

2018

Open to Public Inspection

Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury
Internal Revenue Service

- Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2018 or tax year beginning , and ending

Name of foundation Norbert H Hardner Foundation			A Employer identification number 74-2952380	
Number and street (or P.O. box number if mail is not delivered to street address) 7500 Rialto Blvd - Bldg 1 - Ste 250		Room/suite 250	B Telephone number (see instructions) 603-672-2282	
City or town, state or province, country, and ZIP or foreign postal code Austin TX 78746-3305		C If exemption application is pending, check here ☐		
Foreign country name Foreign province/state/country Foreign postal code		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐		
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐		
H Check type of organization ☒ Section 501(c)(3) exempt private foundation 04 ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 5,350,805		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	131,630	131,630		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	225,233			
	b Gross sales price for all assets on line 6a 430,348				
	7 Capital gain net income (from Part IV, line 2)		225,233		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	2,681				
12 Total. Add lines 1 through 11	359,544	356,863	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,560	1,280		
	c Other professional fees (attach schedule)	30,000	30,000		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	13,896	13,896		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	11,387			
	22 Printing and publications				
	23 Other expenses (attach schedule)	1,487			
	24 Total operating and administrative expenses. Add lines 13 through 23	59,330	45,176	0	0
	25 Contributions, gifts, grants paid	271,500			271,500
26 Total expenses and disbursements. Add lines 24 and 25	330,830	45,176	0	271,500	
27 Subtract line 26 from line 12	28,714				
a Excess of revenue over expenses and disbursements		311,687			
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

HTA

Form 990-PF (2018)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	7,582	8,232	8,232
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	3,233,654	3,493,798	4,444,281
	c Investments—corporate bonds (attach schedule)	1,136,554	904,474	898,292
	11 Investments—land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,377,790	4,406,504	5,350,805	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	4,377,790	4,406,504	
30 Total net assets or fund balances (see instructions)	4,377,790	4,406,504		
31 Total liabilities and net assets/fund balances (see instructions)	4,377,790	4,406,504		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,377,790
2 Enter amount from Part I, line 27a	2	28,714
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	4,406,504
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,406,504

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	X	
14 The books are in care of ▶ Jared J. Hardner Telephone no ▶ 603-672-2282 Located at ▶ 15 Woodland Drive Amherst NH ZIP+4 ▶ 03031-2522		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here and enter the amount of tax-exempt interest received or accrued during the year ▶	15	
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here ▶	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year, did the foundation pay or incur any amount to		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	N/A
	Organizations relying on a current notice regarding disaster assistance, check here	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	N/A
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Schedule	See Schedule			
See Attached Schedule	1 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling
- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

Part XV **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Schedule	None - No Individuals	PC	See Schedule	271,500
Total			▶ 3a	271,500
b <i>Approved for future payment</i>				
Total			▶ 3b	0

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2018

Name of the organization

Norbert H Hardner Foundation

Employer identification number

74-2952380

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization Norbert H. Hardner Foundation	Employer identification number 74-2952380
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	None Foreign State or Province Foreign Country	\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
	Foreign State or Province Foreign Country	\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
	Foreign State or Province Foreign Country	\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
	Foreign State or Province Foreign Country	\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
	Foreign State or Province Foreign Country	\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
	Foreign State or Province Foreign Country	\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
	Foreign State or Province Foreign Country	\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
	Foreign State or Province Foreign Country	\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
	Foreign State or Province Foreign Country	\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
	Foreign State or Province Foreign Country	\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

Norbert H. Hardner Foundation

Employer identification number

74-2952380

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----
-----	----- ----- ----- -----	\$ -----	-----

Name of organization Norbert H. Hardner Foundation	Employer identification number 74-2952380
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ 0

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- ----- For Prov Country		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- ----- For Prov Country		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- ----- For Prov Country		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- ----- For Prov Country		----- ----- -----	

Norbert H. Hardner Foundation
Form 990-PF
Year Ended December 31, 2018

74-2952380

Page 1 - Part 1 - Various Schedules	Revenue & Expenses Per Books	Net Investment Income
Line 11 - Other Income		
Nondividend Distributions (Return Of Capital)	2,681	-
Line 16(a) - Legal Fees		
General continuing legal fees and expenses	-	-
Line 16(b) - Accounting Fees		
Income tax preparation and related year-end accounting	2,560	1,280
Line 16(c) - Other Professional Fees		
Investment management and advisory services and fees	30,000	30,000
Line 18 - Taxes		
Excise tax on investment income	11,600	11,600
Foreign income taxes deducted at source on dividend income	2,296	2,296
Total Taxes	13,896	13,896
Line 23 - Other Expenses		
Bank charges and fees	-	-
Miscellaneous	1,487	-
Total Other Expenses	1,487	-

Page 2 - Part II - Balance Sheets - Various Schedules	Beginning of year	End of year	
	Book Value	Book Value	Fair Market Value
Line 10(b) - Investments - Corporate Stock			
Fidelity New Markets Income	439,525	462,087	464,599
Vanguard 500 Index Fund	1,270,712	1,250,352	2,080,663
Vanguard International Explorer Fund	601,075	725,263	637,459
Vanguard International Growth Fund	502,655	607,233	693,832
Vanguard REIT Index Fund	212,956	230,537	242,265
Vanguard Small Cap Index Fund	206,731	218,327	325,463
Total Investments - Corporate Stock	3,233,654	3,493,799	4,444,281
Line 10(c) - Investments - Corporate Bonds			
Vanguard Prime Money Market Fund	-	-	-
Vanguard Federal Money Market Fund	826,228	616,395	616,395
Vanguard Total Bond Market Index Fund	310,326	288,079	281,897
Total Investments - Corporate Bonds	1,136,554	904,474	898,292

Page 3 - Part IV - Schedule of Capital Gains (Losses)

Description	Date Acquired	Date Sold	Sales Price	Cost Basis	Gain (Loss)	Short (S) Long (L)
Sales of Securities						
Vanguard 500 Index	Various	Various	270,000 00	112,815 27	157,184 73	L
Vanguard International Explorer	Various	Various			-	L
Vanguard International Growth	Various	Various	30,000 00	15,412 58	14,587 42	L
Vanguard Small-Cap Index	Various	Various			-	L
Vanguard Total Bond Market Index	Various	Various	75,000 00	76,887 36	(1,887 36)	L
Total Long-Term Gains (Losses) From The Sale of Securities			<u>375,000 00</u>	<u>205,115 21</u>	<u>169,884 79</u>	
Capital Gain Dividends						
Fidelity New Markets Income	Capital Gain Dividend		1,059 63	-	1,059 63	L
Vanguard International Growth	Capital Gain Dividend		23,331 37		23,331 37	L
Vanguard International Explorer	Capital Gain Dividend		30,873 46	-	30,873 46	L
Vanguard REIT Index	Capital Gain Dividend		-	-	-	L
Vanguard Total Bond Market Index	Capital Gain Dividend		84 12	-	84 12	L
Total Capital Gain Dividends			<u>55,348 58</u>	<u>-</u>	<u>55,348 58</u>	
Total Long-Term Capital Gains (Losses)			<u>430,348 58</u>	<u>205,115 21</u>	<u>225,233 37</u>	

Page 6 - Part VIII - Information About Officers, Directors, Trustees, etc.

Name and address	Title, and average hours per week devoted to position	Compensation	Contributions To Benefit Plans Etc	Expense Account Allowances
Jared Hardner 15 Woodland Drive, Amherst, NH 03031	President & Director 1 Hour Per Week	None	None	None
Margaret Hardner 1026 Amherst Place, Cheshire, CT 06410	Treasurer & Director 1 Hour Per Week	None	None	None
Rebecca Haverly 4060 Aveniel Court, Erie, PA 16506	Secretary & Director 1 Hour Per Week	None	None	None
Sara Leon 4109 McBrine Place, Austin, TX 78746	Director 1 Hour Per Week	None	None	None
Elizabeth Hannick 1011 Beacon St - Unit 4, Brookline, MA 02446	Director 1 Hour Per Week	None	None	None
Christine Haverly 1007 Claytonia Terrace, Apt 1, Richmond Heights, MO 63117	Director 1 Hour Per Week	None	None	None
Sara Hardner 114 Golf View Drive, Georgetown, TX 78633	Director 1 Hour Per Week	None	None	None
Gerald Hardner 114 Golf View Drive, Georgetown, TX 78633	Director 1 Hour Per Week	None	None	None

Page 11 - Part XV - Supplementary Information - Grants and Contributions Paid During the Year

Recipient	Foundation Status	Purpose of Grant	Amount
Breakthrough Central Texas 1050 East 11th Street - Suite 350 Austin, TX 78702	Public	Help fund summer academic program for underserved community	12,000
Cathedral Preparatory School 255 West 9th Street Erie, PA 16501	Public	Help fund school's student scholarship program	30,000
Communities In Schools 3000 South IH-35 - Suite 200 Austin, TX 78704	Public	Help fund children's mental health services in public schools	35,000
Erie Zoo PO Box 3268 Erie, PA 16508	Public	Help fund capital improvement projects for the community zoo	20,000
Georgetown Project PO Box 957 Georgetown, TX 78627	Public	Help fund shelter for homeless children	12,500
HomeFront 88 Hamilton Ave Stamford, CT 06902	Public	Help fund home repair and renovation for financially disadvantaged homeowners with financial needs	40,000
Lone Survivor Foundation 2636 S Loop W - Suite 280 Houston, TX 77054	Public	Help fund counseling program for veterans suffering from PTSD	5,000
New Horizons 199 Manchester Street Manchester, NH 03105	Public	Help fund improvements to the dormitory for the homeless shelter	5,000
Nichols School 1250 Amherst Street Buffalo, NY 14216	Public	Help fund school's student scholarship program	10,000
ServErie PO Box 9818 Erie, PA 16505	Public	Help fund improvements to Erie's public schools	10,000
Shankar Service Foundation 3845 State Street Erie, PA 16508	Public	Help fund elementary school in India	15,000
Sisters of Saint Joseph NW PA 5031 West Ridge Road Erie, PA 16501	Public	Help fund the installation of hearing assistance technology ("hearing loop") for elderly sisters in the convent	22,000
The Nature Conservancy 4245 North Fairfax Drive - Suite 100 Arlington, VA 22203	Public	Help fund satellite tracking research of hawksbill turtles that nest in Solomon Islands	25,000
Villa Maria Academy 2403 West 8th Street Erie, PA 16505	Public	Help fund school's student scholarship program	30,000
Total Grants and Contributions Paid			271,500