

EXTENDED TO NOVEMBER 15, 2019

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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning

, and ending

Name of foundation

JOHN & BARBARA SAMUELSON FOUNDATION, INC

Number and street (or P O box number if mail is not delivered to street address)

C/O JOHN & BARBARA SAMUELSON

Room/suite

1800

A Employer identification number

13-4045935

B Telephone number

212-643-0099

City or town, state or province, country, and ZIP or foreign postal code

NEW YORK, NY 10121-5157C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test
check here and attach computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐

G Check all that apply.

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

J Accounting method.

☒ Cash☐ Accrual☐ Other (specify) _____▶ \$ **614,255.** (Part I, column (d) must be on cash basis.)**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received	28,619.		N/A	
2 Check ☐ if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	116.	116.		STATEMENT 1
4 Dividends and interest from securities	15,319.	15,319.		STATEMENT 2
5a Gross rents				
b Rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	146,627.			
b Gross sales price less sales costs of line 6a	199,108.			
7 Capital gain net income (from Part IV, line 2)		146,627.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	190,681.	162,062.		
13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees				
c Other professional fees				
17 Interest				
18 Taxes	2,750.	0.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses	51.	51.		0.
24 Total operating and administrative expenses. Add lines 13 through 23	2,801.	51.		0.
25 Contributions, gifts, grants paid	198,700.			198,700.
26 Total expenses and disbursements. Add lines 24 and 25	201,501.	51.		198,700.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-10,820.			
b Net investment income (if negative, enter -0-)		162,011.		
c Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	33,860.	28,774.	28,774.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	118,351.	112,617.	585,481.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment; basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		152,211.	141,391.	614,255.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	152,211.	141,391.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 Total net assets or fund balances	152,211.	141,391.		
31 Total liabilities and net assets/fund balances	152,211.	141,391.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	152,211.
2 Enter amount from Part I, line 27a	2	-10,820.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	141,391.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	141,391.

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address ► N/A		
14 The books are in care of ► PRAGER METIS CPAS LLC Telephone no. ► 212-643-0099		
Located at ► 14 PENN PLAZA SUITE 1800, NEW YORK, NY ZIP+4 ► 10122		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	N/A	
Organizations relying on a current notice regarding disaster assistance, check here ► ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?	☐ Yes ☒ No	
If "Yes," list the years ► , , ,		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , , ,		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No	
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	5b
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d).	N/A ☐ Yes ☐ No	
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		6b ☒
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN SAMUELSON	DIRECTOR			
132 EAST 72ND STREET				
NEW YORK, NY 10021	0.00	0.	0.	0.
BARBARA SAMUELSON	DIRECTOR			
132 EAST 72ND STREET				
NEW YORK, NY 10021	0.00	0.	0.	0.
CHARLES A. SAMUELSON	DIRECTOR			
142 EAST 71ST STREET, APT 8A				
NEW YORK, NY 10021	0.00	0.	0.	0.
RICHARD A. SAMUELSON	DIRECTOR			
1488 OAKDALE STREET				
PASADENA, CA 91106	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2018)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 6

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STMT A				198,700.
Total			3a	198,700.
b Approved for future payment				
NONE				
Total			3b	0.

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2018

Name of the organization

Employer identification number

JOHN & BARBARA SAMUELSON FOUNDATION, INC**13-4045935**

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Employer identification number

JOHN & BARBARA SAMUELSON FOUNDATION, INC

13-4045935

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOHN & BARBARA SAMUELSON 132 EAST 72 STREET NEW YORK, NY 10021	\$ 28,619.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

Employer identification number

JOHN & BARBARA SAMUELSON FOUNDATION, INC**13-4045935****Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	SEE STMT B _____ _____ _____	\$ <u>56,023.</u>	<u>VARIOUS</u>
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization

Employer identification number

JOHN & BARBARA SAMUELSON FOUNDATION, INC**13-4045935**

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Form 990-PF, Part XV - Supplementary Information, #3a - Grants and Contributions Paid During the Year or Approved for Future Payment

<u>Recipient</u>	<u>Address</u>	<u>Relationship</u>	<u>Date</u>	<u>Purpose</u>	<u>Amount</u>
HILLSDALE COLLEGE	33 E College Street, Hillsdale, MI 49242	NONE	1/2/2018	UNRESTRICTED	1,000.00
THE JEWISH MUSEUM	1109 5th Ave at 92nd St, New York, NY 10128	NONE	1/16/2018	UNRESTRICTED	2,500.00
THE HERITAGE FOUNDATION	214 Massachusetts Ave NE, Washington DC 20002-4999	NONE	1/19/2018	UNRESTRICTED	500.00
WESTPORT COUNTY PLAYHOUSE	25 Powers Court, Westport, Connecticut 06880	NONE	1/22/2018	UNRESTRICTED	5,000.00
THE ACTORS FUND	729 7th Avenue 10th floor, New York, NY 10019	NONE	1/23/2018	UNRESTRICTED	500.00
JAMES LENOX HOUSE ASSN	49 E 73rd St, New York, NY 10021	NONE	2/5/2018	UNRESTRICTED	1,500.00
JAMES LENOX HOUSE ASSN	49 E 73rd St, New York, NY 10021	NONE	3/7/2018	UNRESTRICTED	5,000.00
THE METROPOLITAN OPERA	Lincoln Center, New York, NY 10023	NONE	3/8/2018	UNRESTRICTED	10,000.00
NEW YORK HISTORICAL SOCIETY	53 E 79th St, New York, NY 10075	NONE	3/12/2018	UNRESTRICTED	500.00
BIG BROTHERS BIG SISTERS OF NY	40 Rector Street, New York, NY 10006	NONE	3/29/2018	UNRESTRICTED	1,000.00
THE METROPOLITAN MUSEUM OF ART	1000 5th Ave, New York, NY 10028	NONE	3/29/2018	UNRESTRICTED	600.00
RONALD MCDONALD HOUSE NEW YORK	One Kroc Drive, Oak Brook, IL 60523	NONE	4/3/2018	UNRESTRICTED	15,000.00
LOWER EAST SIDE TENEMENT MUSUEM	103 Orchard St, New York, NY 10002	NONE	4/12/2018	UNRESTRICTED	1,000.00
AJC	225 Milburn Ave Ste 305, Milburn	NONE	4/14/2018	UNRESTRICTED	500.00
CHABAD AT CALTECH	430 S Hill Avenue, Pasadena, CA 91106	NONE	4/17/2018	UNRESTRICTED	1,800.00
ROUNDAABOUT THEATRE COMPANY	231 West 39th Street, Suite 1200, New York, NY 10018	NONE	4/17/2018	UNRESTRICTED	5,000.00
TEMPLE SHAARAY TEFILA	250 E 79th Street, New York, NY 10075	NONE	4/18/2018	UNRESTRICTED	500.00
APPLESEED	RWVA, P O BOX 965, Morehead, KY 40351	NONE	4/24/2018	UNRESTRICTED	500.00
THE NEW YORK BOTANICAL GARDEN	2900 Southern Blvd, Bronx, NY 10458	NONE	5/7/2018	UNRESTRICTED	2,500.00
WESTPORT COUNTY PLAYHOUSE	25 Powers Court, Westport, Connecticut 06880	NONE	5/8/2018	UNRESTRICTED	25,000.00
THE HOLE IN THE WALL GANG CAMP	565 Ashford Center Road, Ashford, CT 06278	NONE	5/29/2018	UNRESTRICTED	5,000.00
WHEATON COLLEGE	501 College Avenue, Wheaton, IL 60187	NONE	6/7/2018	UNRESTRICTED	1,000.00
WESTPORT COUNTY PLAYHOUSE	25 Powers Court, Westport, Connecticut 06880	NONE	6/13/2018	UNRESTRICTED	25,000.00
AJC	225 Milburn Ave Ste 305, Milburn	NONE	6/21/2018	UNRESTRICTED	500.00
AMERICAN THEATRE WING	230 W 41st St #1101, New York, NY 10036	NONE	6/28/2018	UNRESTRICTED	2,500.00
CLAREMONT INSTITUTE	937 W Foothill Blvd Ste E, Claremont, CA 91711	NONE	7/7/2018	UNRESTRICTED	10,000.00
TEMPLE SHAARAY TEFILA	250 E 79th Street, New York, NY 10075	NONE	7/20/2018	UNRESTRICTED	20,000.00
NEW YORK PHILHARMONIC	10 Lincoln Center Plz, New York, NY 10023	NONE	8/23/2018	UNRESTRICTED	5,000.00
THE HOLE IN THE WALL GANG CAMP	565 Ashford Center Road, Ashford, CT 06278	NONE	9/5/2018	UNRESTRICTED	5,000.00
PACIFIC RESEARCH INSTITUTE	One Embarcadero Center, Suite 350, San Francisco, CA 94111	NONE	9/7/2018	UNRESTRICTED	10,000.00
THE ACTORS FUND	729 7th Avenue 10th floor, New York, NY 10019	NONE	9/11/2018	UNRESTRICTED	1,000.00
AMERICAN ISRAEL CULTURAL FOUNDATION	20 East, 46th Street, 15th floor, New York, NY 10017	NONE	9/13/2018	UNRESTRICTED	10,000.00
AMERICAN FOREIGN POLICY COUNCIL	509 C Street NE, Washington, DC 20002	NONE	9/24/2018	UNRESTRICTED	5,000.00
CHABAD AT CALTECH	430 S Hill Avenue, Pasadena, CA 91106	NONE	11/26/2018	UNRESTRICTED	1,800.00
AMERICAN SKIN ASSOCIATION	335 Madison Ave 22 Floor, New York, NY 10017	NONE	12/8/2018	UNRESTRICTED	1,000.00
JAMES LENOX HOUSE ASSN	49 E 73rd St, New York, NY 10021	NONE	12/10/2018	UNRESTRICTED	5,000.00
FRAXA RESEARCH FOUNDATION	10 Pmnce Place, Suite 203, Newburyport, MA 01950	NONE	12/10/2018	UNRESTRICTED	1,000.00
THE HOLE IN THE WALL GANG CAMP	565 Ashford Center Road, Ashford, CT 06278	NONE	12/18/2018	UNRESTRICTED	5,000.00
GROWN NYC	P O Box 2327, New York, NY 10272	NONE	12/19/2018	UNRESTRICTED	5,000.00
					198,700.00

JOHN & BARBARA SAMUELSON FOUNDATION, INC

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	39 SHS. TEKLA LIFE SCIENCES INVESTORS	P	VARIOUS	06/06/18
b	28 SHS. TEKLA LIFE SCIENCES	P	03/31/14	06/06/18
c	3000 SHS. GABELLI MULTIMEDIA TRUST	P	VARIOUS	05/02/18
d	972 SHS. TEKLA LIFE SCIENCES INVESTORS	P	VARIOUS	06/06/18
e	100 SHS. IBM	P	02/04/93	02/14/18
f	4000 SHS. NAPCO SECURITY TECH INC	P	10/06/99	09/24/18
g	300 SHS. PHILIP MORRIS INTL INC	P	01/24/01	06/06/18
h	250 SHS. PROCTER & GAMBLE CO	P	03/18/80	01/30/18
i	300 SHS. TRACTOR SUPPLY CO	P	10/28/10	04/17/18
j	225 SHS. AMC NETWORKS INC	P	07/07/11	07/23/18
k	20 SHS. MOTORS LIQ	P	06/12/12	08/08/18
l	CAPITAL GAIN DISTRIBUTIONS	P	VARIOUS	12/31/18
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 728.		835.	-107.
b 523.		624.	-101.
c 27,575.		22,357.	5,218.
d 18,139.		11,533.	6,606.
e 15,277.		1,302.	13,975.
f 59,216.		2,646.	56,570.
g 23,275.		7,161.	16,114.
h 21,575.		171.	21,404.
i 17,733.		5,852.	11,881.
j 13,682.			13,682.
k 185.			185.
l 1,200.			1,200.
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-107.
b			-101.
c			5,218.
d			6,606.
e			13,975.
f			56,570.
g			16,114.
h			21,404.
i			11,881.
j			13,682.
k			185.
l			1,200.
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	146,627.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH	116.	116.	
TOTAL TO PART I, LINE 3	116.	116.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH- CMA	15,319.	0.	15,319.	15,319.	
TO PART I, LINE 4	15,319.	0.	15,319.	15,319.	

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAX PAID	2,750.	0.		0.
TO FORM 990-PF, PG 1, LN 18	2,750.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	51.	51.		0.
TO FORM 990-PF, PG 1, LN 23	51.	51.		0.

FORM 990-PF

CORPORATE STOCK

STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	112,617.	585,481.
TOTAL TO FORM 990-PF, PART II, LINE 10B	112,617.	585,481.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 6

NAME OF MANAGER

JOHN SAMUELSON
BARBARA SAMUELSON