

Form **990-PF**

Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning 07/01, 2018, and ending 06/30, 2019

Name of foundation: **THE B & B FOUNDATION**

Number and street (or P.O. box number if mail is not delivered to street address): **P.O. BOX 50802**

Room/suite: _____

City or town, state or province, country, and ZIP or foreign postal code: **SANTA BARBARA, CA 93150**

Employer identification number: **95-4419555**

Telephone number (see instructions): **(805) 969-8577**

Check all that apply:
 Initial return
 Final return
 Address change
 Initial return of a former public charity
 Amended return
 Name change

Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust
 Other taxable private foundation

Fair market value of all assets at end of year (from Part II, col (c), line 16): **\$ 2,533,126.**

Accounting method: Cash Accrual
 Other (specify) _____

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|--|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| | 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B. | | | | |
| | 3 Interest on savings and temporary cash investments | 25. | 25. | | |
| | 4 Dividends and interest from securities | 54,980. | 54,980. | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 30. | | | |
| | b Gross sales price for all assets on line 6a | 462,241. | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 30. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| b Less Cost of goods sold | | | | | |
| c Gross profit or (loss) (attach schedule) | | | | | |
| 11 Other income (attach schedule) | | | | | |
| 12 Total. Add lines 1 through 11 | 55,035. | 55,035. | | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc. | 0. | | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) ATCH 1 | 2,600. | | | 2,600. |
| | c Other professional fees (attach schedule) [2] | 11,271. | 11,271. | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) [3] | 58. | 58. | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) ATCH 4 | 555. | | | 555. |
| | 24 Total operating and administrative expenses. Add lines 13 through 23. | 14,484. | 11,329. | | 3,155. |
| | 25 Contributions, gifts, grants paid | 118,964. | | | 118,964. |
| 26 Total expenses and disbursements. Add lines 24 and 25. | 133,448. | 11,329. | 0. | 122,119. | |
| 27 Subtract line 26 from line 12 | -78,413. | | | | |
| a Excess of revenue over expenses and disbursements | | | | | |
| b Net investment income (if negative, enter -0-) | | 43,706. | | | |
| c Adjusted net income (if negative, enter -0-) | | | | | |

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| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | | | |
|-----------------------------|--|---|---|-------------------|------------|
| | | Beginning of year (a) Book Value | End of year (b) Book Value (c) Fair Market Value | | |
| Assets | 1 | Cash - non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | - 77,580. | 199,183. | 199,183. |
| | 3 | Accounts receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable. | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use. | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments - U S and state government obligations (attach schedule), | | | |
| | b | Investments - corporate stock (attach schedule) ATCH 5 | 1,869,627. | 1,669,415. | 2,259,780. |
| | c | Investments - corporate bonds (attach schedule) ATCH 6 | 71,153. | 70,940. | 72,798. |
| | 11 | Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶ | | | |
| 12 | Investments - mortgage loans | | | | |
| 13 | Investments - other (attach schedule) | | | | |
| 14 | Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶ | | | | |
| 15 | Other assets (describe ▶ ATCH 7) | 956. | 1,365. | 1,365. | |
| 16 | Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) | 2,019,316. | 1,940,903. | 2,533,126. | |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue. | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons. | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶) | | | |
| 23 | Total liabilities (add lines 17 through 22) | 0. | 0. | | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31. | | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31. | | | | |
| | 27 | Capital stock, trust principal, or current funds | | | |
| | 28 | Paid-in or capital surplus, or land, bldg, and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | 2,019,316. | 1,940,903. | |
| | 30 | Total net assets or fund balances (see instructions) | 2,019,316. | 1,940,903. | |
| 31 | Total liabilities and net assets/fund balances (see instructions) | 2,019,316. | 1,940,903. | | |

| Part III Analysis of Changes in Net Assets or Fund Balances | | |
|---|--|--------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 2,019,316. |
| 2 | Enter amount from Part I, line 27a. | 2 -78,413. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 |
| 4 | Add lines 1, 2, and 3 | 4 1,940,903. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 1,940,903. |

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16. Row 11: At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions. Row 12: Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions. Row 13: Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address NONE. Row 14: The books are in care of GEIGER FAMILY OFFICE Telephone no 805-969-8577 Located at P.O. BOX 50802 SANTA BARBARA, CA ZIP+4 93150. Row 15: Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year. Row 16: At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b. Row 1a: During the year, did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Row b: If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here. Row c: Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? Row 2: Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years. b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. Row 3a: Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018). Row 4a: Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?. Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?. Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Yes No

Organizations relying on a current notice regarding disaster assistance, check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| ATCH 8 | | 0. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000.

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Table with columns: Tax year (a) 2018, (b) 2017, (c) 2016, (d) 2015, (e) Total. Rows include 85% of line 2a, Qualifying distributions from Part XII, Amounts included in line 2c not used directly for active conduct of exempt activities, Qualifying distributions made directly for active conduct of exempt activities, and Complete 3a, b, or c for the alternative test relied upon.

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter (1) Value of all assets. . . . (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii) (3) Largest amount of support from an exempt organization. (4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ATTACHMENT 9

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|-------------------------------------|----------|
| a <i>Paid during the year</i> SEE ATTACHMENT 11 | | | | 118,964. |
| Total ▶ 3a | | | | 118,964. |
| b <i>Approved for future payment</i> | | | | |
| Total ▶ 3b | | | | |

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|--|---------------------------------|---|--------------------|--------------------------|------------------------------|--------------|------------------|------------|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | TOTAL CAPITAL GAIN DISTRIBUTIONS | | | | | 3,059. | |
| 24,464. | | PUBLICLY TRADED SECURITIES PROPERTY TYPE: SECURITIES 29,885. | | | | P | VARIOUS | |
| | | | | | | | -5,421. | |
| 429,562. | | PUBLICLY TRADED SECURITIES PROPERTY TYPE: SECURITIES 430,955. | | | | P | VARIOUS | |
| | | | | | | | -1,393. | |
| 5,156. | | 25 SHS BERKSHIRE HATHAWAY INC DEL CL BNE PROPERTY TYPE: SECURITIES 1,371. | | | | P | 12/04/2008 | 02/13/2019 |
| | | | | | | | 3,785. | |
| TOTAL GAIN (LOSS) | | | | | | | <u>30.</u> | |

ATTACHMENT 1

FORM 990PF, PART I - ACCOUNTING FEES

| <u>DESCRIPTION</u> | <u>REVENUE AND EXPENSES PER BOOKS</u> | <u>NET INVESTMENT INCOME</u> | <u>ADJUSTED NET INCOME</u> | <u>CHARITABLE PURPOSES</u> |
|--------------------|---|--------------------------------------|------------------------------------|--------------------------------|
| ACCOUNTING FEES | 2,600. | | | 2,600. |
| TOTALS | <u>2,600.</u> | | | <u>2,600.</u> |

ATTACHMENT 2

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| <u>DESCRIPTION</u> | <u>REVENUE AND EXPENSES PER BOOKS</u> | <u>NET INVESTMENT INCOME</u> |
|-------------------------------|---|--------------------------------------|
| INVESTMENT MGMT FEES-EVERCORE | 10,287. | 10,287. |
| INVESTMENT MGMT FEES-FRB | 984. | 984. |
| TOTALS | <u>11,271.</u> | <u>11,271.</u> |

ATTACHMENT 3

FORM 990PF, PART I - TAXES

| <u>DESCRIPTION</u> | <u>REVENUE AND EXPENSES PER BOOKS</u> | <u>NET INVESTMENT INCOME</u> |
|------------------------|---|--------------------------------------|
| FOREIGN TAXES WITHHELD | 58. | 58. |
| TOTALS | <u>58.</u> | <u>58.</u> |

ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS |
|-------------------------------|---|
| STATE FILING FEES | 10. |
| REGISTRY OF CHARITABLE TRUSTS | 25. |
| INSURANCE | 500. |
| DUES AND SUBSCRIPTIONS | 20. |
| TOTALS | <u>555.</u> |

| CHARITABLE PURPOSES |
|------------------------|
| 10. |
| 25. |
| 500. |
| 20. |
| <u>555.</u> |

ATTACHMENT 5

FORM 990PF, PART II - CORPORATE STOCK

| <u>DESCRIPTION</u> | <u>ENDING BOOK VALUE</u> | <u>ENDING FMV</u> |
|--------------------|------------------------------|-----------------------|
| SEE ATTACHMENT 10 | 1,669,415. | 2,259,780. |
| TOTALS | <u>1,669,415.</u> | <u>2,259,780.</u> |

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE BONDS

| <u>DESCRIPTION</u> | <u>ENDING BOOK VALUE</u> | <u>ENDING FMV</u> |
|--------------------|------------------------------|-----------------------|
| SEE ATTACHMENT 10 | 70,940. | 72,798. |
| TOTALS | <u>70,940.</u> | <u>72,798.</u> |

ATTACHMENT 7

FORM 990PF, PART II - OTHER ASSETS

| <u>DESCRIPTION</u> | <u>ENDING BOOK VALUE</u> | <u>ENDING FMV</u> |
|--------------------|------------------------------|-----------------------|
| ACCRUED DIVIDEND | 1,365. | 1,365. |
| TOTALS | <u>1,365.</u> | <u>1,365.</u> |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

| <u>NAME AND ADDRESS</u> | <u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u> | <u>COMPENSATION</u> | <u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u> | <u>EXPENSE ACCT AND OTHER ALLOWANCES</u> |
|---|---|---------------------|--|--|
| WILLIAM D. GEIGER, III P.O. BOX 50802 SANTA BARBARA, CA 93150 | CHIEF FINANCIAL OFFICER .25 | 0. | 0. | 0. |
| DEBRA P. GEIGER P.O. BOX 50802 SANTA BARBARA, CA 93150 | SECRETARY .25 | 0. | 0. | 0. |
| DEVON G. NIELSEN P.O. BOX 50802 SANTA BARBARA, CA 93150 | PRESIDENT .25 | 0. | 0. | 0. |
| ELIZABETH H. GEIGER P.O. BOX 50802 SANTA BARBARA, CA 93150 | CHAIRMAN .25 | 0. | 0. | 0. |
| ELIOT C. CROWLEY P.O. BOX 50802 SANTA BARBARA, CA 93150 | VICE PRESIDENT .25 | 0. | 0. | 0. |
| <u>GRAND TOTALS</u> | | <u>0.</u> | <u>0.</u> | <u>0.</u> |

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

WILLIAM D. GEIGER, III & ELIZABETH H. GEIGER
DEBRA P. GEIGER & ELIOT C. CROWLEY
DEVON G. NIELSEN

| <u>CORPORATE STOCKS</u> | <u>BOOK VALUE</u> | <u>FAIR MARKET VALUE</u> |
|---|-------------------|--------------------------|
| 350.00 ABBOTT LABORATORIES | 24,804.61 | 29,435.00 |
| 140.00 ADOBE SYS INC COM | 23,467.95 | 41,251.00 |
| 55.00 ALPHABET INC CAP STK CL A | 29,556.66 | 59,554.00 |
| 25.00 AMAZON.COM INC | 38,466.17 | 47,340.75 |
| 300.00 APPLE INC | 24,575.57 | 59,356.11 |
| 540.00 APPLIED MATERIALS INC COM | 25,221.53 | 24,251.40 |
| 300.00 BERKSHIRE HATHAWAY INC DEL CL B NEW | 16,456.98 | 63,951.00 |
| 60.00 BLACKROCK INC | 24,540.78 | 28,158.00 |
| 1,000.00 CBRE GROUP INC | 31,681.54 | 51,300.00 |
| 245.00 CELANESE CORPORATION COM | 16,916.10 | 26,411.00 |
| 275.00 CHEVRON CORP | 19,503.00 | 34,221.00 |
| 175.00 COSTCO WHOLESALE CORP | 21,556.97 | 46,231.64 |
| 10,948.16 DFA INTERNATIONAL CORE EQUITY | 140,000.00 | 141,997.57 |
| 85.00 DOMINOS PIZZA INC | 19,710.60 | 23,653.80 |
| 525.00 EAST WEST BANCORP INC | 21,351.88 | 24,554.25 |
| 260.00 HOME DEPOT INC | 20,645.04 | 54,062.37 |
| 1,075.00 ISHARES TR RUSSELL 1000 VALUE INDEX | 108,991.31 | 136,772.47 |
| 1,200.00 ISHARE AGENCY BOND ETF | 135,179.22 | 138,276.00 |
| 155.00 IPG PHOTONICS CORP | 23,431.70 | 23,908.75 |
| 285.00 MASTERCARD INC | 21,347.62 | 75,475.67 |
| 4,172.14 MATTHEWS PACIFIC TIGER FUND | 108,406.32 | 120,616.65 |
| 400.00 MICROSOFT CORP | 9,550.68 | 53,584.00 |
| 55.00 MORGAN STANLEY CO | 23,374.18 | 24,095.50 |
| 415.00 NIKE INC CL B | 17,052.68 | 34,839.25 |
| 5,378.73 PIMCO ALL ASSET | 65,000.00 | 63,038.76 |
| 125.00 ROPER INDUSTRIES INC | 24,573.01 | 45,782.50 |
| 600.00 SMITH A O | 22,572.44 | 28,296.00 |
| 750.00 TJX COS INC NEW COM | 22,947.40 | 39,660.00 |
| 300.00 TEXAS INSTRUMENTS INC COM | 16,363.20 | 34,428.00 |
| 175.00 THERMO FISHER SCIENTIFIC INC | 23,679.24 | 51,394.00 |
| 125.00 3M CO FR. | 21,307.60 | 21,667.50 |
| 225.00 UNITEDHEALTH GROUP INC | 16,124.75 | 54,902.25 |
| 12,702.65 VANGUARD HIGH YIELD CORP BOND FUND | 75,000.00 | 74,437.51 |
| 7,069.25 VANGUARD INTERMED TRM INVST GR ADMIRAL | 61,298.24 | 70,339.03 |
| 9,651.79 VANGUARD SHORT TERM CORP ADM | 103,279.89 | 103,370.65 |
| 12,390.54 VIRTUS SEIX FLOATING RATE HIGH INCOME I | 110,000.00 | 105,195.71 |
| 450.00 WESTROCK CO | 19,276.66 | 16,411.50 |
| 950.00 WEYERHAEUSER CO COM | 27,873.86 | 25,023.00 |
| 215.00 ROYAL CARIBBEAN CRUSIES LTD | 24,639.58 | 26,060.15 |
| 175.00 AMERICAN TOWER CORP | 16,648.55 | 35,778.75 |
| 150.00 ALIBABA GROUP HLDG LTD | 25,912.14 | 25,417.50 |

THE B & B FOUNDATION
FORM 990 PF, PART II, INVESTMENTS

95-4419555

ATTACHMENT 10

| <u>CORPORATE STOCKS</u> | <u>BOOK VALUE</u> | <u>FAIR MARKET VALUE</u> |
|--|---------------------|--------------------------|
| 220.00 ACCENTURE PLC IRELAND SHS CLASS A | 19,277.32 | 40,666.88 |
| 235.00 CHUBB LTD FOREIGN ORDINARY | 27,851.54 | 34,613.15 |
| TOTALS | <u>1,669,414.51</u> | <u>2,259,780.02</u> |
| <u>CORPORATE BONDS</u> | | |
| 70,000.00 BERKSHIRE HATHAWAY INC 3.4% 01/31/2022 | 70,940.47 | 72,797.90 |
| TOTALS | <u>70,940.47</u> | <u>72,797.90</u> |

ATTACHMENT 10

THE B & B FOUNDATION

95-4419555

FORM 990 PE, PART XV - GRANTS CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11

IF RECIPIENT IS AN INDIVIDUAL,
SHOW ANY RELATIONSHIP TO
ANY FOUNDATION MANAGER

| OR SUBSTANTIAL CONTRIBUTOR | FOUNDATION RECIPIENT | STATUS OF RECIPIENT | PURPOSE OF GRANTOR CONTRIBUTION | Amount |
|-------------------------------|--|------------------------|--------------------------------------|----------|
| | American Red Cross Disaster Relief | PUBLIC | Disaster Relief | 5,000.00 |
| | American Red Cross-SB County | PUBLIC | Disaster Relief | 1,000.00 |
| | CALM | PUBLIC | Health-Patient & Family Support | 2,750.00 |
| | Cancer Center of Santa Barbara | PUBLIC | Medial Dental-Research & Development | 1,000.00 |
| | Casa Serena | PUBLIC | Health-Patient & Family Support | 500.00 |
| | Cate School | PUBLIC | Educational Institutions | 500.00 |
| | Community Environmental Council, Inc | PUBLIC | Environment-Animals & Wildlife | 1,750.00 |
| | Cornestone House of Santa Barbara | PUBLIC | Social Action-Public Benefit | 2,200.00 |
| | Crane Country Day School | PUBLIC | Educational Institutions | 4,000.00 |
| | Direct Relief International | PUBLIC | Disaster Relief | 5,000.00 |
| | Domestic Violence Solutions | PUBLIC | Social Action-Public Benefit | 750.00 |
| | Dream Foundation | PUBLIC | Health-Patient & Family Support | 1,000.00 |
| | Eagle Mount | PUBLIC | Health-Patient & Family Support | 5,000.00 |
| | Family Service Agency of Santa Barbara | PUBLIC | Social Action-Public Benefit | 500.00 |
| | Fisher House Foundation | PUBLIC | Veteran Support | 750.00 |
| | Food From The Heart | PUBLIC | Poverty-Hunger | 1,000.00 |
| | Foodbank of Santa Barbara County | PUBLIC | Disaster Relief | 5,000.00 |
| | Gallatin River Task Force | PUBLIC | Environment-Animals & Wildlife | 5,000.00 |
| | Girls Incorporated of Carpintena | PUBLIC | Education-Youth | 2,000.00 |
| | Girls Rock Santa Barbara | PUBLIC | Education-Youth | 1,000.00 |
| | Heal the Ocean | PUBLIC | Environment-Animals & Wildlife | 1,500.00 |
| | Hearts Adaptive Riding Program | PUBLIC | Health-Patient & Family Support | 500.00 |
| | Interfaith Initiative of Santa Barbara | PUBLIC | Health-Patient & Family Support | 1,000.00 |
| | Legal Aid Foundation | PUBLIC | Social Action-Public Benefit | 1,000.00 |
| | Lobero Theatre Foundation | PUBLIC | Arts-Culture-Museums | 500.00 |
| | Montecito Family YMCA | PUBLIC | Education-Youth | 500.00 |
| | North Valley Community Foundation | PUBLIC | Disaster Relief | 5,000.00 |

THE B & B FOUNDATION

95-4419555

FORM 990.PE. PART XV - GRANTS CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11

IF RECIPIENT IS AN INDIVIDUAL,
SHOW ANY RELATIONSHIP TO
ANY FOUNDATION MANAGER

| OR SUBSTANTIAL CONTRIBUTOR | STATUS OF RECIPIENT | PURPOSE OF GRANTOR CONTRIBUTION | Amount |
|--|------------------------|------------------------------------|-----------|
| ODC | N/A | Education-Youth | 1,000 00 |
| Organic Soup Kitchen | N/A | Poverty-Hunger | 10,000 00 |
| Planned Parenthood Federation of America | PUBLIC | Social Action-Public Benefit | 1,000 00 |
| RIHM | N/A | Health-Patient & Family Support | 1,000 00 |
| S B Neighborhood Clinics | N/A | Health-Patient & Family Support | 5,000 00 |
| Santa Barbara Education Foundation | N/A | Education-Youth | 1,000 00 |
| Santa Barbara Museum of Art | N/A | Arts-Culture-Museums | 250.00 |
| Santa Barbara Museum of Natural History | N/A | Arts-Culture-Museums | 1,000 00 |
| Santa Barbara Planned Parenthood | N/A | Social Action-Public Benefit | 1,000 00 |
| Santa Barbara Rape Crisis Center | N/A | Social Action-Public Benefit | 2,000 00 |
| Sarah House | N/A | Health-Patient & Family Support | 500 00 |
| Save the Children | N/A | Poverty-Hunger | 264 00 |
| SB School of Squash | N/A | Education-Youth | 3,000 00 |
| SB Wildlife Care Network | N/A | Environment-Animals & Wildlife | 4,500 00 |
| SBHS Education Foundation | N/A | Education-Youth | 1,000.00 |
| Scholarship Foundation of Santa Barbara | N/A | Education-Youth | 5,000.00 |
| Search Dog Foundation | N/A | Disaster Relief | 1,500 00 |
| Solvang Friendship House | N/A | Health-Patient & Family Support | 500 00 |
| Special Olympics | N/A | Health-Patient & Family Support | 250 00 |
| Storytellers Children's Center | N/A | Social Action-Public Benefit | 1,750 00 |
| Teddy Bear Cancer Foundation | N/A | Health-Patient & Family Support | 2,750.00 |
| The David Sheldrick Wildlife Trust USA | N/A | Environment-Animals & Wildlife | 500.00 |
| Thrive (formerly Prevent Child Abuse) | N/A | Social Action-Public Benefit | 500 00 |
| Transition House | N/A | Social Action-Public Benefit | 5,000 00 |
| UCSB Arts & Lecture | N/A | Arts-Culture-Museums | 500 00 |
| Unity Shop | N/A | Health-Patient & Family Support | 1,500 00 |
| Uryadi's Village | N/A | Poverty-Hunger | 1,000 00 |

THE B & B FOUNDATION

95-4419555

FORM 990.PE, PART XV - GRANTS CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11

IF RECIPIENT IS AN INDIVIDUAL,
SHOW ANY RELATIONSHIP TO
ANY FOUNDATION MANAGER

| OR SUBSTANTIAL CONTRIBUTOR | FOUNDATION RECIPIENT | STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | Amount |
|-------------------------------|-------------------------|------------------------|-------------------------------------|-------------------|
| N/A | PUBLIC | PUBLIC | Health-Patient & Family Support | 2,000.00 |
| N/A | PUBLIC | PUBLIC | Educational Institutions | 1,000 00 |
| N/A | PUBLIC | PUBLIC | Poverty-Hunger | 6,000 00 |
| N/A | PUBLIC | PUBLIC | Environment-Animals & Wildlife | 1,000 00 |
| | | | | <u>118,964.00</u> |

Visiting Nurse and Hospice Care of S.B.

Westridge School

World Dance for Humanity

World Wildlife Fund