

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	31,560	53,115	53,115
	2	Savings and temporary cash investments	34,555	17,388	17,388
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	61,211	58,157	58,157
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,102,093	968,382	968,382
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	0	630	630	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,229,419	1,097,672	1,097,672	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	1,229,419	1,097,672	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	1,229,419	1,097,672	
31	Total liabilities and net assets/fund balances (see instructions) .	1,229,419	1,097,672		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,229,419
2	Enter amount from Part I, line 27a	2	-41,910
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	1,187,509
5	Decreases not included in line 2 (itemize) ▶ _____	5	89,837
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,097,672

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.CARNEGIEFUNDFORAUTHORS.ORG	13	Yes	
14	The books are in care of BARBARA MAGALNICK Telephone no (212) 734-5099			

Located at **310 E 65TH ST NEW YORK NY** ZIP+4 **10065**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15		
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶	16	Yes No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here. ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years ▶ 20____, 20____, 20____, 20____ ☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☒ No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	☐ Yes ☐ No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		6b No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☒ No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BARBARA MAGALNICK 310 E 65TH ST NEW YORK, NY 10021	TRUSTEE 5 00	2,140	1,677	0
MARGARET L MOSER 247 E 52ND ST NEW YORK, NY 10022	TRUSTEE 1 00	2,140	1,677	0
FRED PLOTKIN 689 COLUMBUS AVENUE SUITE 12E NEW YORK, NY 10025	TRUSTEE 1 00	0	1,677	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶ 0

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

Part XV	
1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed CARNEGIE FUND FOR AUTHORS PO BOX 409 LENOX HILL STATION NEW YORK, NY 10021 (212) 734-5099	
b The form in which applications should be submitted and information and materials they should include COMPLETION OF THE FOUNDATION'S APPLICATION AND SUPPORTING DOCUMENTATION. DETAILS CAN BE FOUND ON THE FOUNDATION WEBSITE AT CARNEGIEFUNDFORAUTHORS.ORG	
c Any submission deadlines NONE	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors NONE	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	
b <i>Approved for future payment</i>				
Total			▶ 3b	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SHEILA MOSES 501 HOLLAND LANE 1016 ALEXANDRIA, VA 22314	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	1,000
KATHLEEN MALOY 226 NEW DRIVE APT A WINSTON SALEM, NC 27103	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	6,000
ELLEN SUE STERN849 ASHLAND AVE ST PAUL, MN 55104	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	2,000
Total ▶ 3a				56,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ANNE OPOTOWSKY1519 12TH STREET SANTA MONICA, CA 90401	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	1,000
MARIANITO CARBO 218 MONTCLAIR DRIVE CORPUS CHRISTI, TX 78412	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	1,000
J SANDOM1226 N 29TH ST PHILADELPHIA, PA 19121	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	1,000
Total ► 3a				56,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KATHY PRICE14 PINE GROVE WOODSTOCK, NY 12498	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	2,000
BONITA THOMPSON 5850 W 3RD STREET 175 LOS ANGELES, CA 90036	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	1,000
TERESA HERBIC3547 SUMANTRA CLIFF SAN ANTONIO, TX 78261	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	3,000
Total ► 3a				56,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
STEPHANIE GRAY827 E 22ND ST BROOKLYN, NY 11210	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	2,500
JOHN RYBICKI19994 WOODLAND HARPER WOODS, MI 48225	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	3,000
RANGI MCNEILPO BOX 8373 NEW YORK, NY 10116	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	3,000
Total ► 3a				56,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MARC ELIOT250 W 50TH ST 36F NEW YORK, NY 10019	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	4,000
JANUS ADAMSP.O BOX 284 ACCORD, NY 12404	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	5,000
LISIUNIA ROMANIENKO 71 ADAMSVILLE RD SOMERVILLE, NJ 08876	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	6,000
Total ► 3a				56,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
HARMONY HOLIDAY 4634 LOS FELIZ BLVD APT 4 LOS ANGELES, CA 90027	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	1,000
MELANIE NEILSON 6042 PUTNAM AVE APT 1 RIDGEWOOD, NY 11385	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	4,000
WALLY SWIST 1753A SOUTH EAST STREET AMHERST, MA 01002	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	2,000
Total ▶ 3a				56,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MARK ANTHONY ROLO 4096 SOUTH HILL DRIVE MADISON, WI 53705	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	5,000
NATURI THOMAS725 RIVER ROAD EDGEWATER, NJ 07020	NONE	I	FINANCIAL RELIEF FOR AUTHOR SUFFERING ILLNESS OR AILMENTS	2,500
Total ▶ 3a				56,000

TY 2018 Accounting Fees Schedule**Name:** CARNEGIE FUND FOR AUTHORS**EIN:** 13-6084244

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	3,840	960		2,880

TY 2018 Investments Government Obligations Schedule**Name:** CARNEGIE FUND FOR AUTHORS**EIN:** 13-6084244**US Government Securities - End
of Year Book Value:**

58,157

**US Government Securities - End
of Year Fair Market Value:**

58,157

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2018 Investments - Other Schedule

Name: CARNEGIE FUND FOR AUTHORS

EIN: 13-6084244

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS	FMV	968,382	968,382

TY 2018 Legal Fees Schedule**Name:** CARNEGIE FUND FOR AUTHORS**EIN:** 13-6084244

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	1,000	0		1,000

TY 2018 Other Assets Schedule

Name: CARNEGIE FUND FOR AUTHORS

EIN: 13-6084244

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DIVIDEND RECEIVABLE	0	630	630

TY 2018 Other Decreases Schedule

Name: CARNEGIE FUND FOR AUTHORS
EIN: 13-6084244

Description	Amount
UNREALIZED GAIN/LOSS	89,837

TY 2018 Other Expenses Schedule**Name:** CARNEGIE FUND FOR AUTHORS**EIN:** 13-6084244**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES	80	80		0
PUBLICATION FEE	160	0		160
NYS FILING	250	0		250
OFFICE EXPENSES	736	0		736
LOSS DUE TO FORGERY	1,482	0		0

TY 2018 Taxes Schedule**Name:** CARNEGIE FUND FOR AUTHORS**EIN:** 13-6084244

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	700	0		0
FOREIGN TAX PAID	422	422		0