Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018

Name of foundation
ANDRETTA FOUNDATION

A Employer identification number
14-6048022

Number and street (or P O box number if mail is not delivered to street address)
9 TWIN PONDS DRIVE

Room/suite

B Telephone number (see instructions)
(518) 731-5200

City or town, state or province, country, and ZIP or foreign postal code
KINGSTON, NY 12402

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), *line 16*) ▶$ 387,911

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	13,971	13,971		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	56,938			
	b	Gross sales price for all assets on line 6a 520,526				
	7	Capital gain net income (from Part IV, line 2)		56,938		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	580	580		
	12	**Total.** Add lines 1 through 11	71,489	71,489		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	3,254	3,254		3,254
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	384	384		384
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	3,770	3,770		3,770
	24	**Total operating and administrative expenses.** Add lines 13 through 23	7,408	7,408		7,408
	25	Contributions, gifts, grants paid	30,500			30,500
	26	**Total expenses and disbursements.** Add lines 24 and 25	37,908	7,408		37,908
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	33,581			
	b	**Net investment income** (if negative, enter -0-)		64,081		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing	2,556	7,806	7,806
	2	Savings and temporary cash investments	24,341	4,638	4,638
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	345,982	402,748	375,467
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	8,732	0	0
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	381,611	415,192	387,911
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	381,611	415,192	
	30	**Total net assets or fund balances** (see instructions)	381,611	415,192	
	31	**Total liabilities and net assets/fund balances** (see instructions)	381,611	415,192	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	381,611
2	Enter amount from Part I, line 27a	2	33,581
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	415,192
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	415,192

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶MR VINCENT J ANDRETTA JR Telephone no ▶(518) 731-5200

Located at ▶PO BOX 1999 KINGSTON NY ZIP+4 ▶12402

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . ▶ 15

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions .		1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?.	☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions) .		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018).*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions. ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
VINCENT J ANDRETTA JR 9 TWIN PONDS DRIVE KINGSTON, NY 12402	TRUSTEE/ AS REQUIRED 0 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶	0

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2018	**(b)** 2017	**(c)** 2016	**(d)** 2015	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

VINCENT J ANDRETTA JR
PO BOX 1999
KINGSTON, NY 12402
(518) 731-5200

b The form in which applications should be submitted and information and materials they should include

LETTER FORM

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TRUSTEES OF PRINCTON UNIVERSITY PO BOX 5357 PRINCETON, NJ 085435357	NONE	NC	CHARITABLE CONTRIBUTION	5,000
SAN MIGUEL ACADEMY 245 RENWICK ST NEWBURGH, NY 12550	NONE	NC	CHARITABLE CONTRIBUTION	25,000
NORTH QUEENSBURY FIRE FIGHTERS 9 CLEVERDALE RD CLEVERDALE, NY 12820	NONE	NC	CHARITABLE CONTRIBUTIONCHARITABLE CONTRIBUTION	500
Total . . . . ▶ **3a**				
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 ABBVIE INC	P	2018-02-16	2018-11-15
1 ALPS ETF TR SPROTT GOLD MINERS	P	2016-02-11	2018-02-16
ALTRIA GROUP	P	2018-02-16	2018-11-15
AMERIGAS PARTNERS	P	2001-12-05	2018-02-16
ANHEUSER BUSCH INBEV	P	2018-12-16	2018-10-26
AT & T	P	2016-03-23	2018-02-16
AT & T	P	2018-09-17	2018-11-15
BARON EMERGING MKTS FD	P	2014-03-03	2018-02-15
BLACKSTONE GROUP LP	P	2014-10-28	2018-02-16
BLACKSTONE ALTERN MUTI STRAT	P	2015-12-07	2018-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
805		1,010	-205
8,638		6,938	1,700
786		849	-63
23,155			23,155
13,190		16,132	-2,942
7,424		7,855	-431
737		806	-69
29,640		23,418	6,222
1,228			1,228
28,107		27,560	547

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-205
			1,700
			-63
			23,155
			-2,942
			-431
			-69
			6,222
			1,228
			547

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	BRANDES EMERGING MKTS VALUE FD	P	2017-07-20	2018-02-15
1	BRISTOL MYERS SQUIBB	P	2018-02-16	2018-11-15
	BROADCOM INC	P	2018-02-16	2018-11-15
	CATALYST MILLBURN HEDGE STRAT	P	2016-12-05	2018-02-15
	CISCO SYSTEMS	P	2018-02-16	2018-11-15
	CME GROUP INC	P	2018-02-16	2018-11-15
	COHEN & STERNS	P	2006-03-17	2018-02-16
	CORTS JC PENNEY PFD	P	1999-05-11	2018-02-16
	CHEVRON CORP	P	2016-03-23	2018-02-16
	CITIGROUP INC	P	2016-07-21	2018-02-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,040		18,559	1,481
739		899	-160
907		980	-73
14,864		14,874	-10
2,806		2,505	301
2,308		2,068	240
8,631		13,295	-4,664
6,117		10,000	-3,883
9,622		8,371	1,251
6,662		4,028	2,634

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,481
			-160
			-73
			-10
			301
			240
			-4,664
			-3,883
			1,251
			2,634

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	CROWN CASTLE INTL	P	2016-03-28	2018-11-15
1	CUMMINS INC	P	2018-02-16	2018-11-15
	CYRUSONE INC REIT	P	2016-03-28	2018-02-16
	DAVIS GLOBAL FD CL Y	P	2015-04-10	2018-02-15
	DOWSUPONT INC	P	2018-02-16	2018-11-15
	FIRST TRUST CONSUMER STAPLES ALPHADEX	P	2018-01-05	2018-11-15
	FIRST TRUST EUROPE ALPHADEX	P	2017-07-21	2018-02-16
	FIRST TRUST INDUSTRIALS PRODUCER DU	P	2018-01-09	2018-02-16
	FORD MOTOR COMPANY	P	2018-02-16	2018-11-15
	GILEAD SCIENCES INC	P	2018-09-17	2018-11-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,006		1,549	457
882		986	-104
9,932		7,848	2,084
15,467		11,561	3,906
703		847	-144
764		756	8
9,487		8,622	865
953		989	-36
849		936	-87
791		803	-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			457
			-104
			2,084
			3,906
			-144
			8
			865
			-36
			-87
			-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
HOME DEPOT	P	2016-03-28	2018-02-16
1 ING GROEP NV NL SPON	P	2018-02-16	2018-11-15
INTEL CORP	P	2018-02-16	2018-11-15
JPMORGAN CHASE	P	2002-09-18	2018-11-15
JPMORGAN TOTAL RETURN FUND	P	2016-02-12	2018-02-15
JPMORGAN STRAT INC OPPTY FD	P	2015-09-21	2018-02-15
KAYNE ANDERSON ENGY TOT RET FD	P	2005-06-27	2018-02-16
KOPERNIK GLOBAL ALL CAP FD	P	2016-05-04	2018-02-15
KRAFT HEINZ	P	2018-02-16	2018-09-17
LINDE PLC	P	2018-02-14	2018-11-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,464		3,924	1,540
813		1,169	-356
1,269		1,201	68
2,477		432	2,045
12,210		12,224	-14
10,960		10,768	192
20,176		47,987	-27,811
10,369		8,894	1,475
11,919		14,361	-2,442
792		817	-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,540
			-356
			68
			2,045
			-14
			192
			-27,811
			1,475
			-2,442
			-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	LOCKHEED MARTIN CORP	P	2018-02-16	2018-11-15
1	MANPOWERGROUP INC	P	2016-06-29	2018-02-16
	MATTEL	P	2017-01-31	2018-01-09
	MCDONALDS	P	2018-02-16	2018-11-15
	MERCK & CO	P	2018-02-16	2018-11-15
	METLIFE	P	2018-02-16	2018-09-17
	MICROSOFT CORP	P	2018-02-16	2018-11-15
	NUVEEN PFD & CONV INC FD	P	2003-03-26	2018-02-16
	PLAINS ALL AMERN PIPELINE	P	2002-01-29	2018-02-16
	WEYERHAEUSER CO	P	2016-02-22	2018-02-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
922		1,059	-137
15,568		8,368	7,200
1,000		1,658	-658
1,410		1,285	125
5,027		3,891	1,136
14,206		14,381	-175
4,595		3,674	921
4,825		7,500	-2,675
19,166			19,166
27,466		14,605	12,861

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-137
			7,200
			-658
			125
			1,136
			-175
			921
			-2,675
			19,166
			12,861

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	PRAXAIR INC	P	2018-02-16	2018-10-31
1	PROCTER & GAMBLE CO	P	2015-07-22	2018-03-15
	PROCTER & GAMBLE CO	P	2018-09-17	2018-11-15
	PROLOGIS INC	P	2018-02-16	2018-11-15
	PRUDENTIAL PLC 6 5%	P	2005-07-12	2018-02-16
	ROCKWELL AUTOMATION INC	P	2015-02-13	2018-02-16
	ROYAL CARIBBEAN CRUISES LTD	P	2018-09-17	2018-11-15
	ROYAL DUTCH SHELL PLC	P	2018-02-16	2018-11-15
	TARGA RESOURCES INVESTMENTS	P	2018-02-16	2018-11-15
	TARET CORP	P	2018-02-16	2018-11-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,185		14,395	790
14,190		14,515	-325
928		832	96
2,618		2,337	281
12,898		12,500	398
16,144		9,977	6,167
754		872	-118
1,103		1,117	-14
3,285		2,896	389
3,341		2,886	455

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			790
			-325
			96
			281
			398
			6,167
			-118
			-14
			389
			455

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
	TRAVELERS COS	P	2018-02-16	2018-11-15
1	3M CO DE	P	2018-02-16	2018-01-15
	UNITED PARCEL SERVICE	P	2018-02-16	2018-09-17
	INVESTCO DYNAMICS CREDIT OPPORT	P	2007-06-29	2018-02-16
	VF CORP DE	P	2018-02-16	2018-01-15
	WALMART	P	2018-02-16	2018-11-15
	WELLS FARGO CORE PLUS	P	2016-08-16	2015-02-15
	WELLS FARGO SPECIAL SM CAP VALUE FD	P	2016-12-12	2018-02-15
	WASHINGTON PRIME GROUP INC	P	2016-09-01	2018-02-16
	WISDOMTREE JAPAN HEDGED EQUITY FD	P	2017-02-10	2018-02-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
767		830	-63
799		66	733
16,792		14,328	2,464
9,543		13,742	-4,199
2,303		2,148	155
823		807	16
2,058		2,114	-56
7,793		7,708	85
1,171			1,171
1,394		1,251	143

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-63
			733
			2,464
			-4,199
			155
			16
			-56
			85
			1,171
			143

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
YUM BRANDS	P	2015-10-13	2018-02-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
7,763		5,025	2,738

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			2,738

TY 2018 Accounting Fees Schedule

Name: ANDRETTA FOUNDATION

EIN: 14-6048022

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
	3,254	3,254		3,254

TY 2018 Investments Corporate Stock Schedule

Name: ANDRETTA FOUNDATION

EIN: 14-6048022

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
118 JPMORGAN CHASE & CO	2,317	11,519
161 PROCTOR & GAMBLE	13,388	14,799
400 AT & T	13,428	11,416
120 CROWN CASTLE INC	11,233	13,036
141 ABBVIE INC	15,446	12,999
220 ALTRIA GROUP	14,318	10,866
221 BRISTOL MYERS SQUIBB	14,158	11,488
58 BROADCOM INC	14,178	14,748
285 CISCO SYSTEMS	11,899	12,349
78 CME GROUP INC	12,407	14,673
94 CUMMINS INC	15,175	12,562
192 DOWDUPONT INC	13,551	10,268
261 FIRS TRUST CONSUMER STAPLES ALPHADEX	12,327	11,014
1437 FORD MOTOR CO	15,057	10,993
184 GILEAD SCIENCES INC	13,437	11,509
1045 ING GROEP NV NL SPON	17,340	11,140
297 INTEL CORP DE	13,207	13,938
85 LINDE PLC	13,894	13,263
40 LOCKHEED MARTIN	14,071	10,474
82 MCDONALDS	13,172	14,561
191 MERCK & CO	10,466	14,594
310 MICROSOFT CORP	10,662	12,087
200 PROLOGIS INC	11,986	11,744
108 ROYAL CARIBBEAN CRUISE	13,458	10,561
202 ROYAL DUTCH SHELL	13,273	12,108
242 TARGA RESOURCES INVESTMENTS	11,488	8,717
152 TARGET CORP	11,546	10,046
105 TRAVELERS COS	14,463	12,574
64 3M CO DE	15,457	12,195
149 VF CORP DE	12,225	10,558

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
136 WALMART	13,721	12,668

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TY 2018 Other Expenses Schedule

Name: ANDRETTA FOUNDATION

EIN: 14-6048022

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BROKERS FEES	2,964	2,964		2,964
BANK CHARGES	806	806		806

TY 2018 Other Income Schedule

Name: ANDRETTA FOUNDATION

EIN: 14-6048022

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
GAINS FROM INVESTMENTS IN PARTNERSHIPS	580	580	580

TY 2018 Taxes Schedule

Name: ANDRETTA FOUNDATION

EIN: 14-6048022

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SECRETARY OF STATE - DELAWARE	25	25		25
NYS DEPARTMENT OF LAW	100	100		100
UNITED STATES TREASURY	66	66		66
MISC FOREIGN TAXES	193	193		193