

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No 1545-0052
2018
Open to Public Inspection

For calendar year 2018, or tax year beginning 06-01-2018, and ending 05-31-2019

Name of foundation NORA & WILLIAM SMITH FOUNDATION		A Employer identification number 57-6110584	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 1802	Room/suite	B Telephone number (see instructions) (888) 866-3275	
City or town, state or province, country, and ZIP or foreign postal code PROVIDENCE, RI 029011802		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization: <input type="checkbox"/> Section 501(c)(3) exempt private foundation <input checked="" type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>3,622,716</u>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	73,287	73,624		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	131,537			
	b Gross sales price for all assets on line 6a	1,306,144			
	7 Capital gain net income (from Part IV, line 2)		131,537		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)			-22,744		
12 Total. Add lines 1 through 11	204,824	182,417			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	43,166	25,900		17,266
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)	1,363	818	0	545
	c Other professional fees (attach schedule)	9,240			9,240
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	12,199	2,066		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	812	873		
	24 Total operating and administrative expenses. Add lines 13 through 23	66,780	29,657	0	27,051
	25 Contributions, gifts, grants paid	94,288			94,288
26 Total expenses and disbursements. Add lines 24 and 25	161,068	29,657	0	121,339	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	43,756				
b Net investment income (if negative, enter -0-)		152,760			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	80,326	122,844	122,844
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	3,326,189	3,279,684	3,499,872
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			0
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,406,515	3,402,528	3,622,716	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	3,406,515	3,402,528	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)	3,406,515	3,402,528		
31 Total liabilities and net assets/fund balances (see instructions) .	3,406,515	3,402,528		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,406,515
2 Enter amount from Part I, line 27a	2	43,756
3 Other increases not included in line 2 (itemize) ▶ _____	3	2,631
4 Add lines 1, 2, and 3	4	3,452,902
5 Decreases not included in line 2 (itemize) ▶ _____	5	50,374
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	3,402,528

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-13 regarding controlled entities, donor advised funds, and public inspection requirements.

14 The books are in care of BANK OF AMERICA Telephone no (888) 866-3275

Located at PO BOX 1802 PROVIDENCE RI ZIP+4 029011802

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year 15

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
 ROBERT SELF
 111 WESTMINSTER ST
 PROVIDENCE, RI 02903
 (866) 461-7287

b The form in which applications should be submitted and information and materials they should include
 AVAILABLE FROM CONTACT

c Any submission deadlines
 FEBRUARY 15

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
 NO RESTRICTIONS

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total				▶ 3a
b <i>Approved for future payment</i>				
Total				▶ 3b

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 7094 841 INVESCO BALANCED-RISK ALLOCATION FUND CL R6		2018-02-01	2019-01-31
1 2585 AQR LONG-SHORT EQUITY FUND CL I		2017-02-02	2018-11-30
397 374 AQR LONG-SHORT EQUITY FUND CL I		2018-07-02	2018-11-30
3627 482 AQR MANAGED FUTURES STRATEGY FUND CL I		2016-07-05	2019-01-31
517 158 AQR MANAGED FUTURES STRATEGY FUND CL I		2018-04-02	2019-01-31
6547 727 BLACKSTONE ALTERNATIVE MULTI- STRATEGY FUND-ISTL		2018-11-30	2019-01-31
3967 057 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL CL		2018-02-01	2019-01-31
5341 275 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL CL		2017-10-02	2019-01-31
1966 008 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL CL		2017-02-02	2019-01-31
10115 33 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL CL		2016-07-05	2019-01-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
75,702		78,256	-2,554
30,245		34,070	-3,825
4,649		5,055	-406
29,782		38,306	-8,524
4,246		4,608	-362
69,733		69,995	-262
38,480		39,938	-1,458
51,810		53,243	-1,433
19,070		19,359	-289
98,119		97,990	129

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,554
			-3,825
			-406
			-8,524
			-362
			-262
			-1,458
			-1,433
			-289
			129

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
310 574 SMALL CAP GROWTH LEADERS CTF		2013-05-31	2018-06-30
1 53 599 EMERGING MARKETS STOCK COMMON TRUST FUND		2018-09-21	2019-04-30
241 968 MID CAP VALUE CTF		2013-05-31	2018-09-21
131 699 SMALL CAP VALUE CTF		2013-05-31	2018-09-21
301 587 MID CAP GROWTH CTF		2013-05-31	2018-06-30
109 825 MID CAP GROWTH CTF		2013-05-31	2018-09-21
281 789 DIVIDEND INCOME COMMON TRUST FUND		2013-05-31	2018-09-21
237 INVESCO DB COMMODITY INDEX TRACKING FUND		2018-01-31	2018-06-29
347 INVESCO DB COMMODITY INDEX TRACKING FUND		2018-01-31	2018-09-21
8696 INVESCO DB COMMODITY INDEX TRACKING FUND		2018-01-31	2019-01-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,152		8,598	1,554
3,125		2,964	161
9,149		8,679	470
4,739		4,381	358
11,377		10,881	496
4,480		4,020	460
19,881		19,471	410
4,189		4,343	-154
6,060		6,358	-298
135,882		148,744	-12,862

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,554
			161
			470
			358
			496
			460
			410
			-154
			-298
			-12,862

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
586 INVESCO DB COMMODITY INDEX TRACKING FUND		2015-09-24	2019-01-31
1 1590 INVESCO DB COMMODITY INDEX TRACKING FUND		2016-05-31	2019-01-31
58 ISHARES CORE S&P MID CAP ETF		2017-01-31	2018-09-21
85 ISHARES RUSSELL 2000 ETF		2014-12-31	2018-09-21
332 701 CATALYST/MILLBURN HEDGE STRATEGY FUND CL I		2018-02-01	2018-11-30
1257 761 CATALYST/MILLBURN HEDGE STRATEGY FUND CL I		2018-02-01	2019-01-31
1492 393 CATALYST/MILLBURN HEDGE STRATEGY FUND CL I		2017-10-02	2019-01-31
575 127 PRINCIPAL MIDCAP BLEND FUND INSTL CL		2017-02-02	2018-09-21
108 VANGUARD FTSE DEVELOPED MARKETS ETF		2017-03-31	2018-06-29
693 VANGUARD FTSE DEVELOPED MARKETS ETF		2017-03-31	2018-06-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,157		8,763	394
24,845		23,660	1,185
11,874		9,750	2,124
14,518		10,272	4,246
10,666		10,580	86
39,053		39,997	-944
46,339		47,085	-746
17,070		13,372	3,698
4,634		4,252	382
29,735		27,281	2,454

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			394
			1,185
			2,124
			4,246
			86
			-944
			-746
			3,698
			382
			2,454

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
95 VANGUARD FTSE DEVELOPED MARKETS ETF		2017-01-31	2018-06-29
1 910 VANGUARD FTSE DEVELOPED MARKETS ETF		2017-01-31	2019-01-31
1551 035 WELLS FARGO ABSOLUTE RETURN FUND INSTL CL		2017-02-02	2018-11-30
1671 965 WELLS FARGO ABSOLUTE RETURN FUND INSTL CL		2016-01-08	2018-11-30
3203 116 WELLS FARGO ABSOLUTE RETURN FUND INSTL CL		2016-01-08	2019-01-31
336 103 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND		2018-09-21	2019-01-31
568 819 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND		2018-11-30	2019-01-31
1726 147 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND		2013-05-31	2019-01-31
814 445 STRATEGIC GROWTH COMMON TRUST FUND		2013-05-31	2018-06-30
507 537 STRATEGIC GROWTH COMMON TRUST FUND		2013-05-31	2018-09-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,076		3,598	478
36,249		34,464	1,785
16,953		16,425	528
18,275		16,402	1,873
35,042		31,423	3,619
4,261		4,588	-327
7,211		7,127	84
21,882		20,194	1,688
14,841		13,364	1,477
10,073		8,829	1,244

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			478
			1,785
			528
			1,873
			3,619
			-327
			84
			1,688
			1,477
			1,244

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
7654 406 STRATEGIC GROWTH COMMON TRUST FUND		2013-05-31	2019-04-30
1 976 127 LARGE CAP CORE CTF		2018-01-31	2018-09-21
971 429 MID CAP CORE CTF		2018-01-31	2018-09-21
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
154,510		144,420	10,090
10,153		9,763	390
10,049		9,739	310
			11,807

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10,090
			390
			310

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
PRESBYTERIAN COLLEGE ATTN CONTROLLER503 S BROAD ST CLINTON, SC 29325	N/A	PC	UNRESTRICTED GENERAL	31,429
THORNWELL HOME FOR CHILDREN302 S BROAD STREET CLINTON, SC 293252507	N/A	PC	UNRESTRICTED GENERAL	31,429
GREENVILLE RESCUE MISSION MIRACLE HILL MINISTRIESPO BOX 2546 GREENVILLE, SC 29602	N/A	PC	UNRESTRICTED GENERAL	6,286
Total ▶ 3a				94,288

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
COLUMBIA THEOLOGICAL SEMINARY PO BOX 520 DECATUR, GA 300310520	N/A	PC	UNRESTRICTED GENERAL	18,858
SECOND PRESBYTERIAN CHURCH 105 RIVER STREET GREENVILLE, SC 296012537	N/A	PC	UNRESTRICTED GENERAL	6,286
Total ▶ 3a				94,288

TY 2018 Accounting Fees Schedule**Name:** NORA & WILLIAM SMITH FOUNDATION**EIN:** 57-6110584

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE - BOA	1,363	818		545

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2018 Gain/Loss from Sale of Other Assets Schedule

Name: NORA & WILLIAM SMITH FOUNDATION

EIN: 57-6110584

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
8696 INVESC	2019-01		2018-01	PURCHASER	135,882	148,744			-12,862	
586 INVESCO	2019-01		2015-09	PURCHASER	9,157	8,763			394	
1590 INVESC	2019-01		2016-05	PURCHASER	24,845	23,660			1,185	

TY 2018 General Explanation Attachment**Name:** NORA & WILLIAM SMITH FOUNDATION**EIN:** 57-6110584**General Explanation Attachment**

Identifier	Return Reference	Explanation	
1	FEDERAL FOOTNOTE	PART VIII LIST OF OFFICERS AND DIRECTORS	THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N A AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR THE TIME SPENT BY THE TRUSTEE, RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES

TY 2018 Investments Corporate Stock Schedule**Name:** NORA & WILLIAM SMITH FOUNDATION**EIN:** 57-6110584**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
464287507 ISHARES CORE S&P MID	108,603	139,478
464287655 ISHARES RUSSELL 2000	89,364	122,085
73935S105 POWERSHARES DB COMMO		
921943858 VANGUARD FTSE DEVELO	215,395	229,638
922908553 VANGUARD REIT ETF		
466001864 IVY ASSET STRATEGY F		
38145C646 GOLDMAN SACHS STRATE		
693390841 PIMCO HIGH YIELD FD	33,474	34,350
202671913 AGGREGATE BOND CTF	355,744	364,044
207543877 SMALL CAP GROWTH LEA	69,309	73,362
29099J109 EMERGING MARKETS STO	161,473	167,635
302993993 MID CAP VALUE CTF	109,664	105,807
303995997 SMALL CAP VALUE CTF	73,932	66,402
323991307 MID CAP GROWTH CTF	106,014	112,028
45399C107 DIVIDEND INCOME COMM	240,050	220,191
99Z466163 HIGH QUALITY CORE CO		
99Z466197 INTERNATIONAL FOCUSE	216,722	235,702
99Z501647 STRATEGIC GROWTH COM		
464287200 ISHARES CORE S&P 500		
97717X701 WISDOMTREE EUROPE HE		
464287226 ISHARES CORE US AGGR	177,640	182,050
922042858 VANGUARD FTSE EMERGI	75,897	92,740
922908363 VANGUARD S&P 500 ETF	252,740	359,075
97717W851 WISDOMTREE JAPAN HED		
94987W737 WELLS FARGO ABSOLUTE		
00203H446 AQR LONG-SHORT EQUIT		
00203H859 AQR MANAGED FUTURES		
74253Q747 PRINCIPAL MIDCAP BLE	101,324	119,855
09256H286 BLACKROCK STRATEGIC		
30254T759 FPA CRESCENT FUND SE		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
589509108 THE MERGER FD		
62827P816 CATALYST/MILLBURN HE		
00142R539 INVESCO BALANCED-RIS		
99Z639934 LARGE CAP CORE CTF	181,335	182,026
99Z639942 MID CAP CORE CTF	146,716	142,839
99Z639959 SMALL CAP CORE CTF	169,104	156,831
78468R622 SPDR BLOOMBERG BARCL	35,473	35,454
92203J407 VANGUARD TOTAL INTL	70,899	72,959
52469H784 CLEARBRIDGE LARGE CA	104,605	105,430
77954Q403 ROWE T PRICE BLUE CH	113,452	107,691
693390882 PIMCO FOREIGN BD US\$	70,755	72,200

TY 2018 Other Decreases Schedule**Name:** NORA & WILLIAM SMITH FOUNDATION**EIN:** 57-6110584

Description	Amount
COMMON TRUST FUND ADJUSTMENT	50,374

TY 2018 Other Expenses Schedule**Name:** NORA & WILLIAM SMITH FOUNDATION**EIN:** 57-6110584**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER ALLOCABLE EXPENSE-PRINCI	406	406		0
OTHER ALLOCABLE EXPENSE-INCOME	406	406		0
FROM PARTNERSHIP/S-CORP		61		0

TY 2018 Other Income Schedule**Name:** NORA & WILLIAM SMITH FOUNDATION**EIN:** 57-6110584**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FROM PARTNERSHIP/S-CORP		-22,744	

TY 2018 Other Increases Schedule**Name:** NORA & WILLIAM SMITH FOUNDATION**EIN:** 57-6110584

Description	Amount
PARTNERSHIP ADJUSTMENT	2,050
ROUNDING	4
COST ADJUSTMENT	577

TY 2018 Other Professional Fees Schedule**Name:** NORA & WILLIAM SMITH FOUNDATION**EIN:** 57-6110584

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GRANTMAKING FEES - BOA	9,240			9,240

TY 2018 Taxes Schedule**Name:** NORA & WILLIAM SMITH FOUNDATION**EIN:** 57-6110584

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,255	1,255		0
EXCISE TAX - PRIOR YEAR	4,081	0		0
EXCISE TAX ESTIMATES	6,052	0		0
FOREIGN TAXES ON QUALIFIED FOR	578	578		0
FOREIGN TAXES ON NONQUALIFIED	233	233		0