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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018, and ending 12-31-2018

Name of foundation
HARVEY NELSON CHAR TR

A Employer identification number
39-6307373

Number and street (or P.O. box number if mail is not delivered to street address)
111 WEST MONROE STREET TAX DIV 12W

Room/suite

City or town, state or province, country, and ZIP or foreign postal code
CHICAGO, IL 60603

C If exemption application is pending, check here

G Check all that apply

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

D 1. Foreign organizations, check here

D 2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

H Check type of organization

Section 501(c)(3) exempt private foundation

Section 4947(a)(1) nonexempt charitable trust

Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,287,255

J Accounting method

Cash

Accrual

Other (specify)

(Part I, column (d) must be on cash basis)

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue

1 Contributions, gifts, grants, etc , received (attach schedule)

2 Check if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

Operating and Administrative Expenses

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach schedule)

c Other professional fees (attach schedule)

17 Interest

18 Taxes (attach schedule) (see instructions)

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form 990-PF (2018)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing				
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			0	0
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U S and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)				
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)	1,076,268	1,135,187	1,287,255	
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)					
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,076,268	1,135,187	1,287,255		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ _____)				
	23	Total liabilities (add lines 17 through 22)		0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	1,076,268	1,135,187		
	28	Paid-in or capital surplus, or land, bldg , and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	1,076,268	1,135,187			
31	Total liabilities and net assets/fund balances (see instructions) .	1,076,268	1,135,187			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,076,268
2	Enter amount from Part I, line 27a	2	58,196
3	Other increases not included in line 2 (itemize) ▶ _____	3	975
4	Add lines 1, 2, and 3	4	1,135,439
5	Decreases not included in line 2 (itemize) ▶ _____	5	252
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,135,187

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	Yes	
14	The books are in care of ► <u>BMO HARRIS BANK NA, ATTN HECTOR AHUMADA</u> Telephone no ► <u>(312) 461-5154</u>			

Located at ► 111 WEST MONROE STREET 12W CHICAGO IL ZIP+4 ► 60603

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here	☐		
	and enter the amount of tax-exempt interest received or accrued during the year	► <u>15</u>		
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions.	1b		No
	Organizations relying on a current notice regarding disaster assistance check here.			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?		☐ Yes ☒ No	
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		☐ Yes ☒ No	
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☒ No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	☐ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	7b	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BMO HARRIS BANK NA 111 WEST MONROE ST 111/12W CHICAGO, IL 60603	TRUSTEE 1	15,475		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

COLLEEN GOSTISHA BMO HARRIS BANK N
PO BOX 209
WAUSAU, WI 54402
(715) 845-3121

b The form in which applications should be submitted and information and materials they should include

SHORT LETTER EXPLAINING PURPOSE OF ORGANIZATION AND USE OF GRANT REQUEST

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

CONTRIBUTIONS LIMITED TO CHARITABLE ORGS IN WAUSAU

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
See Additional Data Table				
Total			▶ 3a	
b <i>Approved for future payment</i>				
Total			▶ 3b	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1	200 3M COMPANY COMMON STOCK		1979-03-22	2018-02-01
1	163 JOHCM INTERNATIONAL SEL-I		2017-03-03	2018-02-02
	63 JOHCM INTERNATIONAL SEL-I		2017-01-31	2018-02-02
	89 BMO PYRFORD INTL STOCK FUND CLASS I		2016-09-09	2018-02-02
	26 983 OAKMARK INTL SM CAP-INST		2017-03-03	2018-02-02
	44 017 OAKMARK INTL SM CAP-INST		2016-03-15	2018-02-02
	17 MORGAN STANLEY INS FR EMG-I		2016-09-09	2018-02-02
	3 MORGAN STANLEY INS FR EMG-I		2017-03-03	2018-02-02
	4 VANGUARD EXTENDED MARKET INDEX ADM		2016-09-09	2018-02-02
	201 VANGUARD REAL ESTATE INDEX ADMR		2017-12-01	2018-02-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
49,612		1,207	48,405
3,832		3,201	631
1,481		1,229	252
1,225		1,088	137
490		418	72
799		600	199
373		299	74
66		56	10
344		271	73
21,409		24,003	-2,594

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			48,405
			631
			252
			137
			72
			199
			74
			10
			73
			-2,594

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
817 WESTWOOD EMERGING MKTS-INST		2018-02-02	2018-05-09
1 29 CHAMPLAIN MID CAP FUND-INS		2018-02-02	2018-05-09
127 CHAMPLAIN MID CAP FUND-INS		2016-09-09	2018-05-09
64 JOHCM INTERNATIONAL SEL-I		2017-01-31	2018-05-09
608 ARTISAN DEVELOPING WORLD-INS		2018-02-02	2018-05-09
221 BMO ULTRA SHORT TAX-FREE FUND CL I		2017-09-08	2018-05-09
184 BMO SHORT TAX-FREE FUND-I		2016-09-09	2018-05-09
148 BMO INTERMEDIATE TAX-FREE FUND CL I		2016-09-09	2018-05-09
59 HARTFORD FLOATING RATE FUND-Y		2018-02-02	2018-05-09
251 MAINSTAY MACKAY HIGH YIELD MUNI BD I		2017-09-08	2018-05-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
8,227		8,340	-113
549		519	30
2,404		1,904	500
1,528		1,249	279
7,606		7,797	-191
2,223		2,228	-5
1,862		1,884	-22
1,646		1,712	-66
515		517	-2
3,120		3,143	-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-113
			30
			500
			279
			-191
			-5
			-22
			-66
			-2
			-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2144 MERGER FUND-INST		2017-03-03	2018-05-09
1 219 MERGER FUND-INST		2018-02-02	2018-05-09
194 MORGAN STANLEY INS FR EMG-I		2016-09-09	2018-05-09
127 VANGUARD SH TRM TAX EX-ADM		2016-09-09	2018-05-09
4 VANGUARD EXTENDED MARKET INDEX ADM		2016-09-09	2018-05-09
416 667 ARTISAN DEVELOPING WORLD-INS		2017-07-14	2018-06-27
134 BMO PYRFORD INTL STOCK FUND CLASS I		2018-05-09	2018-06-27
1432 171 BMO PYRFORD INTL STOCK FUND CLASS I		2017-03-03	2018-06-27
493 BMO ULTRA SHORT TAX-FREE FUND CL I		2017-09-08	2018-06-27
2291 BMO ULTRA SHORT TAX-FREE FUND CL I		2016-03-15	2018-06-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
34,626		32,855	1,771
3,537		3,495	42
3,954		3,193	761
1,993		2,010	-17
350		271	79
4,883		5,125	-242
1,699		1,782	-83
18,160		17,187	973
4,965		4,969	-4
23,070		23,070	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,771
			42
			761
			-17
			79
			-242
			-83
			973
			-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
527 MORGAN STANLEY INS FR EMG-I		2016-03-15	2018-06-27
1 915 TRANSAMERICA EMER MKT DBT-I		2018-02-02	2018-06-27
1086 957 WESTWOOD EMERGING MKTS-INST		2017-07-14	2018-06-28
3354 001 BMO PYRFORD INTL STOCK FUND CLASS I		2016-03-15	2018-08-07
275 GATEWAY FUND-Y		2017-03-03	2018-08-07
38 GATEWAY FUND-Y		2018-05-09	2018-08-07
200 3M COMPANY COMMON STOCK		1979-03-22	2018-08-07
591 716 VANGUARD DEV MKT INDX-ADM		2018-08-07	2018-09-11
295 06 BLACKSTONE ALT MULTI-STRAT-I		2018-08-07	2018-11-02
135 BLACKSTONE ALT MULTI-STRAT-I		2017-09-08	2018-11-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
9,723		8,638	1,085
9,168		10,030	-862
9,880		10,598	-718
44,273		38,971	5,302
9,364		8,129	1,235
1,294		1,256	38
41,743		1,207	40,536
8,018		8,278	-260
3,157		3,161	-4
1,445		1,477	-32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,085
			-862
			-718
			5,302
			1,235
			38
			40,536
			-260
			-4
			-32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1007 8 BMO ULTRA SHORT TAX-FREE FUND CL I		2016-03-15	2018-11-02
1 101 621 BMO SHORT TAX-FREE FUND-I		2016-09-09	2018-11-02
430 081 BMO INTERMEDIATE TAX-FREE FUND CL I		2017-09-08	2018-11-02
46 699 GATEWAY FUND-Y		2016-03-15	2018-11-02
447 037 HARTFORD FLOATING RATE FUND-Y		2018-06-27	2018-11-02
425 454 MAINSTAY MACKAY HIGH YIELD MUNI BD I		2018-08-07	2018-11-02
185 TRANSAMERICA EMER MKT DBT-I		2017-09-29	2018-11-02
5 497 VANGUARD REAL ESTATE INDEX ADMR		2017-12-01	2018-11-02
385 976 VANGUARD SH TRM TAX EX-ADM		2017-09-08	2018-11-02
1840 WILLIAM BLAIR INTER S/C GR-I		2018-11-02	2018-12-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,128		10,149	-21
1,026		1,041	-15
4,709		4,909	-200
1,542		1,368	174
3,876		3,885	-9
5,237		5,348	-111
1,846		2,026	-180
608		652	-44
6,048		6,110	-62
22,154		28,553	-6,399

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-21
			-15
			-200
			174
			-9
			-111
			-180
			-44
			-62
			-6,399

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1178 216 OAKMARK INTL SM CAP-INST		2016-03-15	2018-12-10
1 471 784 OAKMARK INTL SM CAP-INST		2018-11-02	2018-12-10
81 WESTWOOD EMERGING MKTS-INST		2017-07-14	2018-12-21
79 JOHCM INTERNATIONAL SEL-I		2018-08-07	2018-12-21
61 ARTISAN DEVELOPING WORLD-INS		2017-07-14	2018-12-21
222 BLACKSTONE ALT MULTI-STRAT-I		2018-08-07	2018-12-21
242 BMO ULTRA SHORT TAX-FREE FUND CL I		2016-03-15	2018-12-21
382 BMO SHORT TAX-FREE FUND-I		2017-09-08	2018-12-21
417 BMO INTERMEDIATE TAX-FREE FUND CL I		2017-09-08	2018-12-21
13 HARTFORD FLOATING RATE FUND-Y		2018-06-27	2018-12-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,424		16,069	355
6,577		7,774	-1,197
696		790	-94
1,571		1,841	-270
642		750	-108
2,293		2,370	-77
2,435		2,437	-2
3,873		3,908	-35
4,620		4,746	-126
108		113	-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			355
			-1,197
			-94
			-270
			-108
			-77
			-2
			-35
			-126
			-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
380 MAINSTAY MACKAY TAX FREE BOND I		2018-08-07	2018-12-21
1 133 MAINSTAY MACKAY HIGH YIELD MUNI BD I		2017-09-08	2018-12-21
191 MAINSTAY MACKAY HIGH YIELD MUNI BD I		2018-06-27	2018-12-21
70 3M COMPANY COMMON STOCK		1979-03-22	2018-12-21
53 TRANSAMERICA EMER MKT DBT-I		2017-09-29	2018-12-21
4 VANGUARD REAL ESTATE INDEX ADMR		2017-12-01	2018-12-21
73 VANGUARD DEV MKT INDX-ADM		2018-08-07	2018-12-21
251 VANGUARD SH TRM TAX EX-ADM		2017-09-08	2018-12-21
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,762		3,789	-27
1,647		1,665	-18
2,365		2,382	-17
13,210		422	12,788
520		581	-61
422		473	-51
852		1,021	-169
3,948		3,972	-24
			4,595

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-27
			-18
			-17
			12,788
			-61
			-51
			-169
			-24

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
D C EVEREST HIGH SCHOOL 6500 ALDERSON ST SCHOEFIELD, WI 54476	NONE	PC	PROGRAM SUPPORT	3,000
WAUSAU LYRIC CHOIRPO BOX 2328 WAUSAU, WI 54402	NONE	PC	PROGRAM SUPPORT	1,000
WAUSAU WEST HIGH SCHOOL 1200 W WAUSAU AVE WAUSAU, WI 54401	NONE	PC	PROGRAM SUPPORT	3,000
Total ▶ 3a				59,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MARATHON COUNTY PARK DEPT 212 RIVER DR STE 2 WAUSAU, WI 54403	NONE	PC	PROGRAM SUPPORT	3,500
HABITAT FOR HUMANITY OF WAUSAU PO BOX 1372 WAUSAU, WI 54402	NONE	PC	PROGRAM SUPPORT	1,000
MONK BOTANICAL GARDENS ATTENTION DARCIE HOWARD 518 S 7TH AVENUE WAUSAU, WI 54401	NONE	PC	PROGRAM SUPPORT	5,000
Total ▶ 3a				59,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MARATHON COUNTY CHILD DEVELOPMENT AGENCY ATTENTION KRIS LEMKE 616 GRANT STREET WAUSAU, WI 54403	NONE	PC	PROGRAM SUPPORT	500
JOJO'S JUNGLE FUND CFNCW500 FIRST STREET SUITE 2600 WAUSAU, WI 54403	NONE	PC	PROGRAM SUPPORT	7,500
WOODSON YMCA INVEST IN YOUTH ATTENTION BRYAN BAILEY 707 THIRD STREET WAUSAU, WI 54403	NONE	PC	PROGRAM SUPPORT	1,500
Total ▶ 3a				59,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JUNIOR ACHIEVEMENT OF WI MARATHON COUNTY ATTENTION MARI JOWETT-S 2904 RIB MOUNTAIN DRIVE WAUSAU, WI 54401	NONE	PC	PROGRAM SUPPORT	1,500
WAUSAU AREA YOUTH SOCCER ASSN ATTENTION RACHELE JOHNSON PO BOX 1783 WAUSAU, WI 54402	NONE	PC	PROGRAM SUPPORT	500
UWMC COLLEGE FOR KIDS SCHOLARSHIP ATTN UWMC CONTINUING EDUCATION 518 SOUTH 7TH AVE WAUSAU, WI 54401	NONE	PC	PROGRAM SUPPORT	1,000
Total			3a	59,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WAUSAU YOUTH BASEBALL & SOFTBALL ATTENTION CHAD OELKEPO BOX 764 WAUSAU, WI 54402	NONE	PC	PROGRAM SUPPORT	500
WAUSAU CONSERVATORY OF MUSIC 404 SEYMOUR STREET WAUSAU, WI 54402	NONE	PC	PROGRAM SUPPORT	500
DREAM FLIGHT USA ATTENTION NICK RYANPO BOX 1931 WAUSAU, WI 54402	NONE	PC	PROGRAM SUPPORT	975
Total ▶ 3a				59,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PERFORMING ARTS FOUNDATION 401 N FOURTH ST WAUSAU, WI 54403	NONE	PC	PROGRAM SUPPORT	2,500
LEIGH YAWKEY WOODSON ART MUSEUM700 N 12TH ST WAUSAU, WI 54403	NONE	PC	PROGRAM SUPPORT	1,000
YWCA613 5TH ST Wausau, WI 54403	NONE	PC	PROGRAM SUPPORT	1,000
Total ▶ 3a				59,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MARATHON COUNTY HISTORICAL SOCIETY 410 MCINDOE ST WAUSAU, WI 54403	NONE	PC	PROGRAM SUPPORT	1,000
GIRL SCOUTS OF THE NORTHWESTERN GREAT LAKES 3511 CAMP PHILLIPS ROAD WESTON, WI 54476	NONE	PC	PROGRAM SUPPORT	2,000
UWMC FOUNDATION 518 SOUTH 7TH AVENUE WAUSAU, WI 54401	NONE	PC	PROGRAM SUPPORT	3,000
Total ▶ 3a				59,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NTC FOUNDATION1000 CAMPUS DR WAUSAU, WI 54401	NONE	PC	PROGRAM SUPPORT	4,000
NORTHLAND LUTHERN HIGH SCHOOL2107 TOWER RD KRONENWETTER, WI 54455	NONE	PC	PROGRAM SUPPORT	500
CENTER FOR THE VISUAL ARTS INC 427 N 4TH ST WAUSAU, WI 54403	NONE	PC	PROGRAM SUPPORT	500
Total ▶ 3a				59,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WAUSAU EAST HIGH SCHOOL 2607 N 18TH ST WAUSAU, WI 54403	NONE	PC	PROGRAM SUPPORT	3,000
NEWMAN HIGH SCHOOL 1130 W BRIDGE ST WAUSAU, WI 54401	NONE	PC	PROGRAM SUPPORT	500
THE NEIGHBORS PLACE745 SCOTT ST WAUSAU, WI 54403	NONE	PC	PROGRAM SUPPORT	500
Total ▶ 3a				59,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BOYS & GIRLS CLUB OF THE WAUSAU AREA1710 N SECOND ST WAUSUA, WI 54402	NONE	PC	PROGRAM SUPPORT	5,000
SAMOSET COUNCIL BOYS SCOUTS OF AMERICA 3511 CAMP PHILLIPS RD WESTON, WI 54476	NONE	PC	PROGRAM SUPPORT	2,000
WAUSAUMARATHON CNTY SCHOOL SAFETY515 GRAND AVE WAUSAU, WI 54403	NONE	PC	PROGRAM SUPPORT	800
Total ▶ 3a				59,275

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE OPEN DOOR OF MARATHON COUNTY 319 4TH ST STE 2 WAUSAU, WI 54403	NONE	PC	PROGRAM SUPPORT	1,000
Total ► 3a				59,275

TY 2018 Other Decreases Schedule**Name:** HARVEY NELSON CHAR TR**EIN:** 39-6307373

Description	Amount
RETURN OF CAPITAL	250
ROUNDING	2

TY 2018 Other Increases Schedule

Name: HARVEY NELSON CHAR TR
EIN: 39-6307373

Description	Amount
TIMING OF INCOME	975

TY 2018 Taxes Schedule**Name:** HARVEY NELSON CHAR TR**EIN:** 39-6307373

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL ESTIMATES - PRINCIPAL	1,928	0		0
FOREIGN TAXES ON QUALIFIED FOR	286	286		0
FOREIGN TAXES ON NONQUALIFIED	41	41		0