

Form **990-PF**

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2017

1810

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2017 or tax year beginning 11/1/2017, and ending 10/31/2018

Name of foundation: **The Spitzer Trust**

Number and street (or P O box number if mail is not delivered to street address): **c/o Abe Fish, CPA, 14 Waverly Pl**

Room/suite: _____

City or town, state or province, country, and ZIP or foreign postal code: **Monsey NY 10952**

Foreign country name: _____ Foreign province/state/county: _____ Foreign postal code: _____

A Employer identification number
11-2419181

B Telephone number (see instructions)
(845) 659-3085

C If exemption application is pending, check here **6**

D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

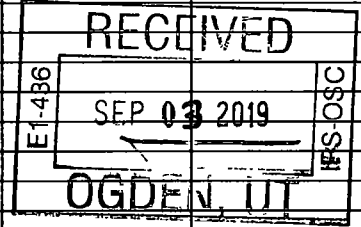
G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation **04**
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 4,601,753**

J Accounting method: Cash Accrual
 Other (specify) _____

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,873	1,873		
	4 Dividends and interest from securities	88,559	88,559		
	5a Gross rents				
	b Net rental income or (loss) -64,008				
	6a Net gain or (loss) from sale of assets not on line 10	115,218			
	b Gross sales price for all assets on line 6a 1,580,141				
	7 Capital gain net income (from Part IV, line 2)		115,218		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	205,650	205,650	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,400	1,400		
	c Other professional fees (attach schedule)	50,342	50,342		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	25,692			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	250	250		
	24 Total operating and administrative expenses. Add lines 13 through 23	77,684	51,992	0	0
	25 Contributions, gifts, grants paid	177,570			177,570
26 Total expenses and disbursements. Add lines 24 and 25	255,254	51,992	0	177,570	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-49,604				
b Net investment income (if negative, enter -0-)		153,658			
c Adjusted net income (if negative, enter -0-)			0		



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	57,377	384,792	384,792
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	50,606	49,811	49,787
	b	Investments—corporate stock (attach schedule)	2,647,784	2,589,176	3,418,309
	c	Investments—corporate bonds (attach schedule)	572,987	614,253	607,747
	11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	500,000	141,118	141,118	
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	3,828,754	3,779,150	4,601,753	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. ▶ <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	3,828,754	3,779,150	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	3,828,754	3,779,150		
31	Total liabilities and net assets/fund balances (see instructions)	3,828,754	3,779,150		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 3,828,754
2	Enter amount from Part I, line 27a	2 -49,604
3	Other increases not included in line 2 (itemize) ▶	3
4	Add lines 1, 2, and 3	4 3,779,150
5	Decreases not included in line 2 (itemize) ▶	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 3,779,150

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	Yes	No
11			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
12			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ _____	X	
13		X	
14	The books are in care of ▶ Abe Fish, CPA Telephone no. ▶ (845) 659-3085 Located at ▶ 14 Waverly Pl. Monsey NY ZIP+4 ▶ 10952		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		X
15			X
16	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶ _____	Yes	No
16			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year, did the foundation (either directly or indirectly):	Yes	No
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5):		
a	At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," list the years ▶ 20 10 , 20 11 , 20 12 , 20 13		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 _____ , 20 _____ , 20 _____ , 20 _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. **5b** N/A

Organizations relying on a current notice regarding disaster assistance, check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X
If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Albert and Erika Spitzer 1684 50th Street Brooklyn, NY 11204	Trustees	.00 0		
Michael Spitzer 871 E. 24th Street Brooklyn, NY 11210	Trustee	.00 0		
Eli Spitzer 60 Crescent Avenue Passaic, NJ 07055	Trustee	.00 0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(i)(5)

	Tax year				(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

See Attached Schedule

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines.

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Unrestricted

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Attachment A				177,570
Total			▶ 3a	177,570
b Approved for future payment				
Total			▶ 3b	0

Spitzer Trust
11-2419181
Schedule of Charitable Contributions
Year Ended October 31, 2018

Recipient	Amount	Recipient	Amount
4747 Charity	\$476	Ichud Hayeshivos	25
A Time	1,000	Irgun Shiurai Torah	600
Agudath Israel	1,000	Israel Purim Fund	180
Agudath Israel of Borough Park	600	Just One Life	36
American Friends of Yeshiva Kodshim	180	Kahal Zichron	18
Bais Faiga	1,000	Kolel Shomrei Hachomos	2,200
Bais Medrash of Rockland	1,280	Le'Man Achai	36
Bais Yaakov Academy	7,500	Masores Bais Yaakov	8,500
Bais Yacov of Passaic	11,000	Merkaz Hakolalin Dshikin Squar	500
Bayis Ne'emam	1,000	Mesivta of Long Beach	24,025
Beth Jacob Teachers Seminary	72	Ohe!	36
Camp Simcha	50	Ohel Malka	200
Chasodim Tovim	200	Rifka Laufer Bikur Cholim	100
Cong Ahavas Torah	3,125	Satmar Bikur Cholim	25
Cong Ahavas Tzedoka V'Chesed	10,000	Sinai Academy	500
Cong Bais Meir	180	Talmudical Yeshiva of Philadelp	1,000
Cong Beth Jacob	4,045	Tomchei Shabbos	3,000
Cong Chemdath Torah V'Chesed	316	United Talmudical Academy	10,000
Cong Chemed	270	Yeshiva Ateres Shlomo	4,000
Cong Eyim B'yom	3,190	Yeshiva Bais Meir	5,000
Cong Kahal Yesode Hatorah	4,020	Yeshiva Chasan Sofer	50
Cong KahalTorah Utifilla	4,000	Yeshiva Farm Settlement	500
Cong Keren Tzlach	3,000	Yeshiva Ktana of Passaic	22,000
Cong Ohr Sharga	26	Yeshiva Meor Yaacov	5,000
Cong Quaker Hill	452	Yeshiva R S R Hirsch	25
Cong Sdei Yacov Square	500	Yeshiva Torah Temima	7,500
Cong Shem M'Shmuel	36	Yeshiva Toras Chemed	1,500
Cong Tora Utifila	406	Yeshiva of Nitra	18,000
Cong Yisamach Moshe	125	Yeshivas Chasan Sofer	50
HASC	25	Yeshivas Ohr Yehuda	10
Hatzolah	3,600	Zichron Shlomo Refuah Fund	<u>100</u>
Hillel Academy	180		
Total (to form 990-PF Part XV, line 3a)			<u><u>\$177,570</u></u>

<u>Shares</u>	<u>Description</u>	<u>Book Value</u>	<u>Fair Market Value</u>
155	Avery Dennison Corp	\$12,020	\$14,062
935	Cleveland Cliffs Inc	6,172	10,061
890	Viavi Solution Inc	7,992	10,262
595	Amneal Pharmaceuticals Inc	8,527	10,978
185	Charles Riv Laboratories	15,058	22,537
615	Conduent Inc	10,155	11,747
260	Criteo S.A. ADS	6,435	5,855
225	Hain Celestial Group	7,150	5,598
340	Liveramp Hoggs Inc	5,161	10,735
75	Molina Healthcare Inc	3,665	9,508
35	Teledyne Tech	4,085	7,745
160	Treehouse Foods	7,714	7,290
210	Avis Budget Group	5,926	5,905
520	Chicos Fas Inc	4,323	3,988
210	Crocs Inc	1,276	4,313
515	Express Inc	3,527	4,537
440	Hertz Global Holdings	7,180	6,050
130	Lydall Inc	5,260	3,883
220	MSG Network	4,392	5,621
290	New York & Co	565	1,148
2,010	Office Depot	5,784	5,146
745	Party City Holdco Inc	9,682	7,800
295	Seaworld Entmt	3,868	7,705
125	Stericycle Inc	7,824	6,247
125	Tempur Sealy Intl Inc	6,076	5,776
740	Tivo Corp	10,716	8,140
105	Dril Quip Inc	4,732	4,469
350	Forun Energy Technologies Inc	4,180	3,136
145	Ion Geophysical Corp	2,487	1,712
160	Oil Sts Intl Inc	4,032	3,563
415	Patterson Uti Energy Inc	7,030	6,906
450	Superior Energy Services Inc	4,188	3,524
920	Tetra Technologies	3,330	2,732
235	Bankunited	7,954	7,779
80	Comerica	4,726	6,525
510	Huntington Bancshares	5,869	7,308
635	TCF Financial	10,340	13,259
110	Texas Cap Bancshares	7,816	7,175
345	Uniti Group Inc	6,109	6,603
280	Acadia Healthcare Company	9,276	11,620
330	Luminex Corp	6,658	9,494
160	Mallinckrodt PLC	2,807	4,010

The Spitzer Trust
 11-2419181
 Corporate Stock
 October 31, 2018

Attachment B

265 Patterson Companies Inc	6,180	5,984
320 Actuant Corp	8,188	7,632
2,470 Babcock & Wilcox Enterprises	6,218	2,415
180 Clean Hbrs	9,081	12,247
560 Covanta Hldg Corp	7,807	8,226
440 Crowns Hldgs Inc	22,373	18,819
530 Danaos Corporation	1,252	551
320 Harsco Corp	4,067	8,790
165 Itron Inc	10,392	8,603
155 ITT Inc	6,157	7,828
630 KBR Inc	9,602	12,461
177 Manitowoc Inc	3,715	3,236
940 Maxwell Tech Inc	4,432	2,764
221 Mcdermott International Inc	4,162	1,708
125 Ryder System Inc	9,417	6,914
40 Valmont Inds	5,827	4,972
1,610 Accuray Inc	7,252	7,229
1,110 Allscripts Healthcare Solutions	12,587	13,220
1,110 Arris International	29,406	27,606
260 Artisure Inc	4,662	8,271
165 Ceva Inc	5,604	4,066
1,145 Ciena Corp	24,556	35,793
430 Cloudera Inc	6,406	5,917
325 Corelogic Inc	13,266	13,202
815 Cypress Semiconductor	10,488	10,546
110 Enetgris Inc	2,842	2,919
800 Fireeye Inc	10,952	14,792
1,510 Fluidigm Corp	6,340	10,872
90 II VI Inc	2,578	3,351
155 Impinj Inc	2,007	3,038
1,265 Infinera Corporation	8,715	7,008
120 Intersect Ent Inc	1,630	3,367
925 Keyw Hldg Corp	9,161	7,243
550 Macom Tech Solutions Hldgs	15,383	7,739
240 Mellanox Technologies	10,997	20,326
165 Mercury Sys Inc	4,899	7,732
445 Nanostring Technologies Inc	4,377	6,857
1,100 Nuance Communications Inc	17,600	19,129
275 Onespan Inc	3,786	4,036
110 OSI Systems Inc	8,338	7,608
235 Quantum Corp	1,285	541
780 Rambus Inc	9,748	6,794
770 Ribbon Communications Inc	5,350	5,236
790 Seachange Intl Inc	2,025	1,288
215 Secureworks Corp	1,997	3,545

The Spitzer Trust
 11-2419181
 Corporate Stock
 October 31, 2018

Attachment B

180 Spirit Aerosystems Hldgs Inc	10,030	15,122
420 Unisys Corp	3,404	7,732
610 Veeco Instrs Inc	8,472	5,801
615 Verint Sys Inc	24,505	28,087
2,350 Atlantic Power Corp	5,624	5,170
180 Ormat Technologies Inc	9,623	9,211
420 Vistra Energy Corp	4,566	9,505
60 Vistra Energy Corp Tangible Equity	3,134	5,505
580 Diebold Nixdorf Inc	7,595	2,262
446 Nutrien LTD	19,623	23,607
450 Rio Tinto ADR	17,069	22,181
350 Verizon Communications	16,817	19,982
850 Flowers Foods Inc	16,647	16,414
300 Wal-Mart Inc	21,478	30,084
100 Home Depot Inc	18,765	17,588
900 Interpublic Group	17,931	20,844
250 Las Vegas Sands Corp	17,764	12,758
450 Leggett & Platt Inc	18,222	16,340
400 Williams Sonoma	20,654	23,752
150 Chevron Corp	15,773	16,748
250 Exxon Mobil	9,646	19,920
275 Helmerich & Payne Inc	17,533	17,130
500 Pembina Pipeline Corp	17,113	16,160
350 Total S A Sponsored ADR	18,729	20,510
575 Blackstone Mortgage	17,067	19,401
500 JP Morgan Chase	18,269	54,510
200 PNC Finl Svcs Grp	23,462	25,698
1,100 Umpoua Holdings Inc	17,531	21,120
800 Virtu Finl Inc	17,572	18,976
250 Gilead Sciences Inc	16,411	17,045
225 Johnson & Johnson	27,003	31,498
600 Pfizer Inc	22,125	25,836
500 BAE Systems	16,380	13,437
75 Lockheed Martin Corp	20,352	22,039
300 Siemes AG	18,176	17,288
175 Union Pacific	16,487	25,589
150 Alexandria Real Estate Equities	17,821	18,335
225 Coresite Realty Corp	23,794	21,119
200 Crown Castle Intl Corp	17,315	21,748
475 Douglas Emmett Inc	16,770	17,190
1,300 Host Hotels & Resorts	23,062	24,843
1,000 Outfront Media Inc	19,607	17,720
500 Prologis Inc	24,287	32,235
750 Weyerhauser Co	23,726	19,973
700 Cisco Systems Inc	14,733	32,025
750 Maxim Integrated Prods	29,774	37,515

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 October 31, 2018

Attachment B

475	Microsoft Corp	12,413	50,735
400	Paychex	23,778	26,196
475	QualComm Inc	30,426	29,873
450	Taiwan Semiconductor	18,752	17,145
475	Ameren Corp	23,466	30,675
250	DTE Energy Holding	24,541	28,100
725	Exelon Corp	23,394	31,762
250	Nextera Energy	29,577	43,125
200	Sempra Energy	21,669	22,024
325	WEC Energy	17,950	22,230
7,000	Ionis Pharmaceuticals	59,480	346,850
647	Agnico Eagle Mines	29,949	22,878
404	DowDupont Inc	28,793	21,784
472	Nutrien LTD	20,698	24,983
475	Church & Dwight	20,965	28,201
240	CVS Health Corp	17,972	17,374
347	Walgreens Boots Alliance Inc	25,237	27,680
185	APTIV PLC	15,655	14,208
451	Comcast Corp	16,038	17,201
400	Disney Walt Co	10,394	45,932
200	Lennar Corp	8,102	8,596
115	Lowe's Cos	8,759	10,950
150	Marriott Intl	12,228	17,534
75	McDonalds Corp	15,880	17,690
598	Cabot Oil & Gas	12,877	14,490
100	Conoco Res Inc	13,714	13,909
225	EOG Resources Inc	20,809	23,702
528	Williams Cos	16,125	12,846
150	CBOE Global Markets Inc	14,202	16,928
75	Chubb Limited	9,404	9,368
175	CME Group	20,379	32,067
175	Comerica Inc	16,394	14,273
150	Equifax	18,187	15,216
352	Hartford Finl Svcs Group Inc	18,895	15,988
500	JP Morgan Chase	18,839	54,510
150	Moodys Corp	15,012	21,822
350	Transunion	12,684	23,013
81	Anthem Inc	18,927	22,321
60	Cigna Corp	12,678	12,829
150	Danaher Corp	12,547	14,910
75	Edwards Lifesciences Corp	6,989	11,070
313	Gilead Sciences	22,332	21,340
100	Johnson & Johnson	11,956	13,999
1,000	Pfizer Inc	4,963	43,060
280	Zimmer Biomet Hldgs	30,480	31,805

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60 Fedex Corp	11,084	13,220
294 Jacobs Engr Group Inc	15,981	22,076
118 L3 Technologies Inc	19,438	22,357
620 Quanta Services Inc	23,538	19,344
50 Roper Technologies	8,972	14,145
20 Alphabet Inc	15,055	21,535
100 Analog Devices Inc	8,212	8,371
100 Apple Inc	11,090	21,886
80 ASML Holding	10,453	13,789
205 CDW Corp	9,139	18,452
100 Fidelity National Informtion System	7,672	10,410
200 Fiserv Inc	9,903	15,860
45 Intuit	4,888	9,495
95 IPG Photonics Corp	12,923	12,687
327 Leidos	14,712	21,183
94 Microsoft Corp	5,961	10,040
340 Motorola Solutions Inc	27,009	41,670
459 Roger Communications Inc	21,986	23,643
100 Texas Instruments Inc	8,271	9,283
50 Universal Display Corp	5,033	6,151
295 American Elec Pwr	17,785	21,641
221 Evergy Inc	12,595	12,374
576 Exelon Corp	17,802	25,235
446 Nextera Energy Partners	10,715	20,306
366 NRG Energy Inc	6,138	13,246
1,074 Vistra Energy Corp	20,696	24,305
Total (to Form 990 PF, Part II, line 10B)	<u>\$2,589,176</u>	<u>\$3,418,309</u>

The Spitzer Trust
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 Corporate Bonds
 October 31, 2018

Attachment C

<u>Quantity</u>	<u>Description</u>	<u>Book Value</u>	<u>Fair Market Value</u>
15,000	American Honda Fin Note	15,000	15,001
15,000	Dominion Energy Inc Note	14,990	14,978
10,000	Toronto Dominion Bank Note	10,010	10,018
15,000	Legg Mason Inc Note	15,055	14,948
15,000	Morgan Stanley Note	15,013	15,051
15,000	American Express Note	15,002	15,035
15,000	Progress Energy Note	15,437	15,294
15,000	Smucker J M Note	15,000	14,837
20,000	Goldman Sachs Group Note	20,000	20,118
10,000	Caterpillar Finc Svs Note	9,989	9,888
15,000	Stryker Corp Note	15,408	15,216
20,000	International Business Machines Note	20,000	20,043
20,000	Wells Fargo Note	20,011	20,095
15,000	Adobe Sys Note	15,483	15,294
15,000	Comcast Corp Note	15,603	15,376
15,000	Novartis Capital Note	15,514	15,279
15,000	Energy Transfer Partners Note	15,264	15,128
15,000	JP Morgan Chase Note	15,394	15,233
20,000	Johnson & Johnson Note	20,006	19,556
10,000	Bunge LTD Note	10,207	9,979
15,000	Enterprise Prods Oper Note	14,992	14,779
15,000	Unitrd Healthcare Group Note	15,582	15,422
15,000	Campbell Soup Co Note	14,989	14,848
15,000	Verizon Communications Note	15,599	15,410
15,000	Glaxosmithkline Capital Note	15,030	15,029
15,000	Kellogg Co Note	15,001	14,894
15,000	AT&T Inc Note	15,450	15,284
15,000	Schwab Charles Corp Note	15,015	14,950
15,000	Ryder Sys Mtn Note	15,016	14,934
10,000	American Tower Corp Note	10,260	9,929
15,000	Bank New York MTN Note	15,360	15,050
15,000	Conagra Brands Note	14,983	15,014
15,000	Constellation Brands Note	15,012	14,990
15,000	Express Scripts Hldg Note	15,672	15,436
15,000	Lincoln Natl Corp Note	15,584	15,203
20,000	Western Un Co Notre	20,360	19,722
15,000	Phillips 66 Note	15,767	15,299
15,000	Fidelity Natl Information Svs Note	15,764	15,357
15,000	Sempra Energy Note	14,943	14,409
15,000	Metlife Inc Bond	15,487	15,424

Total (to Form 990 PF, Part II, line 10C)

614,253

607,747

The Spitzer Trust
11-2419181
Government Obligations
October 31, 2018

Attachment D

<u>Quantity</u> <u>Description</u>	<u>Book Value</u>	<u>Fair Market Value</u>
25000 United States Treasury Note	25,105	25,027
25000 United States Treasury Note	24,707	24,760
Total (to Form 990 PF, Part II, line 10A)	<u>\$49,811</u>	<u>\$49,787</u>

Attachment E

Other Investments

RP Hampton Center partnership	<u>141,118</u>	<u>141,118</u>
Total (to Form 990 PF, Part II, line 13)	<u>\$141,118</u>	<u>\$141,118</u>

The Spitzer Trust		November 1, 2017 - October 31, 2018		11-2419181	
Form 990-PF	Interest on savings and temporary cash investments	Statement 1			
Source	a Revenue per Books	b Net Investment Income	c Adjusted Net Income	d Charitable Purpose	
Neuberger Berman	<u>1,873</u>	<u>1,873</u>			
Total (to Form 990-PF Part I, line 3)	<u>\$1,873</u>	<u>\$1,873</u>			

Form 990-PF	Dividends and Interest from Securities	Statement 2			
Source	a Revenue per Books	b Net Investment Income	c Adjusted Net Income	d Charitable Purpose	
Neuberger Berman	<u>88,559</u>	<u>88,559</u>			
Total (to Form 990-PF Part I, line 4)	<u>\$88,559</u>	<u>\$88,559</u>			

Form 990-PF	Accounting Fees	Statement 3			
Description	a Expenses per Books	b Net Investment Income	c Adjusted Net Income	d Charitable Purpose	
Accounting and Tax Preparation	<u>\$1,400</u>	<u>\$1,400</u>			
Total (to Form 990-PF Part I, line 16b)	<u>\$1,400</u>	<u>\$1,400</u>			

Form 990-PF	Other Professional Fees	Statement 4			
Description	a Expenses per Books	b Net Investment Income	c Adjusted Net Income	d Charitable Purpose	
Investment Management					
Neuberger Berman	<u>\$50,342</u>	<u>\$50,342</u>			
Total to Form 990-PF Part I, line 16c	<u>\$50,342</u>	<u>\$50,342</u>			

Form 990-PF	Taxes Paid	Statement 5			
Description					
Excise tax on investment income	<u>\$25,692</u>				
Total (to form 990-PF Part 1, line 18)	<u>\$25,692</u>				

Form 990-PF	Other expenses	Statement 6			
Description	a Expenses per Books	b Net Investment Income	c Adjusted Net Income	d Charitable Purpose	
Other expenses					
State Filing Fee	<u>\$250</u>	<u>\$250</u>			
Total (to Form 990-PF Part I, line 23)	<u>\$250</u>	<u>\$250</u>			