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Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Go to *www.irs.gov/Form990PF* for instructions and the latest information.**

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation
THE LEE AND YUM FOUNDATION

A Employer identification number
16-6503671

Number and street (or P O box number if mail is not delivered to street address)
2612 PINEBLUFF DRIVE

Room/suite

B Telephone number (see instructions)
(607) 770-0064

City or town, state or province, country, and ZIP or foreign postal code
VESTAL, NY 13850

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16)* ▶$ 5,624,929

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	278,566			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	644	644	644	
	4	Dividends and interest from securities	112,701	112,701	112,701	
	5a	Gross rents				
	b	Net rental income or (loss) _____				
	6a	Net gain or (loss) from sale of assets not on line 10	39,626			
	b	Gross sales price for all assets on line 6a 163,929				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	431,537	113,345	113,345	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	700		700	
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	1,328	1,328		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	7,285		7,285	
	22	Printing and publications				
	23	Other expenses (attach schedule)	1,665	7	1,408	250
	24	**Total operating and administrative expenses.** Add lines 13 through 23	10,978	1,335	9,393	250
	25	Contributions, gifts, grants paid	276,384			276,384
	26	**Total expenses and disbursements.** Add lines 24 and 25	287,362	1,335	9,393	276,634
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	144,175			
	b	**Net investment income** (if negative, enter -0-)		112,010		
	c	**Adjusted net income** (if negative, enter -0-)			103,952	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	87,897	186,648	186,648
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	41		
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	2,439,756	2,626,024	5,418,641
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	30,474	30,026	19,640
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15 Other assets (describe ▶ _____)			
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,558,168	2,842,698	5,624,929
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 **Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	2,558,168	2,842,698	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	2,558,168	2,842,698	
	31 **Total liabilities and net assets/fund balances** (see instructions)	2,558,168	2,842,698	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,558,168
2	Enter amount from Part I, line 27a	2	144,175
3	Other increases not included in line 2 (itemize) ▶ _____	3	140,355
4	Add lines 1, 2, and 3	4	2,842,698
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,842,698

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ N/A

14 The books are in care of ▶ BAI O LEE Telephone no ▶ (607) 770-0064

Located at ▶ 2612 PINEBULFF DRIVE VESTAL NY ZIP+4 ▶ 13850

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		Yes	No
		16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
BAI O LEE 2612 PINEBLUFF DRIVE VESTAL, NY 13850	TRUSTEE 8 00	0	0	0
JUNG H YUM 2612 PINEBLUFF DRIVE VESTAL, NY 13850	TRUSTEE 4 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . . ▶ **3a**				
b *Approved for future payment*				
Total . . . . . ▶ **3b**				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BCC FOUNDATIONPO BOX 10017 BINGHAMTON, NY 13902	N/A	PUBLC	STUDENTS SCHOLARSHIP	17,314
BINGHAMTON UNIVERSITY PO BOX 6005 BINGHAMTON, NY 13902	N/A	PUBLIC	EDUCATION	105,700
BINGHAMTON UNIVERSITY PO BOX 6005 BINGHAMTON, NY 13902	N/A	PUBLIC	SCHOLARSHIP ASSISTANCE/ATHLETICS	2,000
Total . . . . . ▶ **3a**				276,384

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BROOME TIOGA ARC 125 CUTLER POND RD BINGHAMTON, NY 13905	N/A	PUBLIC	HEALTH SERVICE	10,000
BROOME COMMUNITY COLLEGE PO BOX 10017 BINGHAMTON, NY 13902	N/A	PUBLIC	EDUCATION	10,000
BROOME COUNTY FOOD STAMPS 36-42 MAIN STREET BINGHAMTON, NY 13905	N/A	PUBLIC	FOOD CHARITY	1,000
Total . . . . . ▶ **3a**				276,384

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CATHOLIC CHARITIES104 MAIN STREET BINGHAMTON, NY 13905	N/A	RELIGOUS	FOOD CHARITY	1,000
CATHOLIC SCHOOLS OF BROOME COUNTY 232 MAIN ST BINGHAMTON, NY 13905	N/A	RELIGIOUS	SCHOLARSHIP	2,500
CONKLIN PLAYERS CLUB 1520 CONKLIN ROAD CONKLIN, NY 13748	N/A	PUBLIC	ASSISTING VETERANS	200
Total . . . . . ▶ **3a**				276,384

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ENJOIE GOLF FIRST TEE 722 W MAIN STREET ENDICOTT, NY 13760	N/A	PUBLIC	YOUTH GOLF PROGRAM	1,000
FAMILY & CHILDREN'S SOCIETY 257 MAIN STREET BINGHAMTON, NY 13905	N/A	PUBLIC	COMMUNITY EDUCATION	2,000
MANHEIM TOWNSHIP SCHOOL PO BOX 5134 LANCASTER, PA 17606	N/A	N/A	COMMUNITY EDUCATION	3,570
Total . . . . . ▶ **3a**				276,384

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEVERANCE ALUMNI ASSOCIATION 26 WIMBLEDON DR ROSLYN, NY 11576	N/A	PUBLIC	SCHOLARSHIP	15,000
TWIN TIERS HONOR FLIGHT 2735 SLATERVILLE RD BROOKTONDALE, NY 14817	N/A	PUBLIC	ASSISTING VETERANS	3,000
UHS FOUNDATION10-12 MITCHELL AVE BINGHAMTON, NY 13903	N/A	PUBLIC	COMMUNITY EDUCATION	100,000
Total . . . . . ▶ **3a**				276,384

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FIKRET DEDIC 200 RANO BLVD APT 1C26 VESTAL, NY 13850	N/A	INDIVIDUAL	REFUGEE AIDE	1,000
TERRY SUTTER235 HARRY L DRIVE APT JOHNSON CITY, NY 13790	N/A	INDIVIDUAL	CANCER PATIENT	600
FRANK ZURLA204 WESCOTT ROAD HARFORD, PA 18823	N/A	INDIVIDUAL	CANCER PATIENT	500
Total . . . . . ▶ **3a**				276,384

TY 2018 Accounting Fees Schedule

Name: THE LEE AND YUM FOUNDATION

EIN: 16-6503671

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT ACCOUNTING FEES	700		700	

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TY 2018 Gain/Loss from Sale of Other Assets Schedule

Name: THE LEE AND YUM FOUNDATION

EIN: 16-6503671

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
436SH VODAFONE GROUP		PURCHASE	2018-04		13,059	24,199			-11,140	
300SH PHILIP MORRIS	2010-05	PURCHASE	2018-04		24,482	13,262			11,220	
44SH CIGNA CORP NEW COM		PURCHASE	2018-12		80				80	
1600SH EXPRESS SCRIPTS		PURCHASE	2018-12		78,000	80,104			-2,104	
592SH PHILIP MORRIS INTL INC		PURCHASE	2018-04		48,308	6,738			41,570	

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TY 2018 Investments Corporate Stock Schedule

Name: THE LEE AND YUM FOUNDATION

EIN: 16-6503671

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3M COMPANY, 300SH	23,527	57,162
AT&T INC, 1913SH	17,900	54,597
ALIBABA GROUP HLDG, 100SH	15,097	13,707
ALTABA INC COM, 700SH	25,469	40,558
AMGEN INC, 600SH	34,185	116,802
APPLE INC, 700SH	20,248	110,418
APPLE INC, 150SH	14,732	23,661
ASSOCIATED CAP GROUP INC, 600SH	20,651	21,138
BANK OF AMERICA, 1200SH	33,702	29,568
BANK OF AMERICA, 3000SH	24,912	73,920
BERKSHIRE HATHAWAY, 1900SH	65,589	387,942
BOEING, 1100SH	85,952	354,750
BRISTOL MYERS, 400SH	19,516	20,792
CIGNA CORP NEW COM, 389SH	76,864	73,879
CATERPILLAR INC, 800SH	65,280	101,656
CITIGROUP, 800SH	53,222	41,648
COLGATE PALMOLIVE, 1800SH	55,863	107,136
CONSOLIDATED EDISON HLDG CO, 1000SH	51,309	76,460
DARDEN RESTAURANTS, 300SH	17,120	29,958
DEVON ENERGY CORP, 1000SH	90,300	22,540
EXPRESS SCRIPTS HLDG CO COM, 1600SH		
EXXON MOBIL, 174.643SH	10,931	11,909
FACEBOOK INC COM, 1400SH	39,177	183,526
GENEREAL ELECTRIC, 6610SH	305,071	50,038
GENERAL ELECTRIC, 1826.473SH	32,263	13,826
GOLDMAN SACHS GROUP, 500SH	81,208	83,525
HOME DEPOT, 2400SH	61,140	412,368
HOME DEPOT, 1500SH	37,090	257,730
IBM, 39SH	3,095	4,433
ISHARES MSCI EMERGING MARKETS, 400SH	17,044	15,624

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ISHARES MSCI TAIWAN, 250SH	6,487	7,905
JOHNSON & JOHNSON, 3431SH	205,435	442,771
JOHNSON & JOHNSON, 1422.945SH	47,109	183,631
KIMBERLY CLARK, 900SH	51,000	102,546
KRAFT HEINZ CO 298SH	5,500	12,826
M&T BANK CORP, 800SH	56,678	114,504
M&T BANK CORP, 150SH	16,967	21,470
NETFLIX, 1600SH	21,642	428,256
NEXTERA ENERGY INC, 534SH	33,695	92,819
PHILIP MORRIS INT'L 892SH		
PROCTOR & GAMBLE, 5522SH	303,420	507,582
PROCTOR & GAMBLE, 2291.435SH	111,185	210,629
SECTOR SPDR TR SHS BEN INT, 200SH	13,003	12,396
SCHLUMBERGER LTD, 200SH	18,886	7,216
SOUTHERN, 500SH	18,413	21,960
SPDR S&P 500 ETF TRUST, 60SH	15,794	14,995
SPDR HOME BUILDERS, 3096.457SH	50,493	100,697
SPDR REGL BKG, 1000SH	26,157	46,790
TWITTER INC, 600SH	15,467	17,244
US BANCORP, 500SH	16,167	22,850
VANGUARD MATLS VIPERS, 300SH	18,078	33,249
VANGUARD ENERGY VIPERS, 800SH	51,274	61,688
VANGUARD REAL ESTATE ETF, 1444.595SH	84,194	107,723
VERIZON, 210SH	9,708	11,806
VIACOM INC, 250SH	5,000	6,953
VODAPHONE GROUP, 436SH		
WELLS FARGO, 800SH	25,815	36,864

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TY 2018 Investments - Other Schedule

Name: THE LEE AND YUM FOUNDATION

EIN: 16-6503671

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ANNALY CAPITAL MANAGEMENT, 2000SH	AT COST	30,026	19,640

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TY 2018 Other Expenses Schedule

Name: THE LEE AND YUM FOUNDATION

EIN: 16-6503671

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
NYS FILING FEE	250			250
SUBSCRIPTIONS	208		208	
INVESTMENT ACCOUNT FEES	7	7		
SECRETARIAL SERVICE	1,200		1,200	

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TY 2018 Other Increases Schedule

Name: THE LEE AND YUM FOUNDATION

EIN: 16-6503671

Description	Amount
ADJUSTMENTS TO COST BASIS	140,355

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TY 2018 Taxes Schedule

Name: THE LEE AND YUM FOUNDATION

EIN: 16-6503671

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PRIOR YEAR FEDERAL TAX	198	198		
CURRENT YEAR PREPAID FEDERAL TAX	1,000	1,000		
FOREIGN TAXES PAID	130	130		

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Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Go to *www.irs.gov/Form990* for the latest information

OMB No 1545-0047

2018

Name of the organization
THE LEE AND YUM FOUNDATION

Employer identification number
16-6503671

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . . . . . ▶ $ _____

Caution. An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
THE LEE AND YUM FOUNDATION	16-6503671

Part I **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BAI O LEE AND JUNG H YUM 2612 PINE BLUFF DRIVE VESTAL, NY 13850	$ 278,566	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
THE LEE AND YUM FOUNDATION	16-6503671

Part II Noncash Property

(See instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	1913SH AT&T	$ 62 306	2018-10-17
1	600SH ASSOCIATE CAPITAL GROUP	$ 24 534	2018-10-17
1	1200SH BANK OF AMERICA	$ 34 680	2018-10-17
1	1722SH PROCTOR GAMBLE	$ 157 046	2018-11-06
		$	
		$	

Name of organization	Employer identification number
THE LEE AND YUM FOUNDATION	16-6503671

Part III ***Exclusively*** **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.) ▶ $** _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift Transferee's name, address, and ZIP 4		Relationship of transferor to transferee