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Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Go to *www.irs.gov/Form990PF* for instructions and the latest information.**

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation
NOVOGRADAC RIVERS FOUNDATION

A Employer identification number
94-3399829

Number and street (or P O box number if mail is not delivered to street address)
244 GLORIETTA BLVD

Room/suite

B Telephone number (see instructions)
(925) 254-8080

City or town, state or province, country, and ZIP or foreign postal code
ORINDA, CA 94563

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16)* ▶$ 11,070,473

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	1,000,000			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	578	578	578	
	4	Dividends and interest from securities	213,066	200,946	213,066	
	5a	Gross rents				
	b	Net rental income or (loss) _____				
	6a	Net gain or (loss) from sale of assets not on line 10	5,349			
	b	Gross sales price for all assets on line 6a 1,093,403				
	7	Capital gain net income (from Part IV, line 2)		5,349		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	34,658	34,658	34,658	
	12	**Total.** Add lines 1 through 11	1,253,651	241,531	248,302	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0	0	0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	2,300	0	0	0
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	3,010	0	0	0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	5,310	0	0	0
	25	Contributions, gifts, grants paid	102,483			102,483
	26	**Total expenses and disbursements.** Add lines 24 and 25	107,793	0	0	102,483
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	1,145,858			
	b	**Net investment income** (if negative, enter -0-)		241,531		
	c	**Adjusted net income** (if negative, enter -0-)			248,302	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	502,517	1,535,787	1,535,787
	2 Savings and temporary cash investments	863,007	2,059,314	2,059,314
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)	509,199	379,790	386,661
	b Investments—corporate stock (attach schedule)	474,926	474,926	949,520
	c Investments—corporate bonds (attach schedule)	5,620,396	4,434,559	4,367,730
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)	1,548,404	1,771,461	1,771,461
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	9,518,449	10,655,837	11,070,473
Liabilities	17 Accounts payable and accrued expenses	16,589	8,119	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities**(add lines 17 through 22)	16,589	8,119	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0	0	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	9,501,860	10,647,718	
	30 **Total net assets or fund balances** (see instructions)	9,501,860	10,647,718	
	31 **Total liabilities and net assets/fund balances** (see instructions)	9,518,449	10,655,837	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,501,860
2	Enter amount from Part I, line 27a	2	1,145,858
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	10,647,718
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	10,647,718

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ N/A

14 The books are in care of ▶ BARBARA J NOVOGRADAC Telephone no ▶ (925) 254-8080

Located at ▶ 244 GLORIETTA BLVD ORINDA CA ZIP+4 ▶ 94563

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☑ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☑ No		

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL J NOVOGRADAC 244 GLORIETTA BLVD ORINDA, CA 94563	CEO 0 00	0	0	0
BARBARA J NOVOGRADAC 244 GLORIETTA BLVD ORINDA, CA 94563	VP 0 00	0	0	0
CHARLES NOVOGRADAC 244 GLORIETTA BLVD ORINDA, CA 94563	SECRETARY 0 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . . ▶ **3a**				
b *Approved for future payment*				
Total . . . . . ▶ **3b**				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	List and describe the kind(s) of property sold (e g , real estate, **(a)** 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
1	LONG TERM CAPITAL GAIN - FIDELITY DISTRIBUTION	P		2018-07-01
1	MS - PACIFIC GAS ELEC 8250	P	2017-09-26	2018-02-20
	MS - CATERPILLAR FIN 2450	P	2017-06-23	2018-09-06
	MS - CHICAGO ILL BE 5000	P	2015-04-09	2018-01-16
	MS - CLARK CO S/D-A BE 5000	P	2015-04-02	2018-06-15
	MS - CT ST HLTH-F BE 5500	P	2015-05-07	2018-07-01
	MS- PITNEY BOWES INC 62550	P	2017-06-28	2018-08-02
	MS - ROPER INDUSTRIES 2050	P	2017-06-28	2018-10-01
	CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
6,212			6,212
206,536		206,536	0
250,000		250,000	0
10,000		10,000	0
100,000		100,000	0
15,000		16,039	-1,039
255,479		255,479	0
250,000		250,000	0
176			176

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) **or** **(l)** Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col (i) **(k)** over col (j), if any	
			6,212
			0
			0
			0
			0
			-1,039
			0
			0
			176

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).

MICHAEL J NOVOGRADAC
BARBARA J NOVOGRADAC
CHARLES NOVOGRADAC

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ALAMEDA COUNTY COMMUNITY 7900 EDGEWATER DRIVE OAKLAND, CA 94621		PC	GENERAL ASSISTANCE	250
AMIGOS DE LOS AMERICAS 1800 WEST LOOP S 1325 HOUSTON, TX 77027		PC	GENERAL ASSISTANCE	100
BENETECH 480 CALIFORNIA AVE SUITE 201 PALO ALTO, CA 94306		PC	GENERAL ASSISTANCE	1,000
Total . . . . . ▶ **3a**				102,483

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BRIDGE HOUSING 600 CALIFORNIA ST SUITE 900 SAN FRANCISCO, CA 94108		PC	GENERAL ASSISTANCE	500
BSA MT DIABLO SILVERADO COUNCIL 800 ELLINWOOD WAY PLEASANT HILL, CA 94523		PC	GENERAL ASSISTANCE	500
CLAREMONT MCKENNA 888 N COLUMBIA AVE CLAREMONT, CA 91711		PC	GENERAL ASSISTANCE	12,500
Total . . . . . ▶ **3a**				102,483

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
EBBETTS PASS FIREFIGHTERS PO BOX 66 ARNOLD, CA 95223		PC	GENERAL ASSISTANCE	500
GRATEFUL GATHERINGSPO BOX 20086 OAKLAND, CA 94620		PC	GENERAL ASSISTANCE	100
HEAD ROYCE SCHOOL 4315 LINCOLN AVE OAKLAND, CA 94602		PC	GENERAL ASSISTANCE	250
Total . . . . . ▶ **3a**				102,483

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HEAD ROYCE SCHOOL 4315 LINCOLN AVE OAKLAND, CA 94602		PC	GENERAL ASSISTANCE	5,000
HEAD ROYCE SCHOOL 4315 LINCOLN AVE OAKLAND, CA 94602		PC	GENERAL ASSISTANCE	1,000
HEAD ROYCE SCHOOL 4316 LINCOLN AVE OAKLAND, CA 94602		PC	GENERAL ASSISTANCE	1,000
Total . . . . . ▶ **3a**				102,483

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HOOVER INSTITUTION 1399 NEW YORK AVE NW 500 WASHINGTON, DC 20005		PC	GENERAL ASSISTANCE	1,000
HOPE THROUGH HOUSING FUND 9421 HAVEN AVENUE RANCHO CUCAMONGA, CA 91730		PC	GENERAL ASSISTANCE	500
KHAN ACADEMYPO BOX 1630 MOUNTAIN VIEW, CA 94042		PC	GENERAL ASSISTANCE	2,000
Total . . . . . ▶ **3a**				102,483

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
KIDS ALIVE INTERNATIONAL 2507 CUMBERLAND DR VALPARAISO, IN 46383		PC	GENERAL ASSISTANCE	1,000
KIDS ALIVE INTERNATIONAL PO BOX 2117 VALPARAISO, IN 463842117		PC	GENERAL ASSISTANCE	468
LEARNING ALLY20 ROSZEL ROAD PRINCETON, NJ 08540		PC	GENERAL ASSISTANCE	1,000
Total . . . . . ▶ **3a**				102,483

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LEARNING ALLY20 ROSZEL ROAD PRINCETON, NJ 08540		PC	GENERAL ASSISTANCE	4,000
MERCATUS CENTER 3434 WASHINGTON BLVD 4TH FLOOR ARLINGTON, VA 22201		PC	GENERAL ASSISTANCE	10,000
MERCATUS CENTER 3434 WASHINGTON BLVD 4TH FLOOR ARLINGTON, VA 22201		PC	GENERAL ASSISTANCE	15,000
Total . . . . . ▶ **3a**				102,483

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NATL HOUSING CONF 1900 M STREET NW SUITE 200 WASHINGTON, DC 20036		PC	GENERAL ASSISTANCE	25
NCL ACALANES CHAPTERPO BOX 974 LAFAYETTE, CA 94549		PC	GENERAL ASSISTANCE	100
NHP FOUNDATION 1090 VERMONT AVENUE NW SUITE 400 WASHINGTON, DC 20005		PC	GENERAL ASSISTANCE	1,250
Total . . . . . ▶ **3a**				102,483

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OAKLAND GIRLS SOFTBALL LEAGUE PO BOX 21296 OAKLAND, CA 94620		PC	GENERAL ASSISTANCE	1,000
OAKLAND GIRLS SOFTBALL LEAGUE PO BOX 21296 OAKLAND, CA 94620		PC	GENERAL ASSISTANCE	1,000
PIERRE'S BIRTHDAY FUND 5637 MASONIC AVE OAKLAND, CA 94618		PC	GENERAL ASSISTANCE	1,000
Total . . . . . ▶ **3a**				102,483

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PIERRE'S BIRTHDAY FUND 5637 MASONIC AVE OAKLAND, CA 94618		PC	GENERAL ASSISTANCE	440
PIERRE'S BIRTHDAY FUND 5637 MASONIC AVE OAKLAND, CA 94618		PC	GENERAL ASSISTANCE	1,000
PIERRE'S BIRTHDAY FUND 5638 MASONIC AVE OAKLAND, CA 94618		PC	GENERAL ASSISTANCE	1,000
Total . . . . . ▶ **3a**				102,483

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PIERRE'S BIRTHDAY FUND 5639 MASONIC AVE OAKLAND, CA 94618		PC	GENERAL ASSISTANCE	1,000
PLANNED PARENTHOOD 123 WILLIAM ST 10TH FLOOR NEW YORK, NY 10038		PC	GENERAL ASSISTANCE	1,000
PLANNED PARENTHOOD 123 WILLIAM ST 10TH FLOOR NEW YORK, NY 10038		PC	GENERAL ASSISTANCE	2,000
Total . . . . . ▶ **3a**				102,483

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PLAYWORKS380 WASHINGTON ST OAKLAND, CA 94607		PC	GENERAL ASSISTANCE	2,000
SAFESPORTORG 1385 S COLORADO BLVD SUITE A-706 DENVER, CO 80222		PC	GENERAL ASSISTANCE	2,000
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305		PC	GENERAL ASSISTANCE	10,000
Total . . . . . ▶ **3a**				102,483

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE ATHENIAN SCHOOL 2100 MT DIABLO SCENIC BLVD DANVILLE, CA 94506		PC	GENERAL ASSISTANCE	5,000
UCSF BENIOFF CHILDREN'S HOSPITAL 1975 4TH ST SAN FRANCISCO, CA 94158		PC	GENERAL ASSISTANCE	5,000
UNIVERSITY OF PITTSBURGH 4200 FIFTH AVE PITTSBURGH, PA 15260		PC	GENERAL ASSISTANCE	10,000
Total . . . . . ▶ **3a**				102,483

TY 2018 Accounting Fees Schedule

Name: NOVOGRADAC RIVERS FOUNDATION

EIN: 94-3399829

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	2,300	0	0	0

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TY 2018 Investments Corporate Bonds Schedule

Name: NOVOGRADAC RIVERS FOUNDATION

EIN: 94-3399829

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
MORGAN STANLEY ACCOUNT	2,727,468	2,644,607
FIDELITY ACCOUNT	1,707,091	1,723,123

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TY 2018 Investments Corporate Stock Schedule

Name: NOVOGRADAC RIVERS FOUNDATION

EIN: 94-3399829

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
FIDELITY ACCOUNT	474,926	949,520

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TY 2018 Investments Government Obligations Schedule

Name:	NOVOGRADAC RIVERS FOUNDATION
EIN:	94-3399829
US Government Securities - End of Year Book Value:	0
US Government Securities - End of Year Fair Market Value:	0
State & Local Government Securities - End of Year Book Value:	379,790
State & Local Government Securities - End of Year Fair Market Value:	386,661

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TY 2018 Other Assets Schedule

Name: NOVOGRADAC RIVERS FOUNDATION

EIN: 94-3399829

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PRI-OBDC	448,404	671,461	671,461
PRI-NATIONAL HOUSING TRUST	700,000	700,000	700,000
PRI-MESO	400,000	400,000	400,000

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TY 2018 Other Income Schedule

Name: NOVOGRADAC RIVERS FOUNDATION

EIN: 94-3399829

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PRI - INTEREST INCOME	34,054	34,054	34,054
MISCELLANEOUS INCOME	604	604	604

TY 2018 Taxes Schedule

Name: NOVOGRADAC RIVERS FOUNDATION

EIN: 94-3399829

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE TAX	10	0	0	0
FED TAX	3,000	0	0	0

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491239004249

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Go to *www.irs.gov/Form990* for the latest information

OMB No 1545-0047

2018

Name of the organization
NOVOGRADAC RIVERS FOUNDATION

Employer identification number
94-3399829

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . . ▶ $ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
NOVOGRADAC RIVERS FOUNDATION	94-3399829

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MICHAEL J NOVOGRADAC 244 GLORIETTA BLVD ORINDA, CA 94563	$ 500,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions)
2	BARBARA J NOVOGRADAC 244 GLORIETTA BLVD ORINDA, CA 94563	$ 500,000	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions)

Name of organization	Employer identification number
NOVOGRADAC RIVERS FOUNDATION	94-3399829

Part II Noncash Property

(See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization
NOVOGRADAC RIVERS FOUNDATION

Employer identification number
94-3399829

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $ ____________

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee