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Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018 Open to Public Inspection

For calendar year 2018 or tax year beginning , and ending

Name of foundation: SOKOLOFF FOUNDATION, INC.

A Employer identification number: 13-6155196

Number and street (or P O box number if mail is not delivered to street address): 200 EAST 78TH STREET — Room/suite: 16D

B Telephone number: 212 744-5337

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10075-2017

C If exemption application is pending, check here ▶ ☐

6

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

04

03/04

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 8,776,561.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	164,440.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	484.	484.		STATEMENT 2
	4 Dividends and interest from securities	216,203.	216,203.		STATEMENT 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	415,419.			STATEMENT 1
	b Gross sales price for all assets on line 6a 1,024,954.				
	7 Capital gain net income (from Part IV, line 2)		499,893.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	200.	0.		STATEMENT 4
	12 **Total.** Add lines 1 through 11	796,746.	716,580.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 5	11,267.	0.		11,267.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 6	4,513.	2,013.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 7	2,293.	103.		2,190.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	18,073.	2,116.		13,457.
	25 Contributions, gifts, grants paid	623,218.			623,218.
	26 **Total expenses and disbursements** Add lines 24 and 25	641,291.	2,116.		636,675.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	155,455.			
	b **Net investment income** (if negative, enter -0-)		714,464.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets			
1 Cash - non-interest-bearing	75,077.	86,102.	86,102.
2 Savings and temporary cash investments	195,613.	103,143.	103,143.
3 Accounts receivable ▶			
Less: allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U.S. and state government obligations			
b Investments - corporate stock STMT 8	6,858,556.	7,120,993.	8,587,316.
c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other			
14 Land, buildings, and equipment: basis ▶			
Less accumulated depreciation ▶			
15 Other assets (describe ▶ UNSETTLED SALES)	25,537.	0.	0.
16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	7,154,783.	7,310,238.	8,776,561.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26, and lines 30 and 31			
24 Unrestricted	7,154,783.	7,310,238.	
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg., and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 **Total net assets or fund balances**	7,154,783.	7,310,238.	
31 **Total liabilities and net assets/fund balances**	7,154,783.	7,310,238.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,154,783.
2 Enter amount from Part I, line 27a	2	155,455.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	7,310,238.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	7,310,238.

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ STEPHEN SOKOLOFF Telephone no. ▶ 212 744-5337

Located at ▶ 200 EAST 78TH STREET, APT 16D, NEW YORK, NY ZIP+4 ▶ 10075-2017

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______ , ______ , ______ , ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______ , ______ , ______ , ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year, did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b		
Organizations relying on a current notice regarding disaster assistance, check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STEPHEN SOKOLOFF 200 EAST 78TH STREET, APT 16D NEW YORK, NY 10075-2017	PRESIDENT AND TREASURER 10.00	0.	0.	0.
HELENA LOUISE SOKOLOFF 200 EAST 78TH STREET, APT 16D NEW YORK, NY 10075-2017	VICE PRESIDENT AND SECRETARY 5.00	0.	0.	0.
PATRICIA GANTZ 200 EAST 78TH STREET, APT 16D NEW YORK, NY 10075-2017	DIRECTOR 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

STEPHEN SOKOLOFF

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
92ND STREET Y 1395 LEXINGTON AVENUE NEW YORK, NY 10128	N/A	PC	GENERAL OPERATING SUPPORT	6,300.
AARP FOUNDATION 601 E STREET NW, 4TH FL WASHINGTON, DC 20019	N/A	PC	GENERAL OPERATING SUPPORT	100.
ACKERMAN INSTITUTE FOR THE FAMILY 936 BROADWAY, 2ND FL NEW YORK, NY 10010	N/A	PC	GENERAL OPERATING SUPPORT	22,000.
ACLU FOUNDATION 125 BROAD STREET NEW YORK, NY 10004	N/A	PC	GENERAL OPERATING SUPPORT	300.
AFYA FOUNDATION 140 SAW MILL RIVER ROAD YONKERS, NY 10701	N/A	PC	GENERAL OPERATING SUPPORT	5,200.
Total SEE CONTINUATION SHEET(S)			▶ 3a	623,218.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
OSBORNE ASSOCIATION 809 WESTCHESTER AVENUE BRONX, NY 10455	N/A	PC	GENERAL OPERATING SUPPORT	500.
PARSONS DANCE 229 W 42ND STREET, 8TH FL NEW YORK, NY 10036	N/A	PC	GENERAL OPERATING SUPPORT	1,500.
PLANNED PARENTHOOD FEDERATION OF AMERICA 123 WILLIAM STREET, 10TH FL NEW YORK, NY 10038	N/A	PC	GENERAL OPERATING SUPPORT	500.
PLAY FOR PINK CANCER SOCIETY PO BOX 22478 OKLAHOMA CITY, OK 73123	N/A	PC	GENERAL OPERATING SUPPORT	125.
SALVATION ARMY 615 SALTERS LANE ALEXANDRIA, VA 22313	N/A	PC	GENERAL OPERATING SUPPORT	120.
SEEDS OF PEACE 370 LEXINGTON AVENUE, SUITE 1201 NEW YORK, NY 10017	N/A	PC	GENERAL OPERATING SUPPORT	2,250.
THIRTEEN 825 EIGHTH AVENUE NEW YORK, NY 10019	N/A	PC	GENERAL OPERATING SUPPORT	100.
UJA FEDERATION 130 EAST 59TH STREET NEW YORK, NY 10022	N/A	PC	GENERAL OPERATING SUPPORT	35,000.
UNC CHAPEL HILL PO BOX 309 CHAPEL HILL, NC 27514	N/A	PC	GENERAL OPERATING SUPPORT	300.
WESTCHESTER CADDIE SCHOLARSHIP FUND 49 KNOLLWOOD ROAD, SUITE 220 ELMSFORD, NY 10523	N/A	PC	GENERAL OPERATING SUPPORT	1,300.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WHITNEY MUSUEM OF ART 99 GANSEVOORT STREET NEW YORK, NY 10014	N/A	PC	GENERAL OPERATING SUPPORT	1,064.
WILLIAM MAEROV EARLY CHILDHOOD FUND C/O MANDEL JCC, 5221 HOOD ROAD PALM BEACH GARDENS, FL 33418	N/A	PC	GENERAL OPERATING SUPPORT	2,500.
Total from continuation sheets				

Part XVI-A · Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	484.	
4 Dividends and interest from securities			14	216,203.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	415,419.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a RESCINDED GRANT			01	200.	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		632,306.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 632,306.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	*Transfers from the reporting foundation to a noncharitable exempt organization of:*			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ X [signature] | X 8/1/19 | ▶ PRESIDENT

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN
JOSEPH L. ALI, CPA	Joseph L Ali	8/1/19		P02093808
Firm's name ▶ PKF O'CONNOR DAVIES, LLP			Firm's EIN ▶ 27-1728945	
Firm's address ▶ 665 FIFTH AVENUE NEW YORK, NY 10022-5342			Phone no. 212 286-2600	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2018

Name of the organization	Employer identification number
SOKOLOFF FOUNDATION, INC.	13-6155196

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000, or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1 Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year ▶ $ ______________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: SOKOLOFF FOUNDATION, INC.

Employer identification number: 13-6155196

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	STEPHEN SOKOLOFF 215 FOX MEADOW ROAD SCARSDALE, NY 10583-1643	$ 49,580.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
2	STEPHEN SOKOLOFF 215 FOX MEADOW ROAD SCARSDALE, NY 10583-1643	$ 51,180.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
3	STEPHEN SOKOLOFF 215 FOX MEADOW ROAD SCARSDALE, NY 10583-1643	$ 63,680.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
SOKOLOFF FOUNDATION, INC.	13-6155196

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	UNITEDHEALTH GP INC (UNH) 200.000 SHARES	$ 49,580.	05/21/18

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
2	MONSANTO CO (MON) 400.000 SHARES	$ 51,180.	06/06/18

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
3	HUMANA INC (HUM) 200.000 SHARES	$ 63,680.	07/19/18

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		$	

Name of organization

SOKOLOFF FOUNDATION, INC.

Employer identification number

13-6155196

Part III **Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor.** Complete columns **(a)** through **(e)** and the following line entry For organizations completing Part III, enter the total of exclusively religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PUBLICLY TRADED SECURITIES			

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
901,278.	494,675.	0.	0.	406,603.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
MONSANTO CO (MON) 400.000 SHARES	DONATED	06/06/18	06/07/18

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
51,200.	51,180.	0.	0.	20.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HUMANA INC (HUM) 200.000 SHARES	DONATED	07/19/18	08/01/18

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
64,292.	63,680.	0.	0.	612.

CAPITAL GAINS DIVIDENDS FROM PART IV	8,184.
TOTAL TO FORM 990-PF, PART I, LINE 6A	415,419.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY	484.	484.	
TOTAL TO PART I, LINE 3	484.	484.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY	224,387.	8,184.	216,203.	216,203.	
TO PART I, LINE 4	224,387.	8,184.	216,203.	216,203.	

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
RESCINDED GRANT	200.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	200.	0.	

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BOOKKEEPING	400.	0.		400.
TAX RETURN PREPARATION	10,867.	0.		10,867.
TO FORM 990-PF, PG 1, LN 16B	11,267.	0.		11,267.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	2,500.	0.		0.
FOREIGN TAXES WITHHELD	2,013.	2,013.		0.
TO FORM 990-PF, PG 1, LN 18	4,513.	2,013.		0.

FORM 990-PF — OTHER EXPENSES — STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK AND INVESTMENT FEES	103.	103.		0.
FILING FEES	750.	0.		750.
TELEPHONE EXPENSES	1,440.	0.		1,440.
TO FORM 990-PF, PG 1, LN 23	2,293.	103.		2,190.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
AMERICA EXPRESS CO (AXP) 2,500 SHARES	146,461.	238,300.
AMGEN INC (AMGN) 600 SHARES	96,755.	116,802.
ANADARKO PETE (APC) 2,600 SHARES	43,820.	113,984.
AT&T INC (T) 2,000 SHARES	66,550.	57,080.
AVANOS MEDICAL INC (AVNS) 1,400 SHARES	54,385.	62,706.
BRISTOL MYERS SQUIBB CO (BMY) 2,000 SHARES	109,621.	103,960.
CABOT OIL & GAS CORP A (COG) 4,700 SHARES	125,640.	105,045.
CHESAPEAKE ENERGY CORP (CHK) 10,000 SHARES	132,008.	21,000.
COMPASS MINERALS INTER INC (CMP) 3,200 SHARES	247,085.	133,408.
CONOCOPHILLIPS (COP) 2,500 SHARES	67,815.	155,875.
CONSOLIDATED EDISON INC (ED) 1,500 SHARES	60,290.	114,690.
CORNING INC (GLW) 8,000 SHARES	173,367.	241,680.
CVS HEALTH CORP COM (CVS) 1,850 SHARES	158,265.	121,212.
DOMINION ENERGY INC (D) 1,800 SHARES	128,940.	128,628.
DOWDUPONT INC (DWDP) 7,700 SHARES	245,710.	411,796.
DUKE ENERGY CORPORATION (DUK) 2,400 SHARES	117,701.	207,120.
ELI LILLY & CO (LLY) 1,000 SHARES	33,947.	115,720.
ENBRIDGE INC (ENB) 4,428 SHARES	104,308.	137,622.
EQT CORPORATION COM NEW (EQT) 2,700 SHARES	63,958.	51,003.
EQUITRANS MIDSTREAM CORPORATION (ETRN) 2,160 SHARES	57,590.	43,243.
ESC SEVENTY SEVEN (SESEZ) 3,300 SHARES	82,812.	0.
FORESTAR GRP INC (FOR) 5,900 SHARES	118,612.	81,715.
FREEPORT-MCMORAN CL-B (FCX) 6,900 SHARES	220,258.	71,139.
GENERAL MILLS INC (GIS) 5,000 SHARES	109,339.	194,700.
GLAXOSMITHKLINE PLC ADR (GSK) 2,000 SHARES	86,024.	76,420.
GRANITE CONSTR INC (GVA) 7,600 SHARES	71,132.	306,128.
HESS CORPORATION (HES) 2,900 SHARES	134,722.	117,450.
HEXCEL CORP NEW (HXL) 4,800 SHARES	105,800.	275,232.
HOLLYFRONTIER CORP COM (HFC) 3,600 SHARES	157,878.	184,032.
INTL BUSINESS MACHINES CORP (IBM) 700 SHARES	100,412.	79,569.
JOHNSON & JOHNSON (JNJ) 3,000 SHARES	106,026.	387,150.
KINDER MORGAN INCORP (KMI) 2,800 SHARES	105,153.	43,064.
KROGER CO (KR) 2,900 SHARES	84,906.	79,750.
LABORATORY CP AMER HLDGS NEW (LH) 800 SHARES	95,878.	101,088.
LOWES COMPANIES INC (LOW) 4,000 SHARES	121,895.	369,440.
LYONDELLBASELL NV CL-A (LYB) 3,800 SHARES	167,167.	316,008.
MARATHON OIL CO (MRO) 2,000 SHARES	50,711.	28,680.
MARATHON PETROLEUM CORP (MPC) 6,401 SHARES	189,864.	377,723.
MERCK & CO INC NEW COM (MRK) 250 SHARES	9,165.	19,103.
MONDELEZ INTL INC COM (MDLZ) 2,500 SHARES	103,190.	100,075.
MUELLER WATER PROD INC SER A (MWA) 19,000 SHARES	176,380.	172,900.
MYLAN N V (MYL) 2,200 SHARES	109,331.	60,280.
NEWELL BRANDS INC (NWL) 3,300 SHARES	116,289.	61,347.
NRG ENERGY INC (NRG) 2,300 SHARES	27,864.	91,080.
NUANCE COMMUNICATIONS INC (NUAN) 3,800 SHARES	78,740.	50,274.
NUTRIEN LTD (NTR) 3,340 SHARES	151,125.	156,980.
PFIZER INC (PFE) 2,500 SHARES	63,261.	109,125.
PHILLIPS 66 COM (PSX) 300 SHARES	26,446.	25,845.
QEP RESOURCES INC (QEP) 7,500 SHARES	82,995.	42,225.
SCHLUMBERGER LTD (SLB) 3,800 SHARES	144,902.	137,104.
SPROUTS FARMERS MARKET INC (SFM) 1,600 SHARES	33,508.	37,616.

STERIS PLC (STE) 2,200 SHARES	173,103.	235,070.
SUBSEA 7 S.A. SPONSORED ADR (SUBCY) 3,500 SHARES	77,053.	34,038.
TEVA PHARMACEUTICALS ADR (TEVA) 4,800 SHARES	180,170.	74,016.
TEXAS INSTRUMENTS (TXN) 2,700 SHARES	107,044.	255,150.
THE MOSAIC CO (HLDG CO) NEW (MOS) 3,500 SHARES	177,332.	102,235.
TRI POINTE GROUP INC (TPH) 5,100 SHARES	74,511.	55,743.
UNITED RENTALS INC (URI) 900 SHARES	68,868.	92,277.
UNITEDHEALTH GP INC (UNH) 200 SHARES	49,580.	49,824.
VERIZON COMMUNICATIONS (VZ) 4,500 SHARES	223,281.	252,990.
VULCAN MATERIALS CO (VMC) 3,300 SHARES	160,188.	326,040.
WEYERHAEUSER CO (WY) 6,200 SHARES	184,744.	135,532.
WILLIAMS CO INC (WMB) 2,900 SHARES	74,954.	63,945.
WPX ENERGY INC (WPX) 4,100 SHARES	73,840.	46,535.
ZURICH INSURANCE GRP LTD ADR (ZURVY) 1,000 SHARES	30,324.	29,805.
TOTAL TO FORM 990-PF, PART II, LINE 10B	7,120,993.	8,587,316.