

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**  
 ▶ Do not enter social security numbers on this form as it may be made public.  
 ▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0052  
**2018**  
**Open to Public Inspection**

**For calendar year 2018, or tax year beginning 01-01-2018 , and ending 12-31-2018**

Name of foundation DONALD E DAU CHARITABLE TRUST		<b>A Employer identification number</b> 46-0632784
Number and street (or P O box number if mail is not delivered to street address) PO BOX 1501 NJ2-130-03-31	Room/suite	<b>B Telephone number</b> (see instructions) (609) 274-6834
City or town, state or province, country, and ZIP or foreign postal code PENNINGTON, NJ 085341501		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>1,671,293</u>	<b>J</b> Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	48,980	48,386		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	145,594			
	<b>b</b> Gross sales price for all assets on line 6a	509,718			
	<b>7</b> Capital gain net income (from Part IV, line 2)		145,594		
	<b>8</b> Net short-term capital gain			0	
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	194,574	193,980			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc	23,381	9,352		14,028
	<b>14</b> Other employee salaries and wages		0	0	0
	<b>15</b> Pension plans, employee benefits		0	0	0
	<b>16a</b> Legal fees (attach schedule)				0
	<b>b</b> Accounting fees (attach schedule)				0
	<b>c</b> Other professional fees (attach schedule)				0
	<b>17</b> Interest				0
	<b>18</b> Taxes (attach schedule) (see instructions)	2,609	122		0
	<b>19</b> Depreciation (attach schedule) and depletion	0	0		
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings		0	0	
	<b>22</b> Printing and publications		0	0	
	<b>23</b> Other expenses (attach schedule)	15			15
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	26,005	9,474	0	14,043
	<b>25</b> Contributions, gifts, grants paid	220,980			220,980
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	246,985	9,474	0	235,023	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	-52,411				
<b>b Net investment income</b> (if negative, enter -0-)		184,506			
<b>c Adjusted net income</b> (if negative, enter -0-)			0		

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	-221,415	2,831	2,831
	<b>2</b> Savings and temporary cash investments . . . . .	230,123	29,560	29,560
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U S and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	769,105	691,703	1,011,027
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	608,829	609,946	627,875
	<b>14</b> Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	1,386,642	1,334,040	1,671,293	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .		0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .			
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .	1,386,642	1,334,040	
	<b>28</b> Paid-in or capital surplus, or land, bldg , and equipment fund			
<b>29</b> Retained earnings, accumulated income, endowment, or other funds				
<b>30 Total net assets or fund balances</b> (see instructions) . . . . .	1,386,642	1,334,040		
<b>31 Total liabilities and net assets/fund balances</b> (see instructions) .	1,386,642	1,334,040		

<b>Part III Analysis of Changes in Net Assets or Fund Balances</b>		
<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	1,386,642
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-52,411
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	0
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	1,334,231
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	191
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	<b>6</b>	1,334,040

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	2	145,594
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	238,725	1,965,325	0.121468
2016	77,235	1,781,487	0.043354
2015	80,191	1,769,501	0.045318
2014	93,382	1,797,505	0.051951
2013	52,143	1,661,073	0.031391

<b>2</b> Total of line 1, column (d)	2	0.293482
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.058696
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	1,953,715
<b>5</b> Multiply line 4 by line 3	5	114,675
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	1,845
<b>7</b> Add lines 5 and 6	7	116,520
<b>8</b> Enter qualifying distributions from Part XII, line 4	8	235,023

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes categories like 'Exempt operating foundations', 'Domestic foundations that meet the section 4940(e) requirements', and 'Tax due'. Total amount owed is 388.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting. Includes a sub-table for state reporting with columns for 'Yes' and 'No'.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of UST-ML A DIVISION OF BANK OF AMERICA NA Telephone no (609) 274-6834
Located at 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 085341501
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -check here and enter the amount of tax-exempt interest received or accrued during the year 15
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ).
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions
Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions ).
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018 ).
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total number of others receiving over \$50,000 for professional services.</b>		<b>0</b>

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	1,959,986
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	23,481
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	1,983,467
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	1,983,467
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	29,752
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	1,953,715
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	97,686

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	97,686
<b>2a</b>	Tax on investment income for 2018 from Part VI, line 5.	<b>2a</b>	1,845
<b>b</b>	Income tax for 2018 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	1,845
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	95,841
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	95,841
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	95,841

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	235,023
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	235,023
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	1,845
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	233,178

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				95,841
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only. . . . .			0	
<b>b</b> Total for prior years 2016, 2015, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2018				
<b>a</b> From 2013. . . . .	0			
<b>b</b> From 2014. . . . .	0			
<b>c</b> From 2015. . . . .	0			
<b>d</b> From 2016. . . . .	0			
<b>e</b> From 2017. . . . .	72,558			
<b>f</b> Total of lines 3a through e. . . . .	72,558			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>235,023</u>				
<b>a</b> Applied to 2017, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2018 distributable amount. . . . .				95,841
<b>e</b> Remaining amount distributed out of corpus	139,182			
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a) )				0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	211,740			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9</b> Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a . . . . .	211,740			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2014. . . . .	0			
<b>b</b> Excess from 2015. . . . .	0			
<b>c</b> Excess from 2016. . . . .	0			
<b>d</b> Excess from 2017. . . . .	72,558			
<b>e</b> Excess from 2018. . . . .	139,182			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					

**3** Complete 3a, b, or c for the alternative test relied upon

**a** "Assets" alternative test—enter

(1) Value of all assets . . . . .

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

**b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .

**c** "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .

(3) Largest amount of support from an exempt organization

(4) Gross investment income

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i> MIDLAND UNIVERSITY 900 N CLARKSON ST FREMONT, NE 68025	NONE	PC	GENERAL OPERATING	220,980
<b>Total</b> . . . . .				<b>▶ 3a</b>
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .				<b>▶ 3b</b>





**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1	1 AMAZON COM INC		2012-06-11	2018-02-06
1	05 AMERICAN CENTURY MID CAP VALUE FUND		2015-06-19	2018-02-06
	54 AMERICAN CENTURY MID CAP VALUE FUND		2015-06-19	2018-02-06
	12 AMERICAN CENTURY MID CAP VALUE FUND		2017-11-21	2018-02-06
	160 AMERICAN CENTURY EMERGING MARKETS FUND		2017-09-18	2018-02-06
	04 AMERICAN CENTURY EMERGING MARKETS FUND		2017-09-18	2018-02-06
	35 AMERICAN CENTURY EMERGING MARKETS FUND		2017-11-21	2018-02-06
	7 AMGEN INC		2017-05-12	2018-02-06
	10 APPLE COMPUTER INC COM		2012-06-11	2018-02-06
	12 AUTOMATIC DATA PROCESSING INC COMMON		2012-06-11	2018-02-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,412		217	1,195
1		1	
936		913	23
2		2	
2,064		1,962	102
4		4	
1,225		1,119	106
1,601		838	763
1,343		564	779

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,195
			23
			102
			106
			763
			779

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
12 BERKSHIRE HATHAWAY INC		2012-06-11	2018-02-06
1 3 BLACKROCK INC CL A		2012-06-11	2018-02-06
8 CATERPILLAR INC		2011-02-25	2018-02-06
35 COCA-COLA CO USD		2012-06-11	2018-02-06
11 CROWN CASTLE REIT INC		2017-06-08	2018-02-06
5 DEERE & COMPANY		2015-11-12	2018-02-06
182 DEUTSCHE GLOBAL INFRASTRUCTURE FUND		2013-10-08	2018-02-06
17 DEUTSCHE GLOBAL INFRASTRUCTURE FUND		2017-11-21	2018-02-06
22 DISNEY (WALT) COMPANY HOLDING CO		2014-04-24	2018-02-06
13 AMERICAN EURO PACIFIC		2017-04-06	2018-02-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,362		974	1,388
1,570		521	1,049
1,216		815	401
1,540		1,321	219
1,186		1,107	79
788		368	420
2,604		2,313	291
2		2	
2,283		1,753	530
8		6	2

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,388
			1,049
			401
			219
			79
			420
			291
			530
			2

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
20 AMERICAN EURO PACIFIC		2017-04-06	2018-02-06
1 07 AMERICAN EURO PACIFIC		2017-11-21	2018-02-06
6 FEDEX CORPORATION		2016-12-22	2018-02-06
15 FIDELITY ADV II GRWTH OPP INSTL 688		2014-01-06	2018-02-06
57 FIDELITY ADV II GRWTH OPP INSTL 688		2014-01-06	2018-02-06
42 FIDELITY ADV II GRWTH OPP INSTL 688		2014-01-06	2018-02-06
31 FIDELITY ADV HEALTH CARE		2015-03-12	2018-02-06
43 FIDELITY ADV HEALTH CARE		2015-03-12	2018-02-06
108 FT NASDAQ TECH DVD INDEX		2014-01-03	2018-02-06
16 FRANKLIN CONVERTIBLE		2012-06-11	2018-02-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,135		983	152
4		4	
1,496		1,147	349
1,071		872	199
40		33	7
30		24	6
1,487		1,420	67
21		20	1
3,774		2,635	1,139
3		2	1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			152
			349
			199
			7
			6
			67
			1
			1,139
			1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
76 FRANKLIN CONVERTIBLE		2012-06-11	2018-02-06
1 46 FRANKLIN CONVERTIBLE		2012-06-11	2018-02-06
23 HALLIBURTON COMPANY COM		2012-06-11	2018-02-06
27 INTEL CORPORATION		2013-03-01	2018-02-06
7 INTERNATIONAL BUSINESS MACHINES CORP		2012-06-11	2018-02-06
7 ISHARES RUSSELL MID-CAP ETF		2013-01-03	2018-02-06
12 JOHNSON & JOHNSON COM		2011-02-25	2018-02-06
9 KIMBERLY-CLARK CORP COM		2012-06-11	2018-02-06
16 KRAFT (THE) HEINZ CO SHS		2015-01-08	2018-02-06
49 LORD ABBETT ALPHA		2012-06-11	2018-02-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15		11	4
920		654	266
1,148		636	512
1,187		567	620
1,060		1,368	-308
1,423		815	608
1,554		722	832
1,024		703	321
1,170		986	184
1,301		1,107	194

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4
			266
			512
			620
			-308
			608
			832
			321
			184
			194

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
56 LORD ABBETT ALPHA		2012-06-11	2018-02-06
1 15 MC DONALDS CORPORATION COMMON		2012-06-11	2018-02-06
26 PEPSICO INC		2012-06-11	2018-02-06
1 PRINCIPAL GLOBAL		2013-10-08	2018-02-06
61 PRINCIPAL GLOBAL		2013-10-08	2018-02-06
34 PRINCIPAL GLOBAL		2013-10-08	2018-02-06
158 PRINCIPAL GLOBAL		2013-10-08	2018-02-06
72 PRINCIPAL GLOBAL REAL		2015-03-12	2018-02-06
06 PRINCIPAL GLOBAL REAL		2015-03-12	2018-02-06
265 PRINCIPAL GLOBAL REAL		2015-03-12	2018-02-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15		13	2
2,443		1,319	1,124
2,922		1,785	1,137
14		14	
9		8	1
5		5	
2,209		2,190	19
7		7	
1		1	
2,409		2,486	-77

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2
			1,124
			1,137
			1
			19
			-77

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 PRINCIPAL GLOBAL REAL		2015-03-12	2018-02-06
1 21 PROCTER & GAMBLE CO COM		2012-06-11	2018-02-06
22 VENTAS INC		2017-06-08	2018-02-06
23 VERIZON COMMUNICATIONS INC		2011-02-25	2018-02-06
62 VOYA MIDCAP		2013-01-03	2018-02-06
58 VOYA MIDCAP		2013-01-03	2018-02-06
28 WELLS FARGO & CO NEW		2013-01-24	2018-02-06
38 MEDTRONIC PLC SHS		2015-01-28	2018-02-06
61 MEDTRONIC PLC SHS		2015-01-28	2018-02-06
11 MEDTRONIC PLC SHS		2015-01-28	2018-02-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9		9	
1,707		1,322	385
1,155		1,459	-304
1,149		823	326
16		14	2
1,493		1,276	217
1,594		990	604
31		29	2
49		46	3
883		832	51

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			385
			-304
			326
			2
			217
			604
			2
			3
			51

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

<b>(a)</b> List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo, day, yr)	<b>(d)</b> Date sold (mo, day, yr)
MEDTRONIC PLC SHS			2018-01-25	2018-02-06
1	1 AMAZON COM INC		2012-06-11	2018-02-07
	82 AMERICAN CENTURY MID CAP VALUE FUND		2015-06-19	2018-02-07
	35 AMERICAN CENTURY MID CAP VALUE FUND		2015-06-19	2018-02-07
	93 AMERICAN CENTURY EMERGING MARKETS FUND		2017-09-18	2018-02-07
	105 AMERICAN CENTURY EMERGING MARKETS FUND		2017-09-18	2018-02-07
	5 AMGEN INC		2017-05-12	2018-02-07
	6 APPLE COMPUTER INC COM		2012-06-11	2018-02-07
	8 AUTOMATIC DATA PROCESSING INC COMMON		2012-06-11	2018-02-07
	8 BERKSHIRE HATHAWAY INC		2012-06-11	2018-02-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
1,421		217	1,204
14		14	
604		591	13
12		11	1
1,330		1,287	43
889		799	90
961		503	458
911		376	535
1,612		650	962

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
			1,204
			13
			1
			43
			90
			458
			535
			962

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
2 BLACKROCK INC CL A		2012-06-11	2018-02-07
1 5 CATERPILLAR INC		2011-02-25	2018-02-07
22 COCA-COLA CO USD		2012-06-11	2018-02-07
7 CROWN CASTLE REIT INC		2017-06-08	2018-02-07
3 DEERE & COMPANY		2015-11-12	2018-02-07
121 DEUTSCHE GLOBAL INFRASTRUCTURE FUND		2013-10-08	2018-02-07
53 DEUTSCHE GLOBAL INFRASTRUCTURE FUND		2017-11-21	2018-02-07
14 DISNEY (WALT) COMPANY HOLDING CO		2014-04-24	2018-02-07
13 AMERICAN EURO PACIFIC		2017-04-06	2018-02-07
13 AMERICAN EURO PACIFIC		2017-04-06	2018-02-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,068		347	721
775		509	266
983		830	153
761		705	56
486		221	265
1,728		1,538	190
8		7	1
1,472		1,116	356
7		6	1
731		639	92

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			721
			266
			153
			56
			265
			190
			1
			356
			1
			92

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4 FEDEX CORPORATION		2016-12-22	2018-02-07
1 58 FIDELITY ADV II GRWTH OPP INSTL 688		2014-01-06	2018-02-07
14 FIDELITY ADV II GRWTH OPP INSTL 688		2014-01-06	2018-02-07
10 FIDELITY ADV II GRWTH OPP INSTL 688		2014-01-06	2018-02-07
13 FIDELITY ADV HEALTH CARE		2015-03-12	2018-02-07
59 FIDELITY ADV HEALTH CARE		2015-03-12	2018-02-07
20 FIDELITY ADV HEALTH CARE		2015-03-12	2018-02-07
70 FT NASDAQ TECH DVD INDEX		2014-01-03	2018-02-07
31 FRANKLIN CONVERTIBLE		2012-06-11	2018-02-07
04 FRANKLIN CONVERTIBLE		2012-06-11	2018-02-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,007		765	242
41		34	7
10		8	2
709		581	128
6		6	
28		27	1
957		916	41
2,476		1,708	768
619		440	179
1		1	

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			242
			7
			2
			128
			1
			41
			768
			179

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
15 HALLIBURTON COMPANY COM		2012-06-11	2018-02-07
1 16 INTEL CORPORATION		2013-03-01	2018-02-07
4 INTERNATIONAL BUSINESS MACHINES CORP		2012-06-11	2018-02-07
5 ISHARES RUSSELL MID-CAP ETF		2013-01-03	2018-02-07
8 JOHNSON & JOHNSON COM		2011-02-25	2018-02-07
6 KIMBERLY-CLARK CORP COM		2012-06-11	2018-02-07
10 KRAFT (THE) HEINZ CO SHS		2015-01-08	2018-02-07
08 LORD ABBETT ALPHA		2012-06-11	2018-02-07
67 LORD ABBETT ALPHA		2012-06-11	2018-02-07
32 LORD ABBETT ALPHA		2012-06-11	2018-02-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
747		415	332
727		336	391
617		782	-165
1,031		582	449
1,054		482	572
676		469	207
738		616	122
2		2	
18		15	3
847		723	124

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			332
			391
			-165
			449
			572
			207
			122
			3
			124

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
10 MC DONALDS CORPORATION COMMON		2012-06-11	2018-02-07
1 16 PEPSICO INC		2012-06-11	2018-02-07
106 PRINCIPAL GLOBAL		2013-10-08	2018-02-07
63 PRINCIPAL GLOBAL		2013-10-08	2018-02-07
66 PRINCIPAL GLOBAL REAL		2015-03-12	2018-02-07
177 PRINCIPAL GLOBAL REAL		2015-03-12	2018-02-07
14 PROCTER & GAMBLE CO COM		2012-06-11	2018-02-07
14 VENTAS INC		2017-06-08	2018-02-07
15 VERIZON COMMUNICATIONS INC		2011-02-25	2018-02-07
38 VOYA MIDCAP		2013-01-03	2018-02-07

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,661		879	782
1,820		1,099	721
1,480		1,469	11
9		9	
6		6	
1,602		1,660	-58
1,148		882	266
741		929	-188
767		537	230
974		836	138

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			782
			721
			11
			-58
			266
			-188
			230
			138

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
23 VOYA MIDCAP		2013-01-03	2018-02-07
1 38 VOYA MIDCAP		2013-01-03	2018-02-07
17 WELLS FARGO & CO NEW		2013-01-24	2018-02-07
8 MEDTRONIC PLC SHS		2015-01-28	2018-02-07
1974 DEUTSCHE GLOBAL INFRASTRUCTURE FUND		2013-10-08	2018-08-23
126 DEUTSCHE GLOBAL INFRASTRUCTURE FUND		2014-01-09	2018-08-23
2088 DEUTSCHE GLOBAL INFRASTRUCTURE FUND		2017-04-06	2018-08-23
26 DEUTSCHE GLOBAL INFRASTRUCTURE FUND		2017-11-21	2018-08-23
59 DEUTSCHE GLOBAL INFRASTRUCTURE FUND		2017-11-21	2018-08-23
229 AMERICAN CENTURY MID CAP VALUE FUND		2015-06-19	2018-10-25

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6		5	1
10		8	2
978		601	377
653		605	48
28,623		25,091	3,532
1,827		1,670	157
30,276		30,088	188
4		4	
856		879	-23
3,735		3,870	-135

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			2
			377
			48
			3,532
			157
			188
			-23
			-135

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
13 AMERICAN CENTURY MID CAP VALUE FUND		2015-06-19	2018-10-25
1 1 AMERICAN CENTURY MID CAP VALUE FUND		2017-11-21	2018-10-25
17 AMERICAN CENTURY MID CAP VALUE FUND		2017-11-21	2018-10-25
56 INTERNATIONAL BUSINESS MACHINES CORP		2012-06-11	2018-10-25
1 INTERNATIONAL BUSINESS MACHINES CORP		2012-06-14	2018-10-25
43 INTERNATIONAL BUSINESS MACHINES CORP		2014-01-06	2018-10-25
7 INTERNATIONAL BUSINESS MACHINES CORP		2014-01-09	2018-10-25
1 INTERNATIONAL BUSINESS MACHINES CORP		2016-03-11	2018-10-25
75 INTERNATIONAL BUSINESS MACHINES CORP		2016-05-12	2018-10-25
2 INTERNATIONAL BUSINESS MACHINES CORP		2017-11-21	2018-10-25

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2		2	
16		17	-1
277		310	-33
7,075		10,945	-3,870
126		195	-69
5,433		7,986	-2,553
884		1,313	-429
126		144	-18
9,476		11,067	-1,591
253		304	-51

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-33
			-3,870
			-69
			-2,553
			-429
			-18
			-1,591
			-51

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
23 INTERNATIONAL BUSINESS MACHINES CORP		2016-03-11	2018-11-27
1 258 KRAFT (THE) HEINZ CO SHS		2015-01-08	2018-11-29
2 KRAFT (THE) HEINZ CO SHS		2015-04-27	2018-11-29
1 KRAFT (THE) HEINZ CO SHS		2016-01-19	2018-11-29
4 KRAFT (THE) HEINZ CO SHS		2017-11-21	2018-11-29
175 AMERICAN BOND FD OF		2016-12-19	2018-12-06
31 AMERICAN BOND FD OF		2016-12-19	2018-12-06
38 AMERICAN BOND FD OF		2018-02-07	2018-12-06
1484 FIDELITY ADV EMERGING		2017-07-13	2018-12-06
04 FIDELITY ADV EMERGING		2017-07-13	2018-12-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
27		33	-6
13,228		15,892	-2,664
103		140	-37
51		72	-21
205		320	-115
2,179		2,214	-35
4		4	
5		5	
18,283		20,796	-2,513
1		1	

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-6
			-2,664
			-37
			-21
			-115
			-35
			-2,513

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
95 FIDELITY ADV EMERGING		2018-02-07	2018-12-06
1 04 FIDELITY ADVISOR STRATEGIC INCOME		2012-06-11	2018-12-06
1991 FIDELITY ADVISOR STRATEGIC INCOME		2012-06-11	2018-12-06
12 FIDELITY ADVISOR STRATEGIC INCOME		2018-02-07	2018-12-06
560 LORD ABBETT BOND-DEBENTURE FUND INC		2012-06-11	2018-12-06
42 LORD ABBETT BOND-DEBENTURE FUND INC		2018-02-07	2018-12-06
3181 VOYA INTERMEDIATE		2014-01-23	2018-12-06
23 VOYA INTERMEDIATE		2014-01-23	2018-12-06
58 VOYA INTERMEDIATE		2018-02-07	2018-12-06
27 AMAZON COM INC		2012-06-11	2018-12-18

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12		13	-1
23,593		24,543	-950
1		1	
4,301		4,334	-33
3		3	
30,888		31,358	-470
2		2	
6		6	
41,493		5,857	35,636

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			-950
			-33
			-470
			35,636

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
83 APPLE COMPUTER INC COM		2012-06-11	2018-12-18
1 7 APPLE COMPUTER INC COM		2012-06-14	2018-12-18
104 APPLE COMPUTER INC COM		2013-03-05	2018-12-18
1 AUTOMATIC DATA PROCESSING INC COMMON		2012-06-11	2018-12-18
1 CATERPILLAR INC		2011-02-25	2018-12-18
56 DEERE & COMPANY		2015-11-12	2018-12-18
5 DISNEY (WALT) COMPANY HOLDING CO		2014-04-24	2018-12-18
108 EXXON MOBIL CORP		2015-02-09	2018-12-18
751 FIDELITY ADVISOR NEW		2017-07-13	2018-12-18
30 FIDELITY ADVISOR NEW		2017-11-21	2018-12-18

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,739		6,956	6,783
1,159		572	587
17,216		6,378	10,838
133		47	86
127		102	25
8,384		4,122	4,262
553		398	155
7,908		9,923	-2,015
10,769		12,177	-1,408
430		488	-58

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,783
			587
			10,838
			86
			25
			4,262
			155
			-2,015
			-1,408
			-58

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 FIDELITY ADVISOR NEW		2017-11-21	2018-12-18
1 330 FIDELITY ADVISOR NEW		2018-02-06	2018-12-18
242 FIDELITY ADVISOR NEW		2018-02-07	2018-12-18
15 FIDELITY ADVISOR NEW		2018-02-07	2018-12-18
62 FIDELITY ADVISOR NEW		2018-02-07	2018-12-18
628 FT NASDAQ TECH DVD INDEX		2014-01-03	2018-12-18
97 INTEL CORPORATION		2013-03-01	2018-12-18
10 MC DONALDS CORPORATION COMMON		2012-06-11	2018-12-18
785 MICROSOFT CORP COM		2012-06-11	2018-12-18
02 KRAFT (THE) HEINZ CO SHS		2015-07-09	2018-12-24

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14		16	-2
4,732		5,298	-566
3,470		3,888	-418
2		2	
9		10	-1
21,319		15,323	5,996
4,688		2,037	2,651
1,806		879	927
81,008		23,071	57,937
1			1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			-566
			-418
			-1
			5,996
			2,651
			927
			57,937
			1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
32 KRAFT (THE) HEINZ CO SHS		2016-01-19	2018-12-24
1 CAPITAL GAIN DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14		22	-8
			8,794

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-8

**TY 2018 Investments Corporate Stock Schedule****Name:** DONALD E DAU CHARITABLE TRUST**EIN:** 46-0632784**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
949746101 WELLS FARGO & CO NEW	36,429	39,100
458140100 INTEL CORPORATION	19,889	41,154
084670702 BERKSHIRE HATHAWAYIN	31,291	75,751
149123101 CATERPILLAR INC	29,703	42,350
254687106 DISNEY (WALT) COMPAN	69,883	84,030
494368103 KIMBERLY-CLARK CORP	10,884	14,774
742718109 PROCTER & GAMBLE CO	30,208	38,182
031162100 AMGEN INC	22,724	27,643
09247X101 BLACKROCK INC CL A	21,706	44,882
244199105 DEERE & COMPANY	14,116	28,342
406216101 HALLIBURTON COMPANY	13,464	12,273
478160104 JOHNSON & JOHNSON CO	25,307	52,075
539830109 LOCKHEED MARTIN CORP	71,372	58,390
22822V101 CROWN CASTLE REIT IN	27,313	29,439
31428X106 FEDEX CORPORATION	22,039	18,553
G5960L103 MEDTRONIC PLC SHS	25,627	30,780
023135106 AMAZON COM INC	6,683	36,047
580135101 MC DONALDS CORPORATI	45,652	90,479
594918104 MICROSOFT CORP COM	895	2,912
92343V104 VERIZON COMMUNICATIO	19,250	27,654
053015103 AUTOMATIC DATA PROCE	18,002	48,260
191216100 COCA-COLA CO USD	29,556	35,661
037833100 APPLE COMPUTER INC C	30,726	53,952
30231G102 EXXON MOBIL CORP	92	68
713448108 PEPSICO INC	43,894	56,188
92276F100 VENTAS INC	24,998	22,088

**TY 2018 Investments - Other Schedule****Name:** DONALD E DAU CHARITABLE TRUST**EIN:** 46-0632784**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
097873822 AMERICAN BOND FD OF	AT COST	20,126	19,945
315807883 FIDELITY ADV II GRWT	AT COST	12,983	15,797
33738R118 FT NASDAQ TECH DVD I	AT COST	104,417	137,984
74347B698 PROSHARES TRUST SHS	AT COST	1,951	1,884
00770X766 SHENKMAN SHORT DURAT	AT COST	15,043	14,641
025086877 AMERICAN CENTURY EME	AT COST	22,977	19,020
31641Q763 FIDELITY ADVISOR NEW	AT COST	408	362
315918821 FIDELITY ADV HEALTH	AT COST	16,096	16,748
33733E302 FIRST TR EXCHANGE TR	AT COST	23,330	21,699
464287499 ISHARES RUSSELL MID-	AT COST	12,091	18,575
74254V232 PRINCIPAL GLOBAL	AT COST	64,014	54,902
74254V273 PRINCIPAL GLOBAL REA	AT COST	21,366	20,306
92913K850 VOYA MIDCAP	AT COST	10,806	9,531
01881M442 AB INCOME FUND ADV C	AT COST	14,411	13,813
543915532 LORD ABBETT ALPHA	AT COST	18,915	16,844
74347B680 PROSHARES TRUST ETF	AT COST	1,971	1,914
29875E100 AMERICAN EURO PACIFI	AT COST	26,177	23,880
33734X119 FIRST TR CONSUMER	AT COST	16,135	14,475
544004609 LORD ABBETT BOND-DEB	AT COST	20,392	19,073
315920801 FIDLELITY ADVISOR ST	AT COST	40,821	38,945
92913L684 VOYA INTERMEDIATE	AT COST	40,336	39,721
03463K406 ANGEL OAK MULTI STRA	AT COST	94,251	93,589
353612641 FRANKLIN CONVERTIBLE	AT COST	10,929	14,227

**TY 2018 Other Decreases Schedule****Name:** DONALD E DAU CHARITABLE TRUST**EIN:** 46-0632784

<b>Description</b>	<b>Amount</b>
MUTUAL FUND TIMING DIFFERENCE	129
COST BASIS ADJUSTMENT	62

**TY 2018 Other Expenses Schedule****Name:** DONALD E DAU CHARITABLE TRUST**EIN:** 46-0632784**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE TAXES/FILING FEES	15	0		15

**TY 2018 Taxes Schedule****Name:** DONALD E DAU CHARITABLE TRUST**EIN:** 46-0632784

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN TAXES	119	119		0
FEDERAL TAX PAYMENT - PRIOR YE	1,030	0		0
FEDERAL ESTIMATES - PRINCIPAL	1,457	0		0
FOREIGN TAXES ON NONQUALIFIED	3	3		0