

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No 1545-0052
2018
Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation THE ROSENFELD FOUNDATION C/O CLEARLY GOTTLIEB STEEN & HAMILTON		A Employer identification number 13-3640005
Number and street (or P O box number if mail is not delivered to street address) 1 LIBERTY PLAZA	Room/suite	B Telephone number (see instructions) (212) 225-2620
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10006		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>1,732,739</u>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I	Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	218	218		
	4 Dividends and interest from securities	2,090	2,090		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	129,353			
	b Gross sales price for all assets on line 6a	226,827			
	7 Capital gain net income (from Part IV, line 2)		129,353		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	98,648	95,911			
12 Total. Add lines 1 through 11	230,309	227,572			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,800	0		2,800
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	8,318	1,153		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	250	125		125
	24 Total operating and administrative expenses. Add lines 13 through 23	11,368	1,278		2,925
	25 Contributions, gifts, grants paid	136,390			136,390
26 Total expenses and disbursements. Add lines 24 and 25	147,758	1,278		139,315	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	82,551				
b Net investment income (if negative, enter -0-)		226,294			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	170,852	253,382	253,382
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	97,474	0	0
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	1,550,491	1,479,357	1,479,357
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,818,817	1,732,739	1,732,739	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,818,817	1,732,739	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29 Retained earnings, accumulated income, endowment, or other funds	0	0		
30 Total net assets or fund balances (see instructions)	1,818,817	1,732,739		
31 Total liabilities and net assets/fund balances (see instructions) .	1,818,817	1,732,739		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,818,817
2 Enter amount from Part I, line 27a	2	82,551
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	1,901,368
5 Decreases not included in line 2 (itemize) ▶ _____	5	168,629
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,732,739

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection requirements, and books in care.

Located at CLEARY GOTTLLIEB ET AL 1 LIBERTY PLAZA NEW YORK NY ZIP+4 10006

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

ERIC R ROSENFELD

FRAN W ROSENFELD

JAMIE ROSENFELD

ALISON ROSENFELD

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALOHA FOUNDATION 2968 LAKE MOREY RD FAIRLEE, VT 05045	NONE	PUBLIC	GENERAL UNRESTRICTED	5,000
AMERICAN FINANCE ASSOCIATION DAVID ECCLES SCHOOL OF BUSINESS UN SALT LAKE CITY, UT 84112	NONE	PUBLIC	GENERAL UNRESTRICTED	25,000
AMERICANS FOR PEACE AND TOLERANCE 15 MAIN ST SUITE 118 WATERTOWN, MA 02472	NONE	PUBLIC	GENERAL UNRESTRICTED	2,000
Total ▶ 3a				136,390

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
ANTI-DEFAMATION LEAGUE 6605 3RD AVE NEW YORK, NY 10158	NONE	PUBLIC	GENERAL UNRESTRICTED	5,000
ARS NOVA THEATRE 511 W 54TH STREET NEW YORK, NY 10019	NONE	PUBLIC	GENERAL UNRESTRICTED	2,500
AT HOME ON THE SOUND 545 TOMPKINS AVE MAMARONECK, NY 10543	NONE	PUBLIC	GENERAL UNRESTRICTED	300
Total				136,390

▶ 3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BALLET TECH890 BROADWAY NEW YORK, NY 10003	NONE	PUBLIC	GENERAL UNRESTRICTED	1,000
CALVARY HOSPITAL 1740 EASTCHESTER RD BRONX, NY 10461	NONE	PUBLIC	GENERAL UNRESTRICTED	1,000
CENTER FOR REPRODUCTIVE RIGHTS 199 WATER ST NEW YORK, NY 10038	NONE	PUBLIC	GENERAL UNRESTRICTED	5,000
Total				136,390

▶ 3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHILDREN'S DEFENSE FUND 15 MAIDEN LN 1200 NEW YORK, NY 10038	NONE	PUBLIC	GENERAL UNRESTRICTED	4,000
FACING HISTORY AND OURSELVES 16 HURD ROAD BROOKLINE, MA 02445	NONE	PUBLIC	GENERAL UNRESTRICTED	1,000
GREENWOOD HOUSE HOME FOR THE JEWISH AGED 53 WALTER STREET EWING, NJ 08628	NONE	PUBLIC	GENERAL UNRESTRICTED	180
Total				136,390

▶ **3a**

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ISLAND READERS AND WRITERS 990 CROOKED ROAD TOWN HILL VILLAGE BAR HARBOR, ME 04609	NONE	PUBLIC	GENERAL UNRESTRICTED	350
ISRAEL & CO 250 GREENWICH STREET 7 WORLD TRADE CENTER NEW YORK, NY 10007	NONE	PUBLIC	GENERAL UNRESTRICTED	8,500
ISRAEL ON CAMPUS COALITION 734 15TH STREET NW STE 600 WASHINGTON, DC 20001	NONE	PUBLIC	GENERAL UNRESTRICTED	5,000
Total ▶ 3a				136,390

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JEWISH COMMUNITY RELATIONS COUNCIL 225 W 34TH ST 1607 NEW YORK, NY 10122	NONE	PUBLIC	GENERAL UNRESTRICTED	4,000
JEWISH EDUCATION PROJECT 520 EIGHTH AVE NEW YORK, NY 10018	NONE	PUBLIC	GENERAL UNRESTRICTED	1,000
JOINT DISTRIBUTION COMMITTEE 711 3RD AVE NEW YORK, NY 10017	NONE	PUBLIC	GENERAL UNRESTRICTED	2,000
Total ▶ 3a				136,390

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
LARCHMONT TEMPLE 75 LARCHMONT AVE LARCHMONT, NY 10538	NONE	PUBLIC	GENERAL UNRESTRICTED	4,300
LAWYERS FOR CHILDREN 110 LAFAYETTE STREET NEW YORK, NY 10013	NONE	PUBLIC	GENERAL UNRESTRICTED	500
LET'S GET READY 50 BROADWAY 25TH FLOOR NEW YORK, NY 10004	NONE	PUBLIC	GENERAL UNRESTRICTED	1,000
Total				136,390



3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MIT600 MEMORIAL DRIVE 3RD FLOOR CAMBRIDGE, MA 02139	NONE	PUBLIC	GENERAL UNRESTRICTED	2,500
NEW JEWISH HOME 120 W 106TH STREET NEW YORK, NY 10025	NONE	PUBLIC	GENERAL UNRESTRICTED	1,980
NEW YORK CITY CENTER 130 WEST 56TH STREET NEW YORK, NY 10019	NONE	PUBLIC	GENERAL UNRESTRICTED	5,000
Total				136,390

▶ **3a**

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
NEW YORKERS AGAINST GUN VIOLENCE 3 WEST 29TH STREET STE 1007 NEW YORK, NY 10001	NONE	PUBLIC	GENERAL UNRESTRICTED	5,000
NOTES IN MOTION77 BLEECKER ST 318 NEW YORK, NY 10012	NONE	PUBLIC	GENERAL UNRESTRICTED	350
PLANNED PARENTHOOD HUDSON 4 SKYLINE DRIVE HAWTHORNE, NY 10532	NONE	PUBLIC	GENERAL UNRESTRICTED	1,000
Total				136,390



3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RAMAPOPO BOX 266 RHINEBECK, NY 12572	NONE	PUBLIC	GENERAL UNRESTRICTED	750
SUMMER CAMP8 CHURCH STREET BRIDGTON, ME 04009	NONE	PUBLIC	GENERAL UNRESTRICTED	500
TRUSTEES OF COLUMBIA UNIVERSITY 622 WEST 113TH STREET MAIL CODE 4524 NEW YORK, NY 10025	NONE	PUBLIC	GENERAL UNRESTRICTED	180
Total ▶ 3a				136,390

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET SUITE 305 PHILADELPHIA, PA 19104	NONE	PUBLIC	GENERAL UNRESTRICTED	2,000
UJA130 EAST 59TH STREET NEW YORK, NY 10022	NONE	PUBLIC	GENERAL UNRESTRICTED	10,000
WESTCHESTER CHILDREN'S ASSOCIATION 175 MAIN ST STE 702 WHITE PLAINS, NY 10601	NONE	PUBLIC	GENERAL UNRESTRICTED	1,000
Total ▶ 3a				136,390

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WHITNEY MUSEUM 945 MADISON AVENUE NEW YORK, NY 10021	NONE	PUBLIC	GENERAL UNRESTRICTED	17,500
WJCS845 NORTH BROADWAY WHITE PLAINS, NY 10603	NONE	PUBLIC	GENERAL UNRESTRICTED	5,000
YEMIN ORDRE 4340 EAST-WEST HWY 202 BETHESDA, MD 20814	NONE	PUBLIC	GENERAL UNRESTRICTED	5,000
Total				136,390

▶ 3a

TY 2018 Accounting Fees Schedule**Name:** THE ROSENFELD FOUNDATION

C/O CLEARY GOTTLIEB STEEN & HAMILTON

EIN: 13-3640005

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	2,800	0		2,800

TY 2018 Investments - Other Schedule

Name: THE ROSENFELD FOUNDATION
C/O CLEARY GOTTLIEB STEEN & HAMILTON
EIN: 13-3640005

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ELM PARTNERS PORTFOLIO LLC	FMV	1,479,357	1,479,357

TY 2018 Other Decreases Schedule**Name:** THE ROSENFELD FOUNDATION

C/O CLEARY GOTTLIEB STEEN & HAMILTON

EIN: 13-3640005

Description	Amount
UNREALIZED FROM ELM PARTNERS PORTFOLIO LLC	168,629

TY 2018 Other Expenses Schedule**Name:** THE ROSENFELD FOUNDATION

C/O CLEARY GOTTLIEB STEEN & HAMILTON

EIN: 13-3640005**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS FILING FEE	250	125		125

TY 2018 Other Income Schedule

Name: THE ROSENFELD FOUNDATION
C/O CLEARY GOTTlieb STEEN & HAMILTON
EIN: 13-3640005

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ELM PARTNERS PORTFOLIO LLC	95,911	95,911	95,911
ELM PARTNERS PORTFOLIO LLC-TAX EXEMPT INCOME	2,403	0	2,403
ELM PARTNERS PORTFOLION LLC-NONTAXABLE DISTRIBUTIONS	334	0	334

TY 2018 Taxes Schedule**Name:** THE ROSENFELD FOUNDATION

C/O CLEARY GOTTLIEB STEEN & HAMILTON

EIN: 13-3640005

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,153	1,153		0
FEDERAL TAXES	7,165	0		0