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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

1903

For calendar year 2018 or tax year beginning 4/1/2018 **, and ending** 3/31/2019

Name of foundation: LUCKY 13 FOUNDATION, INC

A Employer identification number: 81-2285880

Number and street (or P O box number if mail is not delivered to street address): c/o L Wollin 124 PROSPECT ST

Room/suite:

B Telephone number (see instructions): 201-816-8404

City or town, state or province, country, and ZIP or foreign postal code: RIDGEWOOD NJ 07450

Foreign country name / Foreign province/state/county / Foreign postal code

C If exemption application is pending, check here ▶ ☐

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G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

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E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 964,249

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

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Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	20,883	20,883		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-2,490			
	b	Gross sales price for all assets on line 6a 85,875				
	7	Capital gain net income (from Part IV, line 2)		3,295		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	18,393	24,178	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	7,162	7,162		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	1,141			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	8,303	7,162	0	0
	25	Contributions, gifts, grants paid	131,060			131,060
	26	**Total expenses and disbursements.** Add lines 24 and 25	139,363	7,162	0	131,060
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	-120,970			
	b	**Net investment income** (if negative, enter -0-)		17,016		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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For Paperwork Reduction Act Notice, see instructions.
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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

	Line	Description	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing	21,460	20,253	20,253
	2	Savings and temporary cash investments	260,840		
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	713,820	854,897	943,996
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	996,120	875,150	964,249
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26, and lines 30 and 31.**			
	24	Unrestricted	996,120	875,150	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	996,120	875,150	
	31	**Total liabilities and net assets/fund balances** (see instructions)	996,120	875,150	

Part III **Analysis of Changes in Net Assets or Fund Balances**

	Description	Line	Amount
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	996,120
2	Enter amount from Part I, line 27a	2	-120,970
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	875,150
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	875,150

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions .	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ LONNIE E WOLLIN, PC Telephone no ▶ (201) 816-8404 Located at ▶ 124 PROSPECT ST RIDGEWOOD NJ ZIP+4 ▶ 07450			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20_____ , 20_____ , 20_____ , 20_____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20_____ , 20_____ , 20_____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year, did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b	N/A	
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b	N/A	
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GILL HOROWITZ 20 BUCKINGHAM RD TENAFLY, NJ 07670	PRES- DIRECTOR 1 00	0		
WENDY HOROWITZ 20 BUCKINGHAM RD TENAFLY, NJ 07670	VP- DIRECTOR 1 00	0		
LONNIE WOLLIN 124 PROSPECT ST RIDGEWOOD, NJ 07450	SECY / TREAS 1 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

GILL HOROWITZ WENDY PADELL-HOROWITZ

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SCHEDULE ATTACHED	N/A	PC	CHARITABLE	131,060
Total			▶ **3a**	131,060
b *Approved for future payment*				
Total			▶ **3b**	0

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	0
Short Term CG Distributions	0

Totals	Gross Sales	Cost or Other Basis, Expenses, Depreciation and Adjustments	Net Gain or Loss
Capital Gains/Losses	85,875	88,365	2,490
Other sales	0	0	0

	Description	CUSIP #	Check "X" to include in Part IV	Purchaser	Check "X" if Purchaser is a Business	Acquisition Method	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	SCHWAB LT CAP LOSS		X	PUCLIC	X	P	1/1/2016	3/31/2019	66,296	71,397					5,101
2	SCHWAB ST CAP LOSS		X	PUBLIC	X	P	11/5/2018	3/31/2019	19,579	16,968					2,611

Part I, Line 16b (990-PF) - Accounting Fees

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		0	0	0	0
1		0			0

Part I, Line 16c (990-PF) - Other Professional Fees

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		7,162	7,162	0	0
1	CHARLES SCHWAB- Investment Advisory	7,162	7,162		0

Part I, Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		1,141	0	0	0
1	DELAWARE FRANCHISE TAX	0			
2	TAX ON INCOME	1,141			

Part II, Line 10b (990-PF) - Investments - Corporate Stock

			713,820	854,897	1,098,071	943,996
	Description	Num Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
1	SCHEDULE ATTACHED		713,820	854,897	1,098,071	943,996

Part II	Line 10b	
		Column (c)
	F/Y/E 03/31/18	
		Cost
Quantity	Description	Basis
	Equities	
211	Allergan PLC	49,160
3,700	Awilco Drilling LT	13,138
600	Berkshire Hathaway Class B	84,937
600	Chicago Bridge & Iron	21,069
13	CommerceHub Inc CL A	13
27	CommerceHub Inc CL C	30
700	Conrad Industries	13,189
4,700	Ensco PLC	26,461
200	Equity Commonwe 6 5% PFD	4,834
81	GCI Liberty Inc	262
5,700	Goldman Sachs GR 4% PFD	129,328
2,000	Granite Point Mortga	33,567
1,300	HRG Group Inc	22,115
848	Hope Bancorp Inc.	2,679
54	Liberty Expedia CL A	175
1,000	Liberty Global Inc	29,089
1,200	Liberty Media Corp	36,593
2,464	Liberty Trip Advisor	30,961
1,500	Merrimack Pharma Inc	15,235
11,000	Pulse Seismic Inc	20,347
1,700	Reading Intl	20,377
850	Resource Cap 8 625% PFD	21,492
1,000	RLJ Lodging TR 1 95% PFD	26,886
1,100	Wells Fargo & 5 5% PFD	25,106
14,000	WMIH Corp	21,927
500	Wyndham Worldwide Co	2,094
1,780	New York REIT Inc	42,665
1,500	Wells Fargo BK N 18 WTS	20,091
		713,820

		Column (b)	Column (c)
	F/Y/E 03/31/19		
		Cost	
Quantity	Description	Basis	FMV
	Equities		
211	Allergan PLC	49,160	30,893
450	Apple Inc	66,578	85,478
3,700	Awilco Drilling LT	13,138	13,990
800	Berkshire Hathaway Class B	122,440	160,712
700	Conrad Industries	13,189	10,304
4,500	Ensco PLC	26,460	17,685
200	Equity Commonwealth 6.5% PFD	4,834	5,472
850	Exantas Capita 8 862% PFD (formerly Resource Cap 8 625% PFD)	31,677	32,125
81	GCI Liberty	262	4,504
150	Goldman Sachs GR	28,547	28,799
2,000	Granite Point Mortga	33,567	37,140
848	Hope Bancorp Inc.	2,679	11,092
500	Liberty Broadband	34,907	45,820
54	Liberty Expedia CL A	175	2,311
1,000	Liberty Global Inc Class A	29,089	24,920
850	Liberty Global Inc Class C	16,707	20,579
1,200	Liberty Media Corp	36,593	45,888
1,264	Liberty Trip Advisor	11,234	17,936
3,000	Merrimack Pharma Inc	23,053	20,850
1,166	Mr Cooper (formerly WMIH Corp)	21,915	11,182
11,000	Pulse Seismic Inc	20,347	20,460
1,700	Reading Intl	20,377	27,132
1,000	RLJ Lodging TR 1 95% PFD	26,886	25,160
241	Spectrum Brands Hldg	24,131	13,202
5,700	The Goldman Sach 4% PFD	129,328	109,668
1,000	Univar Ubc	20,362	22,160
1,100	Wells Fargo & 5 5% PFD	25,106	27,830
527	Wells Fargo BK N A	20,064	25,465
500	Wyndham Hotel & Resorts	1,168	24,995
500	Wyndham Worldwide Co	927	20,245
		854,897	943,996

LUCKY 13 FOUNDATION
SECURITY GAINS & LOSSES
FOR THE YEAR ENDED 03/31/19

PART IV - LONG TERM line 1a					Long Term
		Transaction			Gain/
Security	# of shs	Date	Cost	Sale	(loss)
Chicago Bridge & Iron	-600	05/10/2018		9,688	
Chicago Bridge & Iron	-600	06/24/2016	21,069		
CommerceHub Inc CL A	601	05/22/2018		296	
CommerceHub Inc CL A	-601	08/31/2016	13		
CommerceHub Inc CL C	27	05/22/2018		614	
CommerceHub Inc CL C	-27	08/31/2016	30		
Ensco PLC	-3200	10/09/2018		29,076	
Ensco PLC	3200	10/06/2017	19,136		
Liberty Trip Advisor	-200	07/20/2018		3,565	
Liberty Trip Advisor	-1000	07/25/2018		17,820	
Liberty Trip Advisor	200	11/29/2016	3,288		
Liberty Trip Advisor	1000	11/29/2016	16,439		
Mr Cooper	-0 6666	10/12/2018		10	
Mr Cooper	0 6666	12/06/2016	13		
New York REIT Inc	-80	10/31/2018		1,115	
New York REIT Inc	-300	11/01/2018		4,061	
New York REIT Inc	300	05/16/2017	9,175		
New York REIT Inc	80	05/16/2017	2,197		
Wells Fargo BK N 18 WTS	-0 958	10/26/2018		50	
Wells Fargo BK N 18 WTS	0 958	06/22/2016	36		
TOTAL LONG TERM GAIN OR (LOSS)			71,397	66,296	(5,101)
PART IV - SHORT TERM line 1b					Short Term
		Transaction			Gain/
Security	# of shs	Date	Cost	Sale	(loss)
New York REIT Inc	-1400	10/31/2018		19,508	
New York REIT Inc	-1400	01/31/2018	16,875		
Spectrum Brands Holding (HRG Group)	-0 8823	07/17/2018		70	
Spectrum Brands Holding (HRG Group)	0 8823	12/28/2017	93		
TOTAL SHORT TERM GAIN OR (LOSS)			16,968	19,579	2,610
TOTAL LONG & SHORT TERM GAIN OR (LOS			88,365	85,874	(2,490)

PART XV, Line 3a

PAYEE	STREET ADDRESS	CITY	STATE	ZIP CODE	INDIVIDUAL RECIPIENT	STATUS OF RECIPIENT	PURPOSE	AMOUNT
Alan T Brown Foundation	185 E 85th Street #14C	New York	NY	10025	N/A	Public Charity	Charitable	10,000
Alzheimer's Association	225 North Michigan Avenue	Chicago	IL	60601	N/A	Public Charity	Educational	10,000
Englewood Hospital Medical Center	350 Engle Street	Englewood	NJ	07631	N/A	Public Charity	Charitable	25,000
Freidereich's Ataxia	533 W Uwchlan Avenue	Downingtown	PA	19335	N/A	Public Charity	Charitable	62,250
Friends of the Israeli Defense Fund	60 East 42nd Street	New York	NY	10165	N/A	Public Charity	Charitable	2,000
Israeli American Council	5900 Canoga Avenue Suite 390	Woodland Hills	CA	91367	N/A	Public Charity	Educational	10,000
Partnership for Community Health	690 Kinderkamack Road	Oradell	NJ	07649	N/A	Public Charity	Educational	1,800
Trails Preservation Alliance	110 Chestnut Ridge Road	Montvale	NJ	07645	N/A	Public Charity	Charitable	10,000
								131,050