Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

**2018**

**Open to Public Inspection**

**For calendar year 2018, or tax year beginning 05-01-2018 , and ending 04-30-2019**

Name of foundation
EISENBERG FAMILY TRUST XXXXX6009

**A Employer identification number**
52-7091392

Number and street (or P O box number if mail is not delivered to street address) Room/suite
10 S DEARBORN IL1-0111

**B** Telephone number (see instructions)
(800) 496-2583

City or town, state or province, country, and ZIP or foreign postal code
CHICAGO, IL 60603

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D 1.** Foreign organizations, check here ▶ ☐
**2** Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year (from Part II, col (c), *line 16*) ▶$ 7,752,758

**J** Accounting method ☐ Cash ☐ Accrual ☐ Other (specify)
*(Part I, column (d) must be on cash basis )*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )*

| | Line | Description | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received (attach schedule) | | | | |
| | 2 | Check ▶ ☑ if the foundation is **not** required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | | | | |
| | 4 | Dividends and interest from securities | 168,920 | 168,920 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 335,745 | | | |
| | b | Gross sales price for all assets on line 6a 2,579,611 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 335,745 | | |
| | 8 | Net short-term capital gain | | | 0 | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | | | | |
| | 12 | **Total.** Add lines 1 through 11 | 504,665 | 504,665 | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | 89,740 | 53,844 | | 35,896 |
| | 14 | Other employee salaries and wages | | 0 | 0 | 0 |
| | 15 | Pension plans, employee benefits | | 0 | 0 | |
| | 16a | Legal fees (attach schedule) | | | | 0 |
| | b | Accounting fees (attach schedule) | | | | |
| | c | Other professional fees (attach schedule) | | | | 0 |
| | 17 | Interest | | | | 0 |
| | 18 | Taxes (attach schedule) (see instructions) | 17,430 | 4,581 | | 0 |
| | 19 | Depreciation (attach schedule) and depletion | 0 | 0 | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | 0 | 0 | |
| | 22 | Printing and publications | | 0 | 0 | |
| | 23 | Other expenses (attach schedule) | | | | |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 107,170 | 58,425 | 0 | 35,896 |
| | 25 | Contributions, gifts, grants paid | 340,000 | | | 340,000 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 447,170 | 58,425 | 0 | 375,896 |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | **Excess of revenue over expenses and disbursements** | 57,495 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 446,240 | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | 0 | |

| **Part II** Balance Sheets | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions ) | Beginning of year | End of year | |
|---|---|---|---|---|
| | | **(a)** Book Value | **(b)** Book Value | **(c)** Fair Market Value |
| Assets | 1 Cash—non-interest-bearing | 12,243 | 33,993 | 33,993 |
| | 2 Savings and temporary cash investments | 25,129 | 116,031 | 116,031 |
| | 3 Accounts receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | 0 | 0 |
| | 4 Pledges receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ | | | |
| | Less allowance for doubtful accounts ▶ 0 | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments—U S and state government obligations (attach schedule) | | | |
| | b Investments—corporate stock (attach schedule) | 4,679,470 | 4,409,604 | 5,988,925 |
| | c Investments—corporate bonds (attach schedule) | 602,588 | 1,219,013 | 1,236,913 |
| | 11 Investments—land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 Investments—mortgage loans | | | |
| | 13 Investments—other (attach schedule) | 775,057 | 373,331 | 376,896 |
| | 14 Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I) | 6,094,487 | 6,151,972 | 7,752,758 |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 **Total liabilities**(add lines 17 through 22) | | 0 | |
| Net Assets or Fund Balances | **Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here** ▶ ☑ **and complete lines 27 through 31.** | | | |
| | 27 Capital stock, trust principal, or current funds | 6,094,487 | 6,151,972 | |
| | 28 Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 **Total net assets or fund balances** (see instructions) | 6,094,487 | 6,151,972 | |
| | 31 **Total liabilities and net assets/fund balances** (see instructions) | 6,094,487 | 6,151,972 | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 6,094,487 |
| 2 | Enter amount from Part I, line 27a | 2 | 57,495 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0 |
| 4 | Add lines 1, 2, and 3 | 4 | 6,151,982 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 10 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 6,151,972 |

## Part VII-A Statements Regarding Activities (continued)

| | | | | |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions. | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |
| | Website address ▶ | | | |

14 The books are in care of ▶JP MORGAN CHASE BANK NA Telephone no ▶(800) 496-2583

Located at ▶10 S DEARBORN ST MC IL1-0111 CHICAGO IL ZIP+4 ▶60603

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | No |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions | 1b | | No |
| | Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?. | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?. ☐ Yes ☑ No<br>If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions ) | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here<br>▶ 20____ , 20____ , 20____ , 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No | | | |
| b | If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018 ).* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018? | 4b | | No |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| **5a** | During the year did the foundation pay or incur any amount to | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No | | | |
| | **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No | | | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No | | | |
| | **(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions. ☐ Yes ☑ No | | | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No | | | |
| **b** | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions | 5b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| **c** | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No | | | |
| | *If "Yes," attach the statement required by Regulations section 53 4945–5(d)* | | | |
| **6a** | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No | | | |
| **b** | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | No |
| | *If "Yes" to 6b, file Form 8870* | | | |
| **7a** | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No | | | |
| **b** | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | 7b | | |
| **8** | Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No | | | |

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

| **(a)** Name and address | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| JP MORGAN CHASE<br>10 S DEARBORN ST MC IL1-0111<br>CHICAGO, IL 60603 | TRUSTEE<br>2 | 89,740 | | |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| **(a)** Name and address of each employee paid more than $50,000 | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | **(a)** 2018 | **(b)** 2017 | **(c)** 2016 | **(d)** 2015 | |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| **b** 85% of line 2a | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon<br>**a** "Assets" alternative test—enter<br>**(1)** Value of all assets | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| **c** "Support" alternative test—enter<br>**(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| **(3)** Largest amount of support from an exempt organization | | | | | |
| **(4)** Gross investment income | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

CAROLYN O'BRIEN PROGRAM OFFICER
270 PARK AVENUE NY1-K348
NEW YORK, NY 10017
(212) 464-2350

**b** The form in which applications should be submitted and information and materials they should include

ONLINE APPLICATION AT www jpmorgan com/onlinegrants

**c** Any submission deadlines

N/A

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

PAYMENTS ARE LIMITED TO ORGANIZATIONS ACTIVELY ENGAGED IN RESEARCHING A CURE FOR ALZHEIMER'S DISEASE OR TREATING THOSE INDIVIDUALS AFFLICTED WITH ALZHEIMER'S DISEASE

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ALZHEIMER'S DISEASE AND RELATED DISORDERS<br>225 N MICHIGAN AVE 17TH FL<br>CHICAGO, IL 60601 | NONE | PC | GENERAL | 290,000 |
| PARTNERS HEALTHCARE SYSTEM INC<br>50 BLOSSOM ST<br>Boston, MA 02114 | NONE | PC | GENERAL | 50,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3b** | | | | |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1 | 749 187 JPM GL RES ENH IDX FD - USD - R6 ISIN US48129C2070 | | 2014-12-23 | 2018-05-02 |
| 1 | 1 INGERSOLL-RAND PLC | | 2017-02-10 | 2018-05-15 |
| | 10 INGERSOLL-RAND PLC | | | 2018-05-15 |
| | 5 INGERSOLL-RAND PLC | | | 2018-05-15 |
| | 2 INGERSOLL-RAND PLC | | 2017-02-10 | 2018-05-15 |
| | 17 INGERSOLL-RAND PLC | | 2017-02-10 | 2018-05-15 |
| | 2 CONCHO RESOURCES INC | | 2016-07-22 | 2018-05-22 |
| | 2 CONCHO RESOURCES INC | | 2016-07-22 | 2018-05-22 |
| | 12 CONCHO RESOURCES INC | | | 2018-05-22 |
| | 1 PHILIP MORRIS INTERNATIONAL | | 2017-08-01 | 2018-05-22 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 16,639 | | 13,867 | 2,772 |
| 89 | | 82 | 7 |
| 889 | | 879 | 10 |
| 444 | | 409 | 35 |
| 178 | | 163 | 15 |
| 1,510 | | 1,388 | 122 |
| 308 | | 245 | 63 |
| 307 | | 245 | 62 |
| 1,804 | | 1,428 | 376 |
| 80 | | 117 | -37 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 2,772 |
| | | | 7 |
| | | | 10 |
| | | | 35 |
| | | | 15 |
| | | | 122 |
| | | | 63 |
| | | | 62 |
| | | | 376 |
| | | | -37 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 8 PHILIP MORRIS INTERNATIONAL | | | 2018-05-22 |
| 1 4 PHILIP MORRIS INTERNATIONAL | | 2017-08-01 | 2018-05-22 |
| 1 PHILIP MORRIS INTERNATIONAL | | 2017-08-01 | 2018-05-22 |
| 1 PHILIP MORRIS INTERNATIONAL | | 2017-08-01 | 2018-05-23 |
| 7 PHILIP MORRIS INTERNATIONAL | | 2017-05-18 | 2018-05-23 |
| 5 PHILIP MORRIS INTERNATIONAL | | 2017-08-01 | 2018-05-23 |
| 1555 931 AMG MG PICTET INTL-Z | | | 2018-06-11 |
| 341 551 DODGE & INTERNATIONAL STOCK FUND | | 2013-07-31 | 2018-06-11 |
| 930 ISHARES CORE MSCI EAFE ETF | | 2018-02-20 | 2018-06-11 |
| 236 036 MFS INTL INTRINSIC VALUE-R6 | | 2013-04-15 | 2018-06-11 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 640 | | 932 | -292 |
| 320 | | 466 | -146 |
| 80 | | 116 | -36 |
| 80 | | 116 | -36 |
| 569 | | 797 | -228 |
| 406 | | 582 | -176 |
| 17,831 | | 14,591 | 3,240 |
| 15,189 | | 13,204 | 1,985 |
| 62,322 | | 62,018 | 304 |
| 10,383 | | 6,994 | 3,389 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -292 |
| | | | -146 |
| | | | -36 |
| | | | -36 |
| | | | -228 |
| | | | -176 |
| | | | 3,240 |
| | | | 1,985 |
| | | | 304 |
| | | | 3,389 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| | 19 LOWES COS INC | | | 2018-06-12 |
| 1 | 4012 807 CRM LONG/SHORT OPPORTUNITIES FUND | | 2018-01-17 | 2018-07-12 |
| | 81 163 CRM LONG/SHORT OPPORTUNITIES FUND | | 2017-07-03 | 2018-07-12 |
| | 5354 133 EQUINOX CAMPBELL STRATEGY-I | | 2014-01-10 | 2018-07-12 |
| | 513 977 GMO SGM MAJOR MARKETS FD-VI | | 2018-01-17 | 2018-07-12 |
| | 2684 352 NEUBERGER BERMAN LONG SH-INS | | 2018-01-17 | 2018-07-12 |
| | 140 294 NEUBERGER BERMAN LONG SH-INS | | 2017-07-03 | 2018-07-12 |
| | 1 AMERISOURCEBERGEN CORP | | 2018-04-09 | 2018-07-18 |
| | 1 AMERISOURCEBERGEN CORP | | 2018-04-09 | 2018-07-18 |
| | 12 CAPITAL ONE FINL CORP | | 2018-01-11 | 2018-07-18 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,912 | | 384 | 1,528 |
| 43,419 | | 42,736 | 683 |
| 878 | | 830 | 48 |
| 51,774 | | 54,880 | -3,106 |
| 16,607 | | 16,617 | -10 |
| 40,158 | | 40,077 | 81 |
| 2,099 | | 1,959 | 140 |
| 86 | | 86 | |
| 86 | | 86 | |
| 1,166 | | 1,248 | -82 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 1,528 |
| | | | 683 |
| | | | 48 |
| | | | -3,106 |
| | | | -10 |
| | | | 81 |
| | | | 140 |
| | | | |
| | | | |
| | | | -82 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 17 CAPITAL ONE FINL CORP | | 2018-01-11 | 2018-07-18 |
| 1 55 DISH NETWORK CORP | | | 2018-07-18 |
| 2 AMERISOURCEBERGEN CORP | | 2018-04-09 | 2018-07-19 |
| 6 AMERISOURCEBERGEN CORP | | | 2018-07-19 |
| 1 AMERISOURCEBERGEN CORP | | 2018-04-06 | 2018-07-19 |
| 1 AMERISOURCEBERGEN CORP | | 2018-04-06 | 2018-07-20 |
| 1 AMERISOURCEBERGEN CORP | | 2018-04-06 | 2018-07-24 |
| 1 AMERISOURCEBERGEN CORP | | 2018-04-06 | 2018-07-24 |
| 1 AMERISOURCEBERGEN CORP | | 2018-04-06 | 2018-07-25 |
| 1 AMERISOURCEBERGEN CORP | | 2018-04-06 | 2018-07-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,650 | | 1,768 | -118 |
| 1,715 | | 2,742 | -1,027 |
| 170 | | 173 | -3 |
| 512 | | 514 | -2 |
| 84 | | 85 | -1 |
| 85 | | 85 | |
| 85 | | 85 | |
| 84 | | 85 | -1 |
| 85 | | 85 | |
| 85 | | 85 | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -118 |
| | | | -1,027 |
| | | | -3 |
| | | | -2 |
| | | | -1 |
| | | | |
| | | | |
| | | | -1 |
| | | | |
| | | | |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 1 AMERISOURCEBERGEN CORP | | 2018-04-06 | 2018-07-25 |
| 1 1 AMERISOURCEBERGEN CORP | | 2018-04-06 | 2018-07-26 |
| 3 EQT CORPORATION | | 2015-07-27 | 2018-07-26 |
| 9 EQT CORPORATION | | 2015-07-27 | 2018-07-26 |
| 8 EQT CORPORATION | | 2015-07-27 | 2018-07-26 |
| 23 EQT CORPORATION | | | 2018-07-26 |
| 1 AMERISOURCEBERGEN CORP | | 2018-04-06 | 2018-07-27 |
| 1 AMERISOURCEBERGEN CORP | | 2018-04-06 | 2018-07-30 |
| 1 AMERISOURCEBERGEN CORP | | 2018-04-06 | 2018-07-30 |
| 1 AMERISOURCEBERGEN CORP | | 2018-04-06 | 2018-07-30 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 85 | | 85 | |
| 83 | | 85 | -2 |
| 163 | | 228 | -65 |
| 489 | | 684 | -195 |
| 435 | | 608 | -173 |
| 1,246 | | 1,575 | -329 |
| 83 | | 85 | -2 |
| 83 | | 85 | -2 |
| 83 | | 85 | -2 |
| 83 | | 85 | -2 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | |
| | | | -2 |
| | | | -65 |
| | | | -195 |
| | | | -173 |
| | | | -329 |
| | | | -2 |
| | | | -2 |
| | | | -2 |
| | | | -2 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 1 AMERISOURCEBERGEN CORP | | 2018-04-06 | 2018-08-01 |
| 1 2 AMERISOURCEBERGEN CORP | | 2018-04-06 | 2018-08-02 |
| 1 AMERISOURCEBERGEN CORP | | 2018-04-06 | 2018-08-03 |
| 1 AMERISOURCEBERGEN CORP | | 2018-04-06 | 2018-08-03 |
| 30 TWENTY-FIRST CENTURY FOX-A | | 2014-04-29 | 2018-08-09 |
| 941 519 AMG MG PICTET INTL-Z | | 2016-01-29 | 2018-08-17 |
| 330 96 DODGE & INTERNATIONAL STOCK FUND | | 2013-07-31 | 2018-08-17 |
| 545 ISHARES CORE MSCI EAFE ETF | | 2017-07-03 | 2018-08-17 |
| 476 554 MFS INTL INTRINSIC VALUE-R6 | | | 2018-08-17 |
| 35 NIKE INC | | 2017-02-23 | 2018-08-23 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 80 | | 85 | -5 |
| 158 | | 171 | -13 |
| 81 | | 85 | -4 |
| 81 | | 85 | -4 |
| 1,369 | | 961 | 408 |
| 10,187 | | 8,363 | 1,824 |
| 14,139 | | 12,795 | 1,344 |
| 34,117 | | 33,174 | 943 |
| 20,549 | | 13,079 | 7,470 |
| 2,904 | | 2,027 | 877 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -5 |
| | | | -13 |
| | | | -4 |
| | | | -4 |
| | | | 408 |
| | | | 1,824 |
| | | | 1,344 |
| | | | 943 |
| | | | 7,470 |
| | | | 877 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 29 NIKE INC | | 2017-10-03 | 2018-08-23 |
| 1 23 AMERICAN INTL GROUP INC NEW | | | 2018-09-11 |
| 17 AMERICAN INTL GROUP INC NEW | | | 2018-09-11 |
| 23 FACEBOOK INC-A | | | 2018-09-13 |
| 14 MICROCHIP TECHNOLOGY INC | | | 2018-09-13 |
| 5 MICROCHIP TECHNOLOGY INC | | | 2018-09-13 |
| 3 MICROCHIP TECHNOLOGY INC | | | 2018-09-13 |
| 1 MICROCHIP TECHNOLOGY INC | | 2017-04-12 | 2018-09-13 |
| 1 MICROCHIP TECHNOLOGY INC | | 2017-04-12 | 2018-09-13 |
| 4 MICROCHIP TECHNOLOGY INC | | | 2018-09-14 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 2,406 | | 1,494 | 912 |
| 1,201 | | 1,385 | -184 |
| 888 | | 1,000 | -112 |
| 3,735 | | 2,187 | 1,548 |
| 1,179 | | 1,026 | 153 |
| 420 | | 364 | 56 |
| 252 | | 217 | 35 |
| 84 | | 72 | 12 |
| 84 | | 72 | 12 |
| 336 | | 289 | 47 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 912 |
| | | | -184 |
| | | | -112 |
| | | | 1,548 |
| | | | 153 |
| | | | 56 |
| | | | 35 |
| | | | 12 |
| | | | 12 |
| | | | 47 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 2 MICROCHIP TECHNOLOGY INC | | 2017-04-13 | 2018-09-14 |
| 1 1 MICROCHIP TECHNOLOGY INC | | 2017-04-12 | 2018-09-17 |
| 2 MICROCHIP TECHNOLOGY INC | | 2017-04-12 | 2018-09-17 |
| 1 MICROCHIP TECHNOLOGY INC | | 2017-04-12 | 2018-09-18 |
| 1 MICROCHIP TECHNOLOGY INC | | 2017-04-12 | 2018-09-19 |
| 77 TWENTY-FIRST CENTURY FOX-A | | | 2018-09-20 |
| 37 TWENTY-FIRST CENTURY FOX-A | | 2017-11-20 | 2018-09-20 |
| 8 GARRETT MOTION INC | | | 2018-10-02 |
| 51 BANK OF NEW YORK MELLON CORP | | | 2018-10-08 |
| 23 BANK OF NEW YORK MELLON CORP | | 2018-02-22 | 2018-10-08 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 170 | | 145 | 25 |
| 82 | | 72 | 10 |
| 163 | | 144 | 19 |
| 81 | | 72 | 9 |
| 81 | | 72 | 9 |
| 3,439 | | 2,362 | 1,077 |
| 1,652 | | 1,136 | 516 |
| 141 | | 39 | 102 |
| 2,684 | | 2,235 | 449 |
| 1,210 | | 1,295 | -85 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 25 |
| | | | 10 |
| | | | 19 |
| | | | 9 |
| | | | 9 |
| | | | 1,077 |
| | | | 516 |
| | | | 102 |
| | | | 449 |
| | | | -85 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| | 116 ORACLE CORP | | | 2018-10-08 |
| 1 | 7 ALLERGAN PLC | | | 2018-10-08 |
| | 22 HARTFORD FINL SVCS GROUP INC | | | 2018-10-15 |
| | 12 MASCO CORP | | 2012-07-30 | 2018-10-15 |
| | 24 HARTFORD FINL SVCS GROUP INC | | | 2018-10-16 |
| | 14 HARTFORD FINL SVCS GROUP INC | | 2013-05-07 | 2018-10-16 |
| | 8 MASCO CORP | | 2012-07-30 | 2018-10-16 |
| | 13 MASCO CORP | | 2012-07-30 | 2018-10-16 |
| | 37 MASCO CORP | | | 2018-10-16 |
| | 8 MASCO CORP | | 2012-08-03 | 2018-10-17 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 5,721 | | 5,901 | -180 |
| 1,326 | | 1,932 | -606 |
| 1,022 | | 907 | 115 |
| 385 | | 142 | 243 |
| 1,116 | | 701 | 415 |
| 651 | | 409 | 242 |
| 259 | | 94 | 165 |
| 421 | | 153 | 268 |
| 1,193 | | 427 | 766 |
| 256 | | 91 | 165 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -180 |
| | | | -606 |
| | | | 115 |
| | | | 243 |
| | | | 415 |
| | | | 242 |
| | | | 165 |
| | | | 268 |
| | | | 766 |
| | | | 165 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 23 MASCO CORP | | 2012-08-03 | 2018-10-17 |
| 1 4 MASCO CORP | | 2012-08-03 | 2018-10-17 |
| 11 MASCO CORP | | 2012-08-03 | 2018-10-18 |
| 1 AMERICAN INTL GROUP INC NEW | | 2016-05-27 | 2018-10-19 |
| 6 AMERICAN INTL GROUP INC NEW | | 2016-05-27 | 2018-10-19 |
| 10 AMERICAN INTL GROUP INC NEW | | | 2018-10-19 |
| 1 WORKDAY INC-CLASS A | | 2016-08-10 | 2018-10-19 |
| 4 AMERICAN INTL GROUP INC NEW | | 2016-06-03 | 2018-10-22 |
| 4 AMERICAN INTL GROUP INC NEW | | 2016-06-03 | 2018-10-22 |
| 1 AMERICAN INTL GROUP INC NEW | | 2016-06-03 | 2018-10-22 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 736 | | 262 | 474 |
| 128 | | 46 | 82 |
| 343 | | 126 | 217 |
| 48 | | 58 | -10 |
| 284 | | 349 | -65 |
| 475 | | 574 | -99 |
| 128 | | 83 | 45 |
| 186 | | 228 | -42 |
| 189 | | 228 | -39 |
| 45 | | 57 | -12 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 474 |
| | | | 82 |
| | | | 217 |
| | | | -10 |
| | | | -65 |
| | | | -99 |
| | | | 45 |
| | | | -42 |
| | | | -39 |
| | | | -12 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 1 AMERICAN INTL GROUP INC NEW | | 2016-06-03 | 2018-10-22 |
| 1 18 AMERICAN INTL GROUP INC NEW | | | 2018-10-22 |
| 3 AMERICAN INTL GROUP INC NEW | | 2016-04-18 | 2018-10-22 |
| 2 AMERICAN INTL GROUP INC NEW | | 2016-04-18 | 2018-10-22 |
| 5 WORKDAY INC-CLASS A | | 2016-08-10 | 2018-10-22 |
| 1 WORKDAY INC-CLASS A | | 2016-08-10 | 2018-10-22 |
| 8 WORKDAY INC-CLASS A | | | 2018-10-22 |
| 8009 994 AMG MG PICTET INTL-Z | | 2016-01-29 | 2018-10-23 |
| 4 ADOBE SYS INC | | 2012-08-03 | 2018-10-23 |
| 5 AGILENT TECHNOLOGIES INC | | | 2018-10-23 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 45 | | 57 | -12 |
| 828 | | 995 | -167 |
| 135 | | 165 | -30 |
| 90 | | 110 | -20 |
| 644 | | 413 | 231 |
| 126 | | 83 | 43 |
| 1,026 | | 660 | 366 |
| 79,860 | | 71,147 | 8,713 |
| 1,008 | | 128 | 880 |
| 314 | | 270 | 44 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -12 |
| | | | -167 |
| | | | -30 |
| | | | -20 |
| | | | 231 |
| | | | 43 |
| | | | 366 |
| | | | 8,713 |
| | | | 880 |
| | | | 44 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 1 ALPHABET INC/CA-CL C | | 2015-01-15 | 2018-10-23 |
| 1 1 ALPHABET INC/CA-CL C | | 2018-05-07 | 2018-10-23 |
| 1 ALPHABET INC/CA-CL A | | 2016-07-11 | 2018-10-23 |
| 2 AMAZON COM INC | | | 2018-10-23 |
| 3 AMERICAN EXPRESS CO | | 2018-07-18 | 2018-10-23 |
| 3 AMERICAN INTL GROUP INC NEW | | 2016-04-18 | 2018-10-23 |
| 1 AMERICAN INTL GROUP INC NEW | | 2016-04-18 | 2018-10-23 |
| 1 AMERICAN INTL GROUP INC NEW | | 2016-04-18 | 2018-10-23 |
| 1 AMERICAN INTL GROUP INC NEW | | 2016-04-18 | 2018-10-23 |
| 1 AMERICAN INTL GROUP INC NEW | | 2016-04-18 | 2018-10-23 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,101 | | 502 | 599 |
| 1,101 | | 1,052 | 49 |
| 1,112 | | 727 | 385 |
| 3,534 | | 754 | 2,780 |
| 313 | | 309 | 4 |
| 132 | | 165 | -33 |
| 44 | | 55 | -11 |
| 44 | | 55 | -11 |
| 44 | | 55 | -11 |
| 44 | | 55 | -11 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 599 |
| | | | 49 |
| | | | 385 |
| | | | 2,780 |
| | | | 4 |
| | | | -33 |
| | | | -11 |
| | | | -11 |
| | | | -11 |
| | | | -11 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 1 AMERICAN INTL GROUP INC NEW | | 2016-04-18 | 2018-10-23 |
| 1 8 ANALOG DEVICES INC | | 2018-09-13 | 2018-10-23 |
| 14 APPLE COMPUTER INC | | 2006-04-07 | 2018-10-23 |
| 54 BANK OF AMERICA CORPORATION | | | 2018-10-23 |
| 7 BERKSHIRE HATHAWAY INC DEL CL B | | 2018-09-11 | 2018-10-23 |
| 2 BIOGEN IDEC INC | | 2018-10-08 | 2018-10-23 |
| 28 BOSTON SCIENTIFIC CORP | | | 2018-10-23 |
| 13 BRISTOL MYERS SQUIBB CO | | 2017-02-23 | 2018-10-23 |
| 6 BROADCOM INC | | 2013-04-25 | 2018-10-23 |
| 5 CELGENE CORP | | | 2018-10-23 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 44 | | 55 | -11 |
| 662 | | 737 | -75 |
| 3,118 | | 138 | 2,980 |
| 1,461 | | 1,643 | -182 |
| 1,430 | | 1,498 | -68 |
| 634 | | 685 | -51 |
| 1,011 | | 656 | 355 |
| 659 | | 723 | -64 |
| 1,375 | | 230 | 1,145 |
| 400 | | 524 | -124 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -11 |
| | | | -75 |
| | | | 2,980 |
| | | | -182 |
| | | | -68 |
| | | | -51 |
| | | | 355 |
| | | | -64 |
| | | | 1,145 |
| | | | -124 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 3 CHARTER COMMUNICATIONS INC-A | | 2018-04-13 | 2018-10-23 |
| 1 19 CITIGROUP INC NEW | | 2017-05-15 | 2018-10-23 |
| 14 COCA-COLA CO | | 2018-04-16 | 2018-10-23 |
| 17 COMCAST CORP NEW CL A | | 2018-10-19 | 2018-10-23 |
| 8 DELTA AIR LINES INC DEL NEW | | 2017-12-13 | 2018-10-23 |
| 4 DIAMONDBACK ENERGY INC | | 2017-05-15 | 2018-10-23 |
| 13 DISNEY WALT CO | | | 2018-10-23 |
| 2187 405 DODGE & INTERNATIONAL STOCK FUND | | | 2018-10-23 |
| 5 DOLLAR TREE INC | | 2017-10-27 | 2018-10-23 |
| 24 DOWDUPONT INC | | | 2018-10-23 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 953 | | 918 | 35 |
| 1,250 | | 1,167 | 83 |
| 648 | | 625 | 23 |
| 605 | | 612 | -7 |
| 427 | | 429 | -2 |
| 480 | | 416 | 64 |
| 1,530 | | 1,434 | 96 |
| 89,399 | | 79,571 | 9,828 |
| 420 | | 464 | -44 |
| 1,314 | | 1,537 | -223 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 35 |
| | | | 83 |
| | | | 23 |
| | | | -7 |
| | | | -2 |
| | | | 64 |
| | | | 96 |
| | | | 9,828 |
| | | | -44 |
| | | | -223 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 11 EOG RESOURCES INC | | | 2018-10-23 |
| 1 6 EASTMAN CHEM CO | | | 2018-10-23 |
| 3 ELECTRONIC ARTS | | 2018-09-20 | 2018-10-23 |
| 5 FACEBOOK INC-A | | 2014-07-25 | 2018-10-23 |
| 7 FIDELITY NATL INFORMATION SVCS INC | | 2014-12-19 | 2018-10-23 |
| GARRETT MOTION INC | | | 2018-10-23 |
| 3 GENERAL DYNAMICS CORP | | 2017-02-10 | 2018-10-23 |
| 4 GILEAD SCIENCES INC | | 2015-01-08 | 2018-10-23 |
| 2 GOLDMAN SACHS GROUP INC | | 2016-02-05 | 2018-10-23 |
| 6 HOME DEPOT INC | | | 2018-10-23 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,226 | | 997 | 229 |
| 474 | | 402 | 72 |
| 298 | | 342 | -44 |
| 771 | | 377 | 394 |
| 724 | | 446 | 278 |
| 9 | | | 9 |
| 566 | | 552 | 14 |
| 287 | | 406 | -119 |
| 438 | | 313 | 125 |
| 1,072 | | 234 | 838 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 229 |
| | | | 72 |
| | | | -44 |
| | | | 394 |
| | | | 278 |
| | | | 9 |
| | | | 14 |
| | | | -119 |
| | | | 125 |
| | | | 838 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 11 HONEYWELL INTL INC | | | 2018-10-23 |
| 1 4 INTERCONTINENTALEXCHANGE GROUP, INC | | 2017-02-01 | 2018-10-23 |
| 3536 942 JPM GL RES ENH IDX FD - USD - R6 ISIN US48129C2070 | | | 2018-10-23 |
| 38 KEYCORP NEW | | 2018-10-08 | 2018-10-23 |
| 3 LAUDER ESTEE COS INC CL A | | 2018-08-23 | 2018-10-23 |
| 9 LILLY ELI & CO | | | 2018-10-23 |
| 8 LOWES COS INC | | 2011-09-28 | 2018-10-23 |
| 2118 025 MFS INTL INTRINSIC VALUE-R6 | | 2012-04-10 | 2018-10-23 |
| 12 MARATHON PETROLEUM CORPORATION | | | 2018-10-23 |
| 10 MASTERCARD INC - CLASS A | | 2012-10-15 | 2018-10-23 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,663 | | 560 | 1,103 |
| 298 | | 235 | 63 |
| 77,601 | | 65,422 | 12,179 |
| 660 | | 778 | -118 |
| 376 | | 400 | -24 |
| 994 | | 772 | 222 |
| 785 | | 161 | 624 |
| 86,077 | | 52,573 | 33,504 |
| 866 | | 980 | -114 |
| 2,028 | | 475 | 1,553 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 1,103 |
| | | | 63 |
| | | | 12,179 |
| | | | -118 |
| | | | -24 |
| | | | 222 |
| | | | 624 |
| | | | 33,504 |
| | | | -114 |
| | | | 1,553 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 11 MERCK & CO INC | | 2017-10-03 | 2018-10-23 |
| 1 35 MICROSOFT CORP | | 2009-07-24 | 2018-10-23 |
| 18 MONDELEZ INTERNATIONAL-W/I | | | 2018-10-23 |
| 31 MORGAN STANLEY DEAN WITTER & CO NEW | | | 2018-10-23 |
| 2 NETFLIX COM INC | | 2018-09-13 | 2018-10-23 |
| 4 NEXTERA ENERGY INC | | 2018-07-18 | 2018-10-23 |
| 17 NISOURCE INC | | | 2018-10-23 |
| 7 NORFOLK SOUTHN CORP | | | 2018-10-23 |
| 3 NORTHROP GRUMMAN CORP | | 2017-10-10 | 2018-10-23 |
| 4 NVIDIA CORP | | 2018-10-08 | 2018-10-23 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 794 | | 709 | 85 |
| 3,792 | | 819 | 2,973 |
| 743 | | 800 | -57 |
| 1,384 | | 801 | 583 |
| 664 | | 741 | -77 |
| 684 | | 678 | 6 |
| 430 | | 282 | 148 |
| 1,097 | | 1,055 | 42 |
| 913 | | 880 | 33 |
| 887 | | 1,073 | -186 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 85 |
| | | | 2,973 |
| | | | -57 |
| | | | 583 |
| | | | -77 |
| | | | 6 |
| | | | 148 |
| | | | 42 |
| | | | 33 |
| | | | -186 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| | 2 O'REILLY AUTOMOTIVE INC | | 2016-11-15 | 2018-10-23 |
| 1 | 12 OCCIDENTAL PETE CORP | | | 2018-10-23 |
| | 6 OCCIDENTAL PETE CORP | | 2014-12-03 | 2018-10-23 |
| | 5087 594 PIMCO SHORT TERM FUND INSTL | | | 2018-10-23 |
| | 3 PVH CORP | | 2018-01-30 | 2018-10-23 |
| | 3 PARKER HANNIFIN CORP | | 2018-01-11 | 2018-10-23 |
| | 2856 759 PARNASSUS EQTY INCOME FD-INS | | 2011-10-24 | 2018-10-23 |
| | 7 PAYPAL HOLDINGS INC | | 2018-07-26 | 2018-10-23 |
| | 10 PEPSICO INC | | 2018-05-22 | 2018-10-23 |
| | 34 PFIZER INC | | 2016-07-05 | 2018-10-23 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 683 | | 536 | 147 |
| 851 | | 937 | -86 |
| 426 | | 495 | -69 |
| 50,316 | | 50,222 | 94 |
| 362 | | 470 | -108 |
| 458 | | 625 | -167 |
| 127,983 | | 75,276 | 52,707 |
| 612 | | 626 | -14 |
| 1,101 | | 998 | 103 |
| 1,501 | | 1,219 | 282 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 147 |
| | | | -86 |
| | | | -69 |
| | | | 94 |
| | | | -108 |
| | | | -167 |
| | | | 52,707 |
| | | | -14 |
| | | | 103 |
| | | | 282 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 5 PHILIP MORRIS INTERNATIONAL | | | 2018-10-23 |
| 1 4 PIONEER NAT RES CO | | | 2018-10-23 |
| 2 SVB FINANCIAL GROUP | | 2015-02-06 | 2018-10-23 |
| 6 SALESFORCE COM INC | | 2018-10-08 | 2018-10-23 |
| 10 SCHWAB CHARLES CORP NEW | | | 2018-10-23 |
| 6 STANLEY BLACK & DECKER,INC | | | 2018-10-23 |
| 10 TEXAS INSTRS INC | | 2016-03-10 | 2018-10-23 |
| 6 UNION PAC CORP | | 2018-02-22 | 2018-10-23 |
| 4 UNITED TECHNOLOGIES CORP | | | 2018-10-23 |
| 10 UNITEDHEALTH GROUP INC | | | 2018-10-23 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 442 | | 566 | -124 |
| 638 | | 700 | -62 |
| 547 | | 253 | 294 |
| 846 | | 908 | -62 |
| 448 | | 292 | 156 |
| 705 | | 632 | 73 |
| 1,001 | | 552 | 449 |
| 863 | | 802 | 61 |
| 522 | | 495 | 27 |
| 2,650 | | 1,349 | 1,301 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -124 |
| | | | -62 |
| | | | 294 |
| | | | -62 |
| | | | 156 |
| | | | 73 |
| | | | 449 |
| | | | 61 |
| | | | 27 |
| | | | 1,301 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 2 VERTEX PHARMACEUTICALS INC | | 2011-12-15 | 2018-10-23 |
| 1 5 VISA INC CLASS A SHARES | | 2015-10-19 | 2018-10-23 |
| 6 WASTE CONNECTIONS INC | | | 2018-10-23 |
| 12 WELLS FARGO & CO NEW | | 2017-11-20 | 2018-10-23 |
| 11 WELLS FARGO & CO NEW | | 2009-03-17 | 2018-10-23 |
| 3 WEX INC | | | 2018-10-23 |
| 4 WORKDAY INC-CLASS A | | 2016-08-10 | 2018-10-23 |
| 6 WORLDPAY INC CL A | | 2018-10-08 | 2018-10-23 |
| 8 ZIMMER HLDGS INC | | | 2018-10-23 |
| 5 ALLEGION PLC | | | 2018-10-23 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 351 | | 63 | 288 |
| 696 | | 385 | 311 |
| 449 | | 320 | 129 |
| 620 | | 649 | -29 |
| 569 | | 150 | 419 |
| 550 | | 283 | 267 |
| 514 | | 330 | 184 |
| 565 | | 582 | -17 |
| 992 | | 920 | 72 |
| 414 | | 332 | 82 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 288 |
| | | | 311 |
| | | | 129 |
| | | | -29 |
| | | | 419 |
| | | | 267 |
| | | | 184 |
| | | | -17 |
| | | | 72 |
| | | | 82 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 4 ALLERGAN PLC | | | 2018-10-23 |
| 1 6 ACCENTURE PLC | | | 2018-10-23 |
| 8 MEDTRONIC PLC | | 2018-07-18 | 2018-10-23 |
| 7 APTIV PLC | | | 2018-10-23 |
| 8 CHUBB LTD | | | 2018-10-23 |
| 1 AMAZON COM INC | | 2015-03-12 | 2018-10-24 |
| 1 AMERICAN INTL GROUP INC NEW | | 2016-04-18 | 2018-10-24 |
| 1 AMERICAN INTL GROUP INC NEW | | 2016-04-18 | 2018-10-24 |
| 6 O'REILLY AUTOMOTIVE INC | | 2016-11-16 | 2018-10-24 |
| 1 O'REILLY AUTOMOTIVE INC | | 2016-11-16 | 2018-10-24 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 751 | | 1,062 | -311 |
| 951 | | 499 | 452 |
| 746 | | 704 | 42 |
| 534 | | 610 | -76 |
| 1,016 | | 1,008 | 8 |
| 1,722 | | 374 | 1,348 |
| 43 | | 55 | -12 |
| 43 | | 55 | -12 |
| 1,975 | | 1,608 | 367 |
| 324 | | 268 | 56 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -311 |
| | | | 452 |
| | | | 42 |
| | | | -76 |
| | | | 8 |
| | | | 1,348 |
| | | | -12 |
| | | | -12 |
| | | | 367 |
| | | | 56 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 1 O'REILLY AUTOMOTIVE INC | | 2017-05-08 | 2018-10-24 |
| 1 1 O'REILLY AUTOMOTIVE INC | | 2017-05-08 | 2018-10-25 |
| 26 AGILENT TECHNOLOGIES INC | | | 2018-10-30 |
| 11 AGILENT TECHNOLOGIES INC | | | 2018-10-30 |
| 2 AGILENT TECHNOLOGIES INC | | 2016-10-18 | 2018-10-30 |
| 12 RESIDEO TECHNOLOGIES INC | | | 2018-10-30 |
| 2909 258 GMO SGM MAJOR MARKETS FD-VI | | 2018-01-17 | 2018-11-06 |
| 7877 022 NEUBERGER BERMAN LONG SH-INS | | | 2018-11-06 |
| 12550 887 PIMCO UNCONSTRAINED BD FD INSTL CL* | | | 2018-11-06 |
| 2391 803 PIMCO MTGE OPPORTUNITIES-IS | | 2018-01-17 | 2018-11-06 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 331 | | 254 | 77 |
| 322 | | 254 | 68 |
| 1,643 | | 1,182 | 461 |
| 696 | | 500 | 196 |
| 125 | | 91 | 34 |
| 272 | | 89 | 183 |
| 91,496 | | 94,056 | -2,560 |
| 115,241 | | 104,713 | 10,528 |
| 134,922 | | 141,543 | -6,621 |
| 26,190 | | 26,429 | -239 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 77 |
| | | | 68 |
| | | | 461 |
| | | | 196 |
| | | | 34 |
| | | | 183 |
| | | | -2,560 |
| | | | 10,528 |
| | | | -6,621 |
| | | | -239 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 6470 633 PIMCO MTGE OPPORTUNITIES-IS | | 2017-07-03 | 2018-11-06 |
| 1 RESIDEO TECHNOLOGIES INC | | | 2018-11-19 |
| 1 ALLERGAN PLC | | 2014-12-03 | 2018-11-27 |
| 15 ALLERGAN PLC | | | 2018-11-27 |
| 7 ALLERGAN PLC | | | 2018-11-28 |
| 5 ALLERGAN PLC | | 2014-08-14 | 2018-11-28 |
| 2 ALLERGAN PLC | | 2014-08-14 | 2018-11-29 |
| 1 EASTMAN CHEM CO | | 2016-07-07 | 2018-12-11 |
| 6 EASTMAN CHEM CO | | 2016-07-07 | 2018-12-11 |
| 1 EASTMAN CHEM CO | | 2016-07-07 | 2018-12-11 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 70,853 | | 71,630 | -777 |
| 12 | | | 12 |
| 151 | | 265 | -114 |
| 2,278 | | 3,706 | -1,428 |
| 1,073 | | 1,503 | -430 |
| 774 | | 1,066 | -292 |
| 311 | | 426 | -115 |
| 75 | | 67 | 8 |
| 442 | | 402 | 40 |
| 73 | | 67 | 6 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -777 |
| | | | 12 |
| | | | -114 |
| | | | -1,428 |
| | | | -430 |
| | | | -292 |
| | | | -115 |
| | | | 8 |
| | | | 40 |
| | | | 6 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| | 21 LAUDER ESTEE COS INC CL A | | 2018-08-23 | 2018-12-11 |
| 1 | 3 EASTMAN CHEM CO | | 2016-02-23 | 2018-12-12 |
| | 1 EASTMAN CHEM CO | | 2016-02-23 | 2018-12-12 |
| | 6 EASTMAN CHEM CO | | 2016-02-23 | 2018-12-12 |
| | 6 EASTMAN CHEM CO | | 2016-02-23 | 2018-12-13 |
| | 1 EASTMAN CHEM CO | | 2016-02-23 | 2018-12-13 |
| | 5 EASTMAN CHEM CO | | 2016-02-23 | 2018-12-14 |
| | 4 EASTMAN CHEM CO | | 2016-02-23 | 2018-12-17 |
| | 7 PVH CORP | | | 2018-12-17 |
| | 2 PVH CORP | | 2018-01-29 | 2018-12-17 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 2,923 | | 2,803 | 120 |
| 218 | | 190 | 28 |
| 74 | | 63 | 11 |
| 439 | | 380 | 59 |
| 435 | | 380 | 55 |
| 72 | | 63 | 9 |
| 356 | | 316 | 40 |
| 290 | | 253 | 37 |
| 641 | | 1,093 | -452 |
| 185 | | 311 | -126 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 120 |
| | | | 28 |
| | | | 11 |
| | | | 59 |
| | | | 55 |
| | | | 9 |
| | | | 40 |
| | | | 37 |
| | | | -452 |
| | | | -126 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 2 EASTMAN CHEM CO | | 2016-02-23 | 2018-12-18 |
| 1 4 EASTMAN CHEM CO | | 2016-02-23 | 2018-12-18 |
| 7791 38 METROPOLITAN WEST T/R BD-PLN | | 2017-11-20 | 2018-12-18 |
| 4 PVH CORP | | 2018-01-29 | 2018-12-18 |
| 3 PVH CORP | | | 2018-12-18 |
| 2 PVH CORP | | 2018-01-26 | 2018-12-18 |
| 1 PVH CORP | | 2018-01-26 | 2018-12-19 |
| 1 PVH CORP | | 2018-01-26 | 2018-12-19 |
| 17 GOLDMAN SACHS GROUP INC | | | 2018-12-21 |
| 61 PARSLEY ENERGY INC-CLASS A | | | 2018-12-21 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 144 | | 127 | 17 |
| 286 | | 253 | 33 |
| 75,966 | | 78,070 | -2,104 |
| 371 | | 623 | -252 |
| 277 | | 465 | -188 |
| 186 | | 308 | -122 |
| 91 | | 154 | -63 |
| 93 | | 154 | -61 |
| 2,796 | | 2,502 | 294 |
| 922 | | 1,941 | -1,019 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 17 |
| | | | 33 |
| | | | -2,104 |
| | | | -252 |
| | | | -188 |
| | | | -122 |
| | | | -63 |
| | | | -61 |
| | | | 294 |
| | | | -1,019 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 16 PARSLEY ENERGY INC-CLASS A | | 2018-05-22 | 2018-12-21 |
| 1 FHLMC | | | 2019-01-17 |
| 4 GILEAD SCIENCES INC | | | 2019-01-23 |
| 1 GILEAD SCIENCES INC | | 2016-02-10 | 2019-01-23 |
| 2 GILEAD SCIENCES INC | | 2016-02-10 | 2019-01-23 |
| 2 MASTERCARD INC - CLASS A | | 2012-10-15 | 2019-01-23 |
| 5 WORLDPAY INC CL A | | 2018-10-08 | 2019-01-23 |
| 1 WORLDPAY INC CL A | | 2018-10-08 | 2019-01-23 |
| 7 GILEAD SCIENCES INC | | | 2019-01-24 |
| 2 MASTERCARD INC - CLASS A | | 2012-10-15 | 2019-01-24 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 244 | | 507 | -263 |
| 144 | | | 144 |
| 273 | | 394 | -121 |
| 68 | | 89 | -21 |
| 136 | | 178 | -42 |
| 397 | | 95 | 302 |
| 412 | | 485 | -73 |
| 83 | | 97 | -14 |
| 475 | | 623 | -148 |
| 399 | | 95 | 304 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -263 |
| | | | 144 |
| | | | -121 |
| | | | -21 |
| | | | -42 |
| | | | 302 |
| | | | -73 |
| | | | -14 |
| | | | -148 |
| | | | 304 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 4 MASTERCARD INC - CLASS A | | | 2019-01-24 |
| 1 2 WORLDPAY INC CL A | | 2017-09-22 | 2019-01-24 |
| 7 WORLDPAY INC CL A | | 2018-10-08 | 2019-01-24 |
| 2 GILEAD SCIENCES INC | | 2016-02-10 | 2019-01-25 |
| 1 GILEAD SCIENCES INC | | 2016-02-10 | 2019-01-25 |
| 6 MASTERCARD INC - CLASS A | | 2009-07-16 | 2019-01-25 |
| 12 WORLDPAY INC CL A | | 2017-09-22 | 2019-01-25 |
| 1 GILEAD SCIENCES INC | | 2016-02-10 | 2019-01-28 |
| 2 GILEAD SCIENCES INC | | 2016-02-10 | 2019-01-28 |
| 7 WORLDPAY INC CL A | | 2017-09-22 | 2019-01-28 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 796 | | 160 | 636 |
| 164 | | 144 | 20 |
| 576 | | 679 | -103 |
| 138 | | 178 | -40 |
| 69 | | 89 | -20 |
| 1,216 | | 108 | 1,108 |
| 995 | | 865 | 130 |
| 68 | | 89 | -21 |
| 136 | | 178 | -42 |
| 583 | | 504 | 79 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 636 |
| | | | 20 |
| | | | -103 |
| | | | -40 |
| | | | -20 |
| | | | 1,108 |
| | | | 130 |
| | | | -21 |
| | | | -42 |
| | | | 79 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 1 GILEAD SCIENCES INC | | 2016-02-10 | 2019-01-29 |
| 1 1 GILEAD SCIENCES INC | | 2016-02-10 | 2019-01-29 |
| 1 WORLDPAY INC CL A | | 2017-09-22 | 2019-01-29 |
| 1 GILEAD SCIENCES INC | | 2016-02-10 | 2019-01-30 |
| 8 WORLDPAY INC CL A | | 2017-09-22 | 2019-01-30 |
| 1 GILEAD SCIENCES INC | | 2016-02-10 | 2019-01-31 |
| 2 GILEAD SCIENCES INC | | | 2019-02-01 |
| 11468 347 DODGE & COX INCOME FUND | | 2013-12-06 | 2019-02-07 |
| 1931 VANGUARD BD INDEX FD INC | | | 2019-02-07 |
| 8738 178 AMG MG PICTET INTL-Z | | 2016-01-29 | 2019-02-14 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 69 | | 89 | -20 |
| 70 | | 89 | -19 |
| 82 | | 72 | 10 |
| 69 | | 89 | -20 |
| 668 | | 576 | 92 |
| 70 | | 89 | -19 |
| 140 | | 176 | -36 |
| 154,593 | | 155,970 | -1,377 |
| 152,235 | | 151,089 | 1,146 |
| 75,760 | | 77,615 | -1,855 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | -20 |
| | | | -19 |
| | | | 10 |
| | | | -20 |
| | | | 92 |
| | | | -19 |
| | | | -36 |
| | | | -1,377 |
| | | | 1,146 |
| | | | -1,855 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 7 ANALOG DEVICES INC | | 2018-09-13 | 2019-02-19 |
| 1 3 ANALOG DEVICES INC | | 2018-09-13 | 2019-02-19 |
| 9 ANALOG DEVICES INC | | | 2019-02-19 |
| 18 ANALOG DEVICES INC | | | 2019-02-19 |
| 4 ANALOG DEVICES INC | | 2018-09-13 | 2019-02-19 |
| 3 ANALOG DEVICES INC | | | 2019-02-19 |
| 11 ANALOG DEVICES INC | | | 2019-02-20 |
| 8490 44 AMG MG PICTET INTL-Z | | 2016-01-29 | 2019-03-05 |
| 5 BIOGEN IDEC INC | | 2010-06-18 | 2019-03-14 |
| 3 BIOGEN IDEC INC | | 2018-10-08 | 2019-03-14 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 733 | | 645 | 88 |
| 313 | | 276 | 37 |
| 945 | | 829 | 116 |
| 1,878 | | 1,150 | 728 |
| 417 | | 368 | 49 |
| 315 | | 191 | 124 |
| 1,165 | | 696 | 469 |
| 77,263 | | 75,415 | 1,848 |
| 1,601 | | 230 | 1,371 |
| 961 | | 1,027 | -66 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 88 |
| | | | 37 |
| | | | 116 |
| | | | 728 |
| | | | 49 |
| | | | 124 |
| | | | 469 |
| | | | 1,848 |
| | | | 1,371 |
| | | | -66 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 89 BRISTOL MYERS SQUIBB CO | | | 2019-03-14 |
| 1 20 DISNEY WALT CO | | | 2019-03-14 |
| BANK OF AMERICA CORPORATION | | | 2019-03-20 |
| PFIZER INC | | | 2019-04-03 |
| 4009 255 AMG MG PICTET INTL-Z | | 2016-01-29 | 2019-04-08 |
| 492 733 DODGE & INTERNATIONAL STOCK FUND | | | 2019-04-08 |
| 17 DOW INC | | | 2019-04-08 |
| 3 DOW INC | | 2017-02-22 | 2019-04-08 |
| 1 DOW INC | | | 2019-04-08 |
| 21 DOW INC | | | 2019-04-08 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 4,379 | | 3,722 | 657 |
| 2,287 | | 2,142 | 145 |
| 24 | | | 24 |
| 295 | | | 295 |
| 37,246 | | 35,612 | 1,634 |
| 20,685 | | 17,669 | 3,016 |
| 971 | | 1,086 | -115 |
| 172 | | 190 | -18 |
| 57 | | 63 | -6 |
| 1,202 | | 1,230 | -28 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 657 |
| | | | 145 |
| | | | 24 |
| | | | 295 |
| | | | 1,634 |
| | | | 3,016 |
| | | | -115 |
| | | | -18 |
| | | | -6 |
| | | | -28 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| | 10 DOW INC | | | 2019-04-08 |
| 1 | 1703 683 PARNASSUS EQTY INCOME FD-INS | | 2011-10-24 | 2019-04-08 |
| | 3 DOW INC | | 2015-07-15 | 2019-04-09 |
| | 15868 631 JPM GL RES ENH IDX FD - USD - R6 ISIN US48129C2070 | | | 2019-04-16 |
| | 6 OCCIDENTAL PETE CORP | | | 2019-04-25 |
| | 32 OCCIDENTAL PETE CORP | | | 2019-04-25 |
| | 39 OCCIDENTAL PETE CORP | | | 2019-04-25 |
| | CAPITAL GAIN DIVIDENDS | P | | |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 573 | | 530 | 43 |
| 76,461 | | 44,892 | 31,569 |
| 170 | | 158 | 12 |
| 336,891 | | 280,291 | 56,600 |
| 373 | | 462 | -89 |
| 1,988 | | 2,325 | -337 |
| 2,422 | | 2,147 | 275 |
| | | | 73,399 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 43 |
| | | | 31,569 |
| | | | 12 |
| | | | 56,600 |
| | | | -89 |
| | | | -337 |
| | | | 275 |
| | | | |

# TY 2018 Investments Corporate Bonds Schedule

**Name:** EISENBERG FAMILY TRUST XXXXX6009

**EIN:** 52-7091392

**Investments Corporate Bonds Schedule**

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 256210105 DODGE & COX INCOME F | | |
| 921937603 VANGUARD TOTAL BOND | 307,845 | 312,563 |
| 92203J308 VANGUARD TOTAL INTL | 453,732 | 462,811 |
| 592905764 METROPOLITAN WEST T/ | | |
| 693390601 PIMCO SHORT-TERM FD- | | |
| 464287440 ISHARES BARCLAYS 7-1 | 75,879 | 76,883 |
| 464288588 ISHARES BARCLAYS MBS | 75,882 | 76,525 |
| 921937827 VANGUARD SHORT-TERM | 77,346 | 78,048 |
| 54401E143 LORD ABBETT SHRT DUR | 151,958 | 153,789 |
| 83002G108 SIX CIRCLES ULTRA SH | 76,371 | 76,294 |

# TY 2018 Investments Corporate Stock Schedule

**Name:** EISENBERG FAMILY TRUST XXXXX6009

**EIN:** 52-7091392

**Investments Corporation Stock Schedule**

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 00724F101 ADOBE INC. | 799 | 7,231 |
| 00846U101 AGILENT TECHNOLOGIES | | |
| 02079K107 ALPHABET INC/CA-CL C | 5,074 | 17,827 |
| 02079K305 ALPHABET INC/CA-CL A | 2,882 | 8,393 |
| 09062X103 BIOGEN INC | | |
| 16119P108 CHARTER COMMUNICATIO | 5,519 | 8,166 |
| 20030N101 COMCAST CORP-CLASS A | 5,316 | 9,664 |
| 20605P101 CONCHO RESOURCES INC | | |
| 25470M109 DISH NETWORK CORP-A | | |
| 26875P101 EOG RESOURCES INC | 6,632 | 7,780 |
| 26884L109 EQT CORP | | |
| 30303M102 FACEBOOK INC-A | 2,551 | 6,576 |
| 31620M106 FIDELITY NATIONAL IN | 3,139 | 5,797 |
| 38141G104 GOLDMAN SACHS GROUP | | |
| 45866F104 INTERCONTINENTAL EXC | 1,744 | 2,441 |
| 46434G822 ISHARES INC MSCI JAP | 164,423 | 163,267 |
| 57636Q104 MASTERCARD INC-CLASS | 955 | 13,475 |
| 58933Y105 MERCK & CO. INC. | 7,433 | 8,501 |
| 65339F101 NEXTERA ENERGY INC | 4,118 | 5,639 |
| 65473P105 NISOURCE INC | 1,389 | 3,334 |
| 67103H107 O'REILLY AUTOMOTIVE | 2,261 | 3,407 |
| G0176J109 ALLEGION PLC | 2,596 | 3,969 |
| G0177J108 ALLERGAN PLC | | |
| G1151C101 ACCENTURE PLC-CL A | 2,955 | 6,759 |
| G47791101 INGERSOLL-RAND PLC | | |
| H1467J104 CHUBB LTD | 2,714 | 7,260 |
| 015351109 ALEXION PHARMACEUTIC | 2,694 | 2,723 |
| 23135106 AMAZON.COM INC | 4,031 | 21,192 |
| 26874784 AMERICAN INTERNATIONA | | |
| 32654105 ANALOG DEVICES INC | | |

**Investments Corporation Stock Schedule**

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 37833100 APPLE INC | 1,019 | 20,669 |
| 60505104 BANK OF AMERICA CORP | 3,933 | 11,804 |
| 64058100 BANK OF NEW YORK MELL | | |
| 84670702 BERKSHIRE HATHAWAY IN | 8,170 | 9,752 |
| 101137107 BOSTON SCIENTIFIC CO | 4,143 | 7,127 |
| 110122108 BRISTOL-MYERS SQUIBB | | |
| 151020104 CELGENE CORP | 3,178 | 4,733 |
| 172967424 CITIGROUP INC | 5,470 | 9,545 |
| 256206103 DODGE & COX INTL STO | 160,481 | 199,586 |
| 277432100 EASTMAN CHEMICAL CO | | |
| 532457108 ELI LILLY & CO | 4,914 | 7,374 |
| 369550108 GENERAL DYNAMICS COR | 5,197 | 5,719 |
| 375558103 GILEAD SCIENCES INC | | |
| 416515104 HARTFORD FINANCIAL S | | |
| 437076102 HOME DEPOT INC | 1,554 | 9,370 |
| 438516106 HONEYWELL INTERNATIO | 2,964 | 13,022 |
| 464287465 ISHARES MSCI EAFE IN | 575,479 | 687,094 |
| 493267108 KEYCORP | 3,502 | 4,703 |
| 548661107 LOWE'S COS INC | 1,044 | 6,110 |
| 574599106 MASCO CORP | | |
| 595017104 MICROCHIP TECHNOLOGY | | |
| 594918104 MICROSOFT CORP | 4,448 | 31,475 |
| 609207105 MONDELEZ INTERNATION | 3,088 | 6,560 |
| 617446448 MORGAN STANLEY | 4,529 | 10,567 |
| 654106103 NIKE INC -CL B | | |
| 655844108 NORFOLK SOUTHERN COR | 6,556 | 9,793 |
| 666807102 NORTHROP GRUMMAN COR | 4,973 | 5,798 |
| 674599105 OCCIDENTAL PETROLEUM | 2,344 | 3,297 |
| 701769408 PARNASSUS CORE EQUIT | | |
| 713448108 PEPSICO INC | 6,811 | 9,092 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 717081103 PFIZER INC | 9,158 | 10,680 |
| 718172109 PHILIP MORRIS INTERN | 6,643 | 5,713 |
| 723787107 PIONEER NATURAL RESO | 5,223 | 6,492 |
| 808513105 SCHWAB (CHARLES) COR | 2,048 | 3,342 |
| 78462F103 SPDR S&P 500 ETF TRU | 1,818,014 | 2,775,549 |
| 854502101 STANLEY BLACK & DECK | 3,867 | 6,157 |
| 78486Q101 SVB FINANCIAL GROUP | 1,947 | 4,028 |
| 882508104 TEXAS INSTRUMENTS IN | 5,101 | 9,544 |
| 90130A101 TWENTY-FIRST CENTURY | | |
| 907818108 UNION PACIFIC CORP | 5,897 | 10,091 |
| 91324P102 UNITEDHEALTH GROUP I | 2,930 | 15,383 |
| 92532F100 VERTEX PHARMACEUTICA | 627 | 3,380 |
| 92826C839 VISA INC-CLASS A SHA | 2,988 | 6,413 |
| 254687106 WALT DISNEY CO/THE | 6,977 | 9,862 |
| 94106B101 WASTE CONNECTIONS IN | 2,330 | 4,267 |
| 949746101 WELLS FARGO & CO | 2,137 | 7,649 |
| 96208T104 WEX INC | 1,945 | 4,416 |
| 98138H101 WORKDAY INC-CLASS A | | |
| 191216100 COCA-COLA CO/THE | 4,143 | 4,563 |
| 247361702 DELTA AIR LINES INC | 3,050 | 3,323 |
| 256746108 DOLLAR TREE INC | 4,084 | 5,008 |
| 552746349 MFS INTL INTRINSIC V | 130,027 | 229,760 |
| 693656100 PVH CORP | | |
| 701094104 PARKER HANNIFIN CORP | 3,123 | 2,716 |
| 913017109 UNITED TECHNOLOGIES | 3,045 | 3,565 |
| 981558109 WORLDPAY INC CL A | | |
| 3.073E+108 AMERISOURCEBERGEN C | | |
| 00171C403 AMG MG PICTET INTL-Z | | |
| 11135F101 BROADCOM INC | 1,336 | 12,099 |
| 14040H105 CAPITAL ONE FINANCIA | | |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 25278X109 DIAMONDBACK ENERGY I | 3,737 | 3,830 |
| 26078J100 DOWDUPONT INC | 7,857 | 7,767 |
| 46432F842 ISHARES CORE MSCI EA | 251,027 | 257,997 |
| 48129C207 JPM GL RES ENH IDX F | 186,631 | 251,577 |
| 56585A102 MARATHON PETROLEUM C | 9,542 | 8,278 |
| 67066G104 NVIDIA CORP | 6,912 | 5,611 |
| 68389X105 ORACLE CORP | | |
| 70450Y103 PAYPAL HOLDINGS INC | 6,162 | 8,007 |
| 79466L302 SALESFORCE.COM INC | 5,620 | 7,110 |
| 98956P102 ZIMMER HOLDINGS INC | 7,636 | 8,375 |
| G5960L103 MEDTRONIC PLC | 4,901 | 5,329 |
| G6095L109 APTIV PLC | 3,690 | 4,114 |
| 25816109 AMERICAN EXPRESS CO | 2,540 | 2,931 |
| 53015103 AUTOMATIC DATA PROCES | 4,324 | 4,932 |
| 285512109 ELECTRONIC ARTS INC | 6,111 | 5,963 |
| 337738108 FISERV INC | 4,038 | 4,275 |
| 744320102 PRUDENTIAL FINANCIAL | 2,790 | 3,700 |
| 778296103 ROSS STORES INC | 4,091 | 4,981 |
| 988498101 YUM] BRANDS INC | 2,634 | 3,027 |
| 46641Q696 JPMORGAN BETABUILDER | 234,913 | 241,432 |
| 64110L106 NETFLIX INC | 3,707 | 3,705 |
| 75886F107 REGENERON PHARMACEUT | 2,512 | 2,059 |
| 83002G306 SIX CIRCLES U.S. UNC | 377,739 | 401,291 |
| 83002G405 SIX CIRCLES INTERNAT | 187,679 | 197,799 |
| G5494J103 LINDE PLC | 9,328 | 9,914 |
| N6596X109 NXP SEMICONDUCTORS N | 3,821 | 4,330 |

# TY 2018 Investments - Other Schedule

**Name:** EISENBERG FAMILY TRUST XXXXX6009

**EIN:** 52-7091392

**Investments Other Schedule 2**

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---|---|---|---|
| 12628J881 CRM LONG/SHORT OPPOR | AT COST | 109,379 | 113,769 |
| 29446A710 EQUINOX FDS TR IPM S | AT COST | 70,207 | 67,666 |
| 29446A819 EQUINOX CAMPBELL STR | | | |
| 64128R608 NEUBERGER BERMAN LON | | | |
| 72201M487 PIMCO UNCONSTRAINED | | | |
| 362014623 GMO SGM MAJOR MARKET | | | |
| 72201U638 PIMCO MTGE OPPORTUNI | | | |
| 09257V508 BLACKSTONE ALT MULTI | AT COST | 151,957 | 153,381 |
| 25264S650 DIAMOND HILL LONG/SH | AT COST | 41,788 | 42,080 |

# TY 2018 Other Decreases Schedule

**Name:** EISENBERG FAMILY TRUST XXXXX6009

**EIN:** 52-7091392

| Description | Amount |
|---|---|
| ROUNDING | 10 |

# TY 2018 Taxes Schedule

**Name:** EISENBERG FAMILY TRUST XXXXX6009

**EIN:** 52-7091392

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| FOREIGN TAXES | 80 | 80 | | 0 |
| FEDERAL TAX PAYMENT - PRIOR YE | 10,500 | 0 | | 0 |
| FEDERAL ESTIMATES - INCOME | 2,349 | 0 | | 0 |
| FOREIGN TAXES ON QUALIFIED FOR | 4,359 | 4,359 | | 0 |
| FOREIGN TAXES ON NONQUALIFIED | 142 | 142 | | 0 |