 36TH AVENUE SANTA CRUZ, CA 95062	TREASURER 3 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) See Additional Data Table	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.	
a The name, address, and telephone number or email address of the person to whom applications should be addressed	
b The form in which applications should be submitted and information and materials they should include	
c Any submission deadlines	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	
b <i>Approved for future payment</i>				
Total			▶ 3b	

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

ELINOR NELL NEWMAN
EDWARD JAMES COX

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEW VENTURE FUND 1201 CONNECTICUT AVENUE SUITE 300 WASHINGTON, DC 20036	N/A	PC	NASDA PROJECT	1,000
CAUSECENTRIC PRODUCTIONS INC PO BOX 405 STONERIDGE, NY 12484	N/A	PC	FISCAL SPONSOR GRANT TO TRIBES ON THE EDGE FILM PROJECT	30,000
CITY OF WATSONVILLE 250 MAIN STREET WATSONVILLE, CA 95076	N/A	PC	ENVIRONMENTAL SCIENCE WORKSHOP	15,000
Total ► 3a				484,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ENVIRONMENT IN THE PUBLIC INTEREST EPI-CENTER 1013 MONTEREY STREET SAN LUIS OBISPO, CA 93401	N/A	PC	HABITAT & WATERSHED CARETAKERS PROJECT	15,000
FOODWHAT INCORPORATED 1156 HIGH ST SANTA CRUZ, CA 95064	N/A	PC	GENERAL SUPPORT	15,000
UNITED WAY OF SANTA CRUZ COUNTY PO BOX 1458 CAPITOLA, CA 95010	N/A	PC	AZTECA YOUTH SOCCER ACADEMY PROJECT	1,000
Total ► 3a				484,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRIENDS OF ACTION GROUP ON EROSION TECHNOLOGY & CONCENTRATION INC 441 AVON STREET OAKLAND, CA 94618	N/A	PC	SYNTHETIC BIO PROJECT	10,000
FOOD AND ENVIRONMENT REPORTING NETWORK 576 FIFTH AVE STE 903 NEW YORK, NY 10036	N/A	PC	GENERAL SUPPORT AND LISA HAMILTON BOOK PROJECT	15,000
SUSTAINABLE MARKETS FOUNDATION 45 WEST 36TH ST 6TH FLOOR NEW YORK, NY 10018	N/A	PC	CIVIL EATS PROJECT	15,000
Total ▶ 3a				484,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ORGANIC SEED ALLIANCE PO BOX 772 PORT TOWNSEND, WA 98368	N/A	PC	GENERAL SUPPORT	5,000
ORGANIC FARMING RESEARCH FOUNDATION PO BOX 440 SANTA CRUZ, CA 95061	N/A	PC	GENERAL SUPPORT	5,000
APPALACHIAN CENTER FOR THE ECONOMY AND THE ENVIRONMENT PO BOX 507 LEWISBURG, WV 24901	N/A	PC	GENERAL SUPPORT	10,000
Total ▶ 3a				484,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE RON FINLEY PROJECTPO BOX 3664 BEVERLY HILLS, CA 90210	N/A	PC	GANGSTA GARDEN PROJECT	10,000
ACTION COUNCIL OF MONTEREY COUNTY 406 CROCKER AVENUE PACIFIC GROVE, CA 93950	N/A	PC	IMMIGRATION TASK FORCE	2,000
REAL FOOD MEDIA 10 MILK STREET SUITE 610 BOSTON, MA 02108	N/A	PC	GENERAL SUPPORT	5,000
Total ▶ 3a				484,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KANSAS RURAL CENTER 4021 SW 10TH STREET 337 TOPEKA, KS 66604	N/A	PC	(HUGO'S PROJECT) SW KANSAS RESEARCH AND OUTREACH PROJECT IMMIGRANT AND REFUGEE POPULATION FOOD AND FARMING ASSESSMENT	5,000
COOK INLETKEEPER 3734 BEN WALTERS LANE HOMER, AK 99603	N/A	PC	GENERAL SUPPORT	10,000
TIDES CENTERPO BOX 29907 SAN FRANCISCO, CA 94129	N/A	PC	NESAWG ANNUAL CONFERENCE	8,000
Total ▶ 3a				484,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FARM AID INC 501 CAMBRIDGE STREET THIRD FLOOR CAMBRIDGE, MA 02141	N/A	PC	GENERAL SUPPORT	5,000
THE YES LAB INC20 COOPER SQUARE NEW YORK, NY 10003	N/A	PC	MOTHER FUCKER AWARDS PROJECT	50,000
NEW MEXICO IMMIGRANT LAW CENTER PO BOX 7040 ALBUQUERQUE, NM 87194	N/A	PC	REUNIFICATION PROJECT	10,000
Total ▶ 3a				484,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KANSAS SAMPLER FOUNDATION INC 978 ARAPAHO ROAD INMAN, KS 67546	N/A	PC	GENERAL SUPPORT	5,000
WESTERN ENVIRONMENTAL LAW CENTER (WELC) 120 SHELTON MCMURPHEY BLVD STE 340 EUGENE, OR 97401	N/A	PC	GENERAL SUPPORT AND ROCKY MOUNTAINS MONTANA OFFICE	20,000
SAVE THE WAVES COALITION PO BOX 183 DAVENPORT, CA 95017	N/A	PC	GENERAL SUPPORT	20,000
Total ▶ 3a				484,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NORTHWEST ATLANTIC MARINE ALLIANCE 222 MAIN STREET GLOUCESTER, MA 01930	N/A	PC	GENERAL SUPPORT	10,000
PESTICIDE ACTION NETWORK (PAN) 2029 UNIVERSITY AVE SUITE 200 BERKELEY, CA 94704	N/A	PC	GENERAL SUPPORT	5,000
SOUL FIRE FARM INSTITUTE INC 1972 NY HWY 2 PETERSBURG, NY 12138	N/A	PC	GENERAL SUPPORT	2,500
Total ▶ 3a				484,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE SIERRA FUND 103 PROVIDENCE MINE ROAD SUITE 101 NEVADA CITY, CA 95959	N/A	PC	GENERAL SUPPORT	5,000
WESTERN ORGANIZATION OF RESOURCE COUNCILS (WORC) 220 SOUTH 27TH ST BILLINGS, MT 59101	N/A	PC	GENERAL SUPPORT	5,000
WINDWARD FUND 1201 CONNECTICUT AVE NW STE 300 WASHINGTON, DC 20036	N/A	PC	CASTANEA FELLOWSHIP PROJECT	10,000
Total ▶ 3a				484,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ECOTRUST (FOOD&FARMS) 721 NW 9TH AVENUE SUITE 200 PORTLAND, OR 97209	N/A	PC	FOOD & FARMS, FISHERIES, INDIGENOUS COMMUNITIES, AND LEARNING & IMPACT INITIATIVE	50,000
SANTA CRUZ COMMUNITY VENTURES PO BOX 7808 SANTA CRUZ, CA 95061	N/A	PC	GENERAL SUPPORT	5,000
PLANNED PARENTHOOD FEDERATION OF AMERICA INC 123 WILLIAM STREET 10TH FLOOR NEW YORK, NY 10038	N/A	PC	STATES THAT THEY THINK HAVE THE HIGHEST NEED	30,000
Total ▶ 3a				484,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATIONAL YOUNG FARMERS COALTION PO BOX 1074 HUDSON, NY 12534	N/A	PC	NATIONAL YOUNG FARMERS COALITION 2018 ANNUAL LEADERSHIP CONVERGENCE	5,000
SOUTH CAROLINA WOMEN'S RIGHTS AND EMPOWERMENT NETWORK 1201 MAIN STREET SUITE 320 COLUMBIA, SC 29201	N/A	PC	GENERAL SUPPORT	5,000
ECOLOGICAL FARMING ASSOCIATION 2901 PARK AVENUE SUITE D-2 SOQUEL, CA 95073	N/A	PC	ECOFARM SCHOLARSHIP FUND [IN HONOR OF TOM MELLO]	5,000
Total ▶ 3a				484,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
EARTHWORKS1612 K ST NW SUITE 904 WASHINGTON, DC 20006	N/A	PC	ARO WORKING PROJECT - PHASE 1 FUNDING	25,000
ALASKA MARINE CONSERVATION COUNCIL PO BOX 101145 ANCHORAGE, AK 99510	N/A	PC	YOUNG FISHERMANS NETWORK	5,000
FIRST NATIONS DEVELOPMENT INSTITUTE 2432 MAIN STREET FLOOR 2 LONGMONT, CO 80501	N/A	PC	NATIVE AGRICULTURE AND FOOD SYSTEMS INITIATIVE	10,000
Total ▶ 3a				484,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ORGANIC CENTER FOR EDUCATION AND PROMOTING INC 444 N CAPITOL ST NW SUITE 445A WASHINGTON, DC 20001	N/A	PC	STARTUP FOR THE ECOLOGICAL AGRICULTURE POLICY RESOURCES PROJECT	20,000
Total ► 3a				484,500

TY 2018 Accounting Fees Schedule**Name:** THE NELL NEWMAN FOUNDATION INC**EIN:** 27-1972605

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	3,900	195		3,705

TY 2018 Investments - Other Schedule

Name: THE NELL NEWMAN FOUNDATION INC

EIN: 27-1972605

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CHARLES SCHWAB	FMV	2,253,264	2,253,264

TY 2018 Legal Fees Schedule**Name:** THE NELL NEWMAN FOUNDATION INC**EIN:** 27-1972605

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	20,224	1,011		19,213

TY 2018 Other Assets Schedule

Name: THE NELL NEWMAN FOUNDATION INC

EIN: 27-1972605

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
VOIDED CHECK	5,000	0	0

TY 2018 Other Decreases Schedule**Name:** THE NELL NEWMAN FOUNDATION INC**EIN:** 27-1972605

Description	Amount
GRANTS PAID IN 2017 NOT REPORTED ON FORM 990-PF	10,000
UNREALIZED LOSS	33,738
IN-KIND EXPENSE	3,630

TY 2018 Other Expenses Schedule**Name:** THE NELL NEWMAN FOUNDATION INC**EIN:** 27-1972605**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DUES & SUBSCRIPTIONS	6,196	0		6,196
INTERNET & WEBSITE	421	0		421
POSTAGE	96	0		96
INSURANCE	1,251	0		1,251
BANK SERVICE CHARGES	85	85		0
OFFICE SUPPLIES	24	0		24
MEMBERSHIP FEES	762	0		762
FEES AND PERMITS	12	0		12
BOARD EXPENSES	959	0		959
INVESTMENT MANAGEMENT FEES	5,879	5,879		0

TY 2018 Other Increases Schedule

Name: THE NELL NEWMAN FOUNDATION INC
EIN: 27-1972605

Description	Amount
IN-KIND REVENUE	3,630

TY 2018 Other Professional Fees Schedule**Name:** THE NELL NEWMAN FOUNDATION INC**EIN:** 27-1972605

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONSULTING	1,850	0		1,850
PROFESSIONAL FEES	76,470	3,824		72,646

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93491141006159	
Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service		Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Go to www.irs.gov/Form990 for the latest information			OMB No 1545-0047
					2018
Name of the organization THE NELL NEWMAN FOUNDATION INC				Employer identification number 27-1972605	
Organization type (check one)					
Filers of: Form 990 or 990-EZ Form 990-PF					
Section: ☐ 501(c)() (enter number) organization ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation ☐ 527 political organization ☒ 501(c)(3) exempt private foundation ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation ☐ 501(c)(3) taxable private foundation					
Check if your organization is covered by the General Rule or a Special Rule .					
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions					
General Rule					
☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions					
Special Rules					
☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 ¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II					
☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 <i>exclusively</i> for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III					
☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions <i>exclusively</i> for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an <i>exclusively</i> religious, charitable, etc , purpose Don't complete any of the parts unless the General Rule applies to this organization because it received <i>nonexclusively</i> religious, charitable, etc , contributions totaling \$5,000 or more during the year ▶ \$					
Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)					
For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF					
Cat No 30613X		Schedule B (Form 990, 990-EZ, or 990-PF) (2018)			

Name of organization THE NELL NEWMAN FOUNDATION INC	Employer identification number 27-1972605
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Part I Contributors (See instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ELINOR T NEWMAN	\$ 30,000	Person ☒
	PO BOX 2697		Payroll ☐
	SANTA CRUZ, CA 95063		Noncash ☐
(Complete Part II for noncash contributions)			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	EDWARD JAMES COX	\$ 3,000,000	Person ☒
	10213 STONE BRIAR COURT		Payroll ☐
	LAS VEGAS, NV 89144		Noncash ☐
(Complete Part II for noncash contributions)			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
.		\$	Person ☐
			Payroll ☐
			Noncash ☐
(Complete Part II for noncash contributions)			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
.		\$	Person ☐
			Payroll ☐
			Noncash ☐
(Complete Part II for noncash contributions)			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
.		\$	Person ☐
			Payroll ☐
			Noncash ☐
(Complete Part II for noncash contributions)			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
.		\$	Person ☐
			Payroll ☐
			Noncash ☐
(Complete Part II for noncash contributions)			

Employer identification number

27-1972605

Part II	Noncash Property
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Schedule B (Form 990, 990-EZ, or 990-PF) (2018)

Name of organization THE NELL NEWMAN FOUNDATION INC	Employer identification number 27-1972605
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Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____ Use duplicate copies of Part III if additional space is needed
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(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee